



Canadian Lawyers Liability Assurance Society

2022/2023 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Borden Ladner Gervais LLP

2. Address of principal office: East Tower, Bay Adelaide Centre
22 Adelaide Centre Str. W, Suite 3400, Toronto ON M5H 4E3

Phone: (416) 367-6000 Fax: (416) 367-6749

3. Address, phone, and fax numbers of other office(s):
See attached

4. Management or service companies, date(s) established, and services provided:
See attached

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☒ yes ☐ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

March 1, 2000; See attached list of non-lawyers partners



6. Since last year's CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

We previously disclosed that in 2021 BLG acquired all of the shares of AUM Law Professional Corporation ("AUM") which is licensed to carry on business as a law corporation in Ontario. AUM is generally operated as a separate law firm and has 9 lawyers which are included in the headcount numbers in this application.

7. Attached, as Appendix A, is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of February 15, 2022:
- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels, and lawyer consultants).
 - b) Number of patent & trademark agents (who are not lawyers).
 - c) Number of other non-lawyer consultants.
 - d) Number of paralegals.
 - e) Number of other employees.
 - f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels, or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.



9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	<u>24.5</u>	%	<u>23.6</u>	%
b) Criminal Law	<u>0</u>	%	<u>0</u>	%
c) Family Law	<u>0</u>	%	<u>0</u>	%
d) Intellectual Property	<u>5.1</u>	%	<u>5.7</u>	%
e) Labour Law	<u>6.6</u>	%	<u>7.0</u>	%
f) Litigation	<u>25.2</u>	%	<u>27.0</u>	%
g) Real Estate	<u>6.6</u>	%	<u>6.9</u>	%
h) Securities Law	<u>11.8</u>	%	<u>11.9</u>	%
i) Tax Matters	<u>5.0</u>	%	<u>5.0</u>	%
j) Wills, Estates, Trust	<u>1.2</u>	%	<u>1.3</u>	%
k) Other (please specify)	<u>14.0</u>	%	<u>11.6</u>	%
Construction, Health				

10. Have any of the lawyers, or non-lawyer consultants listed in Appendices B and C, or former lawyers, or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended, or disbarred from practice since last year's CLLAS application?

☐ yes ☒ no

If "yes", please provide full details:

11. Attached, as Appendix D, is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify and update where appropriate and advise CLLAS of any anticipated changes.

12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes, coverage for lawyers providing Professional Services from a U.S. office, as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.

13. Attached, as Appendix F, is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2021. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved in excess of \$500,000.



Note: All claims or notices require the following details: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim including damages sought, amount paid (legal & indemnity, and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input type="checkbox"/> \$60M xs \$160M

If you currently buy the maximum limits available (i.e., \$250MM including the umbrella layer) would you be interested in purchasing an additional umbrella layer of \$30MM?


☒ yes ☐ no

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach, with Appendix I, a copy of the Firm's 2022 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: 
(Must be signed by a Partner of the Firm)

Name of Signatory: _____
(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: _____

Question #3

Address, Phone, and Fax of Other Offices:

Office	Address	Phone / Fax
Calgary	Centennial Place – East Tower 1200 – 520 Third Avenue SW Calgary, Alberta T2P 0R3	Phone: 403-232-9500 Fax: 403-266-1395
Montreal	1000 de La Gauchetiere Street West Suite 900 Montreal, Quebec H3B 5H4	Phone: 514-879-1212 Fax: 514-954-1905
Ottawa	World Exchange Plaza Suite 1300 – 100 Queen Street Ottawa, Ontario K1P 1J9	Phone: 613-237-5160 Fax: 613-230-8842
Toronto	Same as principal office	Same as principal office
Toronto (AUM Offices)	110 Yonge Street Suite 400 Toronto, Ontario M5C 1T4	Phone: 416-966-2004 Fax: 866-627-2299
Vancouver	1200 Waterfront Centre 200 Burrard Street PO Box 48600 Vancouver, British Columbia V7X 1T2	Phone: 604-687-5744 Fax: 604-687-1415

Borden Ladner Gervais LLP
CLLAS Renewal 2022

Question #4 – Management Companies

Name of Law Firm: Borden Ladner Gervais LLP

Insured Entity	Date Established	Service Provided
Borden Ladner Gervais LLP	2000	Law Firm
Borden Ladner Gervais LLP Toronto Office	2000	Law Firm
Borden Ladner Gervais LLP Ottawa Office	2000	Law Firm
Borden Ladner Gervais LLP Montreal Office	2000	Law Firm
Borden Ladner Gervais LLP Calgary Office	2000	Law Firm
Borden Ladner Gervais LLP Vancouver Office	2000	Law Firm
Borell Management Inc.	1996	Holds and manages the lease for space used by Borden Ladner Gervais LLP
Borden Ladner Gervais Foundation	1997	Charitable Foundation
ISLG Venture Inc.	2009	State Law Guide Sales
BLG Corporate Services (Ont) Ltd	1965	Corporate record services for clients
Besk Investments Limited	1990	Provides services to BLG
Resiliency Management Corp	1999	Holds and manages the lease for space used by Borden Ladner Gervais LLP
ISLG Holdco Inc.	2009	Holding Company
Foundation Borden Ladner Gervais	1999	Charitable Foundation
Gestion Cammerall Inc.	1995	Inactive
Cammerall 1000 Inc.		Holds and manages the lease for space used by Borden Ladner Gervais LLP
809470 Alberta Ltd	1999	Inactive
Noex Management Inc.	1994	Inactive
Cadogan Management Ltd	1969	Holds and manages the lease for space used by Borden Ladner Gervais LLP
Arthur Services Limited		Holds and manages the lease for space used by Borden Ladner Gervais LLP
BLG-AG S.E.N.C.R.L.	2013	Law Firm
BLG-AG Inc.	2013	Law Firm
BLG Procurement Services Limited	2016	Inactive
BLG China Holdings Ltd.	2013	Holding Company
BLG Consulting Limited	2013	Holdings Company
BLG Consulting (Beijing) Limited	2014	Administration Services to BLG

Question #5

Qualifications of Partners who are not members

NAME	PROFESSION	QUALITIFICATIONS	OFFICE
Allard, Louis			OTT
Behmann, Curtis	Patent Agent	B.A. Sc. Electrical Engineering Patent Agent US (2003) Professional Engineer Ontario (2003) Patent Agent Canada (2002)	OTT
Boocock, Graeme	Patent Agent	Registered Patent Agent	OTT
Bugyei-Twun, Antoinette	Patent Trainee		OTT
Little, Vanessa	Patent Trainee		OTT
Marsman, Kathleen			OTT
Raoul, Jennifer	Patent Agent	Registered Patent Agent	OTT
Skinner, Mhairi			OTT
Sojonky, Andrew	Patent Agent	Patent Agent Canada Engineering Degree	OTT
Vickers, Mark	Patent Agent	Registered Patent Agent Registered Patent Agent US	OTT
Zielinski, Zosia	Patent Trainee		OTT
Geoffrey DeKleine Patent Agency Corporation	Patent Agent		VAN
David Nauman Patent Agency Corporation	Trade Mark Agent		VAN

APPENDIX A

Predecessor Firms

Name of Firm

Borden Ladner Gervais LLP

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

[illegible]

APPENDIX B

Active Members of the Firm as of February 15, 2022

Name of Firm

Borden Ladner Gervais LLP

Updated as of (Enter Date):

February 15, 2022

	CANADA					
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Nova Scotia</u>	<u>Other Provinces</u> (Please specify, change heading)
a) No. of Lawyers ^{/1}	90	64	344	145		
b) No. of Patent & Trademark Agents ^{/2}	2	0	11	0		
c) No. of Non-lawyer Consultants ^{/3}	0	1	1	21		
d) No. of Paralegals	59	22	138	32		
e) No. of Other Employees	155	135	592	172		
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4}	50	69	39	18		

OUTSIDE OF CANADA ^{/5}				
<u>U.S.</u>	<u>China</u>	<u>South Africa</u>	<u>Other Countries</u> (Please specify, change heading)	<u>Other Countries</u> (Please specify, change heading)

/1 Including partners, employed lawyers, counsels/of counsels and lawyer consultants

/2 These are not lawyers.

/3 Please complete Appendix C if individuals are reported under this category

/4 Lawyers reported here should not be included under a).(See note at Question 8.f) of the application.].

/5 Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns

Please attach a list of the lawyers reported under a) above, showing in each case thier full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please provide full particulars such as percentage of practice other than Law.

Borden Ladner Gervais LLP				
CLLAS APPENDIX B				
Section A - List of Lawyers				
OFFICE - VANCOUVER				
Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
Alavi, Sepideh	AC*	2013	2022	
Asirvatham, Debbie	L	2012	2011	
Biggar, Robert	L	2017	2016	
Bird, Sarah	L	2005	2018	2018
Bogle, Julie	L	2015	2013	2022
Brown, Tory	L	2021	2021	
Burnham, Paige	L	2019	2018	
Byrne, Peter	L	2020	2019	
Cabott, Jake	L	2013	2017	2021
Camp, David K.	AC*	1981	1980	1987
Cantwell, James	L	2018	2017	
Carlson, Lisa	L	2011	2010	
Chan, Millie	AC*	2010	2010	
Chesley, Breanna	L	2021	2019	
Chiasson, Edward C.	AC*	1967	2016	
Collister, George	L	2021	2019	
Copland, Doug G.	L	1986	1985	1993
Corbett, Dylan	L	2021	2020	
Crema, Nicola	L	2019	2020	
Cromwell, Thomas	AC*	1979	2017	
Damiani, Michael	L	2013	2013	2021
Dhillon, Gautam	L	2015	2018	
Fantini, Jennifer	L	2001	2001	2007
Fawcett, Curtis	L	2016	2021	
Flipse, Alysha	L	2022	2021	
Florio, Cassandra	L	2014	2013	
Garcha, Sundeeep	L	2021	2019	
Glass, Ramsey	L	2016	2015	
Gomez, Inaki	L	2017	2016	
Gorski, Scott	L	2017	2017	
Gosel, Kimberly	L	2020	2019	
Gray, Alexander	L	2018	2017	
Gruchey, Matthew	L	2021	2019	
Hardy-Charbonnier, Elea	L	2018	2018	
Hennigar, Andrew	L	2012	2017	2020
Hurst, Parisa	L	2015	2019	
Hutchinson, Zoe	L	2019	2019	
Johanson, Krista	L	2011	2010	2018
Johnstone, MaryGrace	L	2020	2019	
Kay, Jordan	L	2020	2019	
Keeler, Todd	L	2010	2010	
Kott, Barbara	L	2017	2019	
Laity, Ryan M.	L	2014	2014	2021
Lau, Anne B.	AC*	2000	2006	
Laudan, Dirk H.	L	1997	2000	2004
Laverdure, Amy	L	2020	2019	
Law, Julia	L	2014	2018	
Lehodey, Breanne	L	2018	2017	
Lewchuk, Danielle	L	2014	2013	2022
Lewis, Roark	L	2018	2021	
Liesch, Shelby	L	2016	2015	
Lin, Fiona	L	2017	2020	
Little, Eric	L	2013	2013	2020
Lloyd, Sandy	AC*	1991	2017	
Lui, Wesley	L	2021	2020	
MacKinlay, Callan	L	2018	2021	
MacLeod, Connor	L	2019	2018	
Mansveld, Kristina	L	2018	2018	
Martindale, Graeme D.	L	2003	2003	2010
McCrystal, Kalie	L	2015	2019	
McKitrick, Darcy	L	2016	2020	
McMurtry, Marlena	L	2017	2019	
Miller, Tristan	L	2019	2021	

Borden Ladner Gervais LLP				
CLLAS APPENDIX B				
Section A - List of Lawyers				
OFFICE - VANCOUVER				
Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
Mitchell, Shelley-Mae	L	1988	1987	
Miyahara, Alexander	L	2021	2021	
Pandey, Nikhil	L	2022	2021	
Parsons, Hunter J.	L	2014	2014	2022
Pawa, Dagmara	L	2020	2020	
Péloquin, Sarah	L	2017	2019	
Pepper, Jennifer	L	2021	2020	
Pimentel, Salvador	L	2017	2016	
Pitre, Emily	L	2020	2019	
Pritchard, Timothy	L	2015	2015	
Roine, Chris	AC*	2000	2021	
Rubio, Mario	L	2011	2022	2022
Rumbles, Melissa	L	2015	2014	
Simpson, Adam	L	2020	2019	
Stanger, Katherine	L	2016	2015	
Stepney, Samantha	L	2012	2018	
Thomas, Jeffrey S.	L	1990	1989	1999
Tolan, Matthew	L	2017	2016	
Uswak, Jason	L	2020	2019	
Vanderslice, Ingrid	L	2019	2018	
Virani, Aliya	L	2018	2021	
Wallis, Robert	L	2011	2011	2019
Wilkinson, Michelle	L	2015	2014	2022
Winder, Steve M.	L	1996	1998	2003
Windt, Danielle	L	2021	2019	
Wong, Jacky	L	2010	2018	
Xin, Mu	L	2021	2020	
*AC = Associate Counsel				

Borden Ladner Gervais LLP
CLLAS APPENDIX B
Section A - List of Lawyers

OFFICE - TORONTO

#	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
1	Abadi, Martin	CO	2007	2017	
2	Abaki, Joshua	AS	2018	2018	
3	Ablaza, Gerardo	SAS	2013	2015	
4	Alani, Avesta	AS	2021	2021	
5	Amodeo, Nicolas	AS	2021	2021	
6	Annis, Kristyn	CO	2005	2019	
7	Antenore, Robert	PA	2000	2018	2018
8	Aranha, Zoe	AS	2021	2021	
9	Archibald, Katie	SAS	2018	2018	
10	Arnone, Frank	PA	2002	2021	2021
11	Axelrod, Madlyn	SAS	2015	2015	
12	Ayre, Katherine	PA	2009	2009	2021
13	Baker, Andrew	SAS	2015	2015	
14	Bambrough, Denise	EP	1992	1992	2002
15	Batten, Keith	SCO	1990	1990	1996
16	Bedeau, Keri	SAS	2010	2010	
17	Bijelic, Milica	AS	2021	2021	
18	Blackstein, Robert	PA	2006	2016	2019
19	Boan, Daniel	EP	2000	2000	2006
20	Boehm, Eric	PA	1998	2011	2011
21	Bogler, Jacqueline	CO	2009	2020	
22	Bolahood, Jamila	AS	2017	2021	
23	Bonanno, Samantha	AS	2017	2017	
24	Boro, Tamara	AS	2020	2020	
25	Brant, Cherie	PA	2003	2019	2019
26	Bratton, Elizabeth	AS	2019	2021	
27	Brooksbank, Robert	PA	2009	2015	2019
28	Brown, Harrison	AS	2018	2021	
29	Burshtein, Noah	AS	2019	2019	
30	Bush, Rebecca	EP	200209	2002	2009
31	Butler, Katie	AS	2017	2017	
32	Byrick, Katharine	EP	1999	2001	2006
33	Calvano, Valerie	AS	2018	2018	
34	Cameron-Vendrig, Colin	SAS	2014	2014	
35	Campagnola, Kelly	SAS	2015	2021	
36	Cananau, Liviu	PA	2007	2018	2020
37	Carnadin, Amitha	AS	2019	2019	
38	Carre, Katherine	CO	2012	2012	
39	Castelli, Marco	AS	2021	2021	
40	Cerqueira, Carlos	PA	1998	2019	2019
41	Certosimo, Matthew	EP	1995	1995	2001
42	Charleston, Eric	PA	2019	2021	2021
43	Chen, Walter	AS	2019	2021	
44	Chien, Charlotte	AS	2020	2020	
45	Chowdhury, Mannu	AS	2018	2019	
46	Cistrone, Victoria	AS	2018	2018	
47	Coburn, Rick	EP	1990	1990	1997
48	Cocker, Jonathan	PA	1998	2020	2020
49	Cowdery, Rebecca	EP	1986	2003	2006
50	Crawford, Kate	EP	2005	2005	2014
51	Creelman, Elizabeth	AS	2019	2019	
52	Crowell, Logan	CO	2013	2013	
53	Cuperfain, Justin	AS	2020	2020	
54	Daniel, Lauren	AS	2020	2020	
55	Day, Laura	SAS	2010	2016	
56	Deakon, Katherine	AS	2017	2017	
57	Delemere, Laura	SAS	2016	2017	
58	Deluca, Anthony	AS	2018	2018	
59	Dennis-Birnbaum, Sydney	AS	2021	2020	
60	Denomme, Kyle	PA	2014	2014	2021
61	Di Iulio, Arielle	AS	2019	2021	
62	Di Paolo, David	EP	1998	1998	2004
63	Dickinson, Andrea	CO	2005	2019	

64	Dingle, Brian	EP	2002	2002	2008
65	Dochylo, Daniel	EP	1993	1993	1999
66	Doherty, Maureen	PA	2013	2013	2020
67	Dolan, Patrick	COP	2009	2018	
68	Effendi, Nadia	EP	2004	2005	2011
69	Eldridge, Melissa L.	CO	2011	2022	
70	Elman, David	EP	2007	2008	2014
71	English, Lee	SAS	2018	2019	
72	Evans, Jessica	AS	2018	2018	
73	Farovitch, Jonas	AS	2019	2019	
74	Fenech, Benjamin	AS	2019	2019	
75	Fishman, Aidan	AS	2019	2019	
76	Flaherty, Galen	AS	2020	2020	
77	Flynn, Rebecca	AS	2021	2021	
78	Foerster, Ronald	EP	1987	1987	1993
79	Foroughian, Neda	AS	2021	2021	
80	Freedman, Bonnie	COP	1991	2007	
81	Freitag, Shane	EP	2002	2002	2006
82	Friedman, Kelly	COP	1996	2018	
83	Fu, James	EP	2011	2011	2018
84	Fuller, Kathryn	EP	2001	2001	2007
85	Fung, Simon	SAS	2016	2019	
86	Gagne, Stephanie	AS	2020	2021	
87	Gardiner, Sarah	EP	200209	2002	2009
88	Gemson, Pierre	SAS	2010	2016	
89	Gergin Phillips, Maria	CO	2012	2012	
90	Ghaemi, Peyman	AS	2020	2019	
91	Girlando, Daniel	PA	2011	2011	2019
92	Gleason, Mary Lynn	EP	1987	1989	1993
93	Goldsilver, Erik	PA	1999	2015	2015
94	Goudarzi-Malayeri, Parmis	AS	2021	2021	
95	Grant, Robyn	PA	2000	1999	2006
96	Guerrisi, Andrew	SAS	2015	2015	
97	Guy, Adam	PA	2011	2011	2019
98	Hallowell, Bradley	AS	2018	2018	
99	Hamilton, Graeme	EP	2009	2015	2018
100	Hart, Clifford	PA	1991	2014	2014
101	Hassan, Naveen	SAS	2015	2015	
102	Hassan, Taha	AS	2018	2018	
103	Hawkins, Patrick	EP	1992	1992	1998
104	Henry, Michelle	EP	2003	2013	2013
105	Hodges, Megan	SAS	2017	2021	
106	Hollard, Nicholas	AS	2021	2021	
107	Hong, Junho	AS	2019	2021	
108	Hubermann, Lara	AS	2020	2020	
109	Hunter, John	SAS	2016	2016	
110	Ivanov, Tamila	PA	2013	2013	2020
111	Ivkovic, Evan	AS	2018	2021	
112	Jack, Douglas	PA	1985	2012	2017
113	Jaipargas, Roger	EP	2000	2000	2006
114	Jarvis, Daphne	EP	1986	1986	1992
115	Jiang, Yue	AS	2021	2021	
116	Joel, Danielle	EP	1999	2006	2008
117	Kabouchi, Joelle	SAS	2016	2016	
118	Kakkar, Mani	SAS	2014	2021	
119	Karantzoulis, Gus	EP	2002	2002	2008
120	Keen, Ben	PA	2013	2018	2021
121	Kim, Alex	AS	2021	2021	
122	Kim, Hyoseon	AS	2019	2019	
123	King, C Graham	EP	200209	2002	2009
124	Kolos, Natalie	PA	2011	2011	2019
125	Kosonic, Ronald	PA	1988	2004	2004
126	Kremer, Markus	EP	1999	1999	2005
127	Kronby, Matthew	PA	1990	2018	2018
128	Kucey, Christine	AS	2019	2019	
129	Lambie, Kevin	AS	2020	2020	
130	Lavolette, Christine	SAS	2013	2016	
131	Lesage, Julie	AS	2018	2018	
132	Li, Kun	AS	2016	2021	
133	Lo, Samantha	AS	2021	2021	
134	Lotay, Roma	SAS	2015	2015	
135	Love, Robert	EP	1994	1994	2000

136	Lozinski, Andrian	SAS	2014	2021	
137	Lyn Kew, Neva	AS	2020	2020	
138	MacDonald, Cameron	PA	2009	2018	2018
139	Major, David	SAS	2015	2015	
140	Mak, Sonia	PA	1992	1996	2003
141	Malatesta, Lauren	AS	2020	2020	
142	Manias, Richard	PA	2008	2008	2018
143	Mansi, Julie	EP	200209	2002	2009
144	Mantle, Thomas Jacob	AS	2017	2018	
145	Maragos, Katerina	AS	2020	2020	
146	Margolis, Simon	AS	2020	2020	
147	Markin, Teagan	AS	2018	2018	
148	Marrison, Anna	EP	2003	2003	2010
149	Mason, Christine	PA	2009	2017	2018
150	Matthews, Ian	PA	2008	2018	2018
151	McEvoy, Scott	PA	1997	1997	2003
152	McGrade, Lynn	EP	1990	1990	1996
153	McGrath, Kevin	EP	1992	2002	2002
154	McGregor, Sara	AS	2018	2017	
155	McLean, Andrew	PA	2014	2014	2021
156	McNaughton, Tyler	AS	2019	2019	
157	Medhekar, Tanvi	AS	2021	2021	
158	Meighen, Hugh	PA	2010	2016	2019
159	Menear, Katherine	EP	2002	2008	2012
160	Mertiri, Denisa	SAS	2015	2021	
161	Michaluk, Daniel	PA	2001	2020	2020
162	Milazzo, Anthony	EP	1997	2008	2008
163	Milton, Daniel	AS	2020	2020	
164	Minichini, Gian	AS	2019	2019	
165	Mitchell, Jeffrey	EP	1998	2018	2018
166	Morganstein, Bradley	AS	2021	2021	
167	Morley, Piper	PA	2012	2014	2019
168	Muir, Christine	PA	2010	2017	2020
169	Murray, Paul	AS	2019	2019	
170	Ngan, Henry	SAS	2013	2016	
171	Nguyen, Darren	AS	2019	2021	
172	Nguyen, Stephen	SAS	2015	2015	
173	O'Connor, Dennis	SCO	1966	2013	
174	Pang, Jeffrey	AS	2017	2017	
175	Papadatos, Chelsea	SAS	2016	2021	
176	Patterson, James	EP	1996	1996	2002
177	Payne, Meghan	SAS	2012	2012	
178	Pearlman, Shane	EP	2003	2003	2010
179	Pel, Adrian	AS	2020	2020	
180	Pereira, Grace	PA	1999	2017	2021
181	Perzow, Adam	EP	2000	2019	2019
182	Pessione, Heather	PA	2006	2006	2013
183	Peters, Erin	AS	2017	2017	
184	Ponton, Jennifer	SAS	2014	2019	
185	Powers, Andrew	EP	2005	2012	2012
186	Pratte, Guy	SCO	1984	1988	
187	Punzo, Andrew	PA	2013	2015	2020
188	Ragusa, Adam	AS	2020	2020	
189	Rahim, Aaria	AS	2017	2021	
190	Redican, Stephen	EP	1996	1996	2002
191	Roher, Eric	SCO	1987	1988	1994
192	Rosen, Michael	SAS	2015	2018	
193	Rothschild, Denes	PA	2009	2009	2017
194	Roussakis, Christina	AS	2021	2021	
195	Russell, Robert	EP	1985	1985	1991
196	Ruston, Brent	SAS		2022	
197	Ryan, Holly	AS	2020	2020	
198	Sainsbury, Caitlin	EP	2007	2007	2014
199	Salafia, Natalie	SAS	2015	2015	
200	Sanderson, Erica	AS	2020	2020	
201	Sethi, Mohit	AS	2021	2021	
202	Shariati, Mahnaz	AS	2020	2020	
203	Shehab, Haya	AS	2019	2019	
204	Skocic, Krstina	PA	2009	2018	2021
205	Sless, Alan	PA	1983	1997	2007
206	Smith, Audrey	AS	2019	2021	
207	Sperduti, Frank	EP	1998	1998	2004

208	Splawski, Graham	SAS	2015	2015	
209	Squires, Robin	EP	2006	2006	2013
210	Stanley, John	AS	2019	2019	
211	Suarez, Steve	EP	1990	2011	2011
212	Sweet, Sarah	SAS	2016	2016	
213	Tang, Isaac	EP	2011	2011	2018
214	Tardif, Philippe	EP	1987	2006	2006
215	Taylor, Emilie	AS	2021	2021	
216	Taylor, Michael	PA	2012	2012	2019
217	Thiele, Prema	EP	1992	1992	1998
218	Thistle, Laura	AS	2021	2021	
219	Thoburn, Jonathan	SAS	2014	2014	
220	Thomassen, Ashley	AS	2017	2017	
221	Timms, Stefan	EP	2006	2015	2015
222	Tomomitsu, Tamara	PA	2000	2002	2009
223	Traves, Robert	EP	1985	1985	1991
224	Vandenberghe, Michael	AS	2020	2020	
225	VanderVeer, Erin	SAS	2015	2017	
226	Vellone, John	EP	2008	2008	2015
227	Vila, Edona	PA	2014	2014	2021
228	Wagner, Laura	SAS	2011	2018	
229	Wakeling, Whitney	SAS	2013	2021	
230	Wakulowsky, Lydia	PA	1995	2014	2014
231	Walker-Renshaw, Barbara	EP	2001	2001	2007
232	Webster, Craig	EP	1993	1993	1999
233	Webster, Heather	AS	2020	2020	
234	Webster, Julia	SAS	2014	2018	
235	Weir, Robert	EP	1998	1998	2004
236	Westgate, Morgan	AS	2015	2022	
237	Whelan, Wendy	EP	2006	2006	2015
238	White, Elizabeth	AS	2020	2020	
239	White, Laura	COD	1988	2022	
240	Whitton, Jessica	AS	2019	2021	
241	Williams, Matthew	PA	2005	2006	2012
242	Wong, Stephanie	COD	1996	2018	
243	Wood, Robert	PA	2010	2010	2018
244	Wray, George	PA	2006	2009	2016
245	Wright, Patrick	AS	2017	2022	
246	Yan, Xue	PA	2009	2009	2018
247	Yehia, Richard	CO	2012	2020	
248	Yehia, Ziad	PA	2014	2014	2021
249	Yeo, Daehyun	AS	2021	2021	
250	Young, Stephanie	PA	2013	2013	2020
251	Zakaib, Glenn	PA	1983	2017	2017
252	Zettle, Randy	COP	1996	1996	
253	Zhang, Yun	AS	2018	2018	

CALGARY OFFICE

Lawyer Name	Status	Year of Call	Date Joined BLG (m/d/y)
Abosi, Stephen	Associate	2021	06/22/2020
Abtosway, Justine	Associate	2016	11/16/2020
Baptie, Valeria	Associate	2018	06/26/2017
Barnes, Shane	Associate	2018	06/26/2017
Bennett, Tiffany	Associate	2019	06/25/2018
Berry, Jodi	Associate	2020	06/24/2019
Binnion, Lorelle	Associate	2016	08/10/2015
Bossert, Stephanie	Associate	2017	04/26/2021
Buttuls, Jason	Associate	2013	09/19/2016
Carlson, Brett	Associate	2019	06/25/2018
Chari, Aarabhi	Associate	2015	12/02/2019
Chen, Siwei	Associate	2016	01/07/2019
Chomery, Samer	Associate	2020	06/24/2019
Climenhaga, Steven	Associate	2020	06/24/2019
Davis, Theron	Associate	2018	09/24/2018
Dowe, Kamini	Associate	2014	06/17/2013
Dudelzak, Joelle	Associate	2014	08/26/2013
Ersoy, Sinem	Associate	2021	06/22/2020
Fidler, Tyler	Associate	2021	06/22/2020
Finegan, Garrett	Associate	2016	10/06/2016
Fish, Myles	Associate	2019	06/25/2018
Gaber, Michael	Associate	2017	06/27/2016
Hannaford, Alana	Associate	2021	04/05/2021
Hanna, Jennifer	Counsel	2006	03/02/2020
Harris, Neil	Associate	2017	10/07/2019
Hepworth, Frank	Associate	2021	06/22/2020
Hima Bailey, Stela	Associate	2019	05/22/2018
Hornland, Lindsey	Associate	2016	07/08/2019
Jacob, Raphael	Associate	2018	03/15/2021
Jin, Michelle	Associate	2020	10/12/2021
Juergens, Erik	Associate	2021	03/22/2020
Kemp, Taylor	Associate	2020	06/01/2020
Kolapak, Mark	Associate	2016	09/13/2021
LaRoche, Colin	Associate	2021	06/22/2020
Leci, Marin	Associate	2015	04/05/2021
Leung, Tommy	Associate	2018	09/04/2018
Liu, Yi	Associate	2021	06/22/2020
Lothian, Jasmine	Associate	2018	08/20/2018
Maag, Karleigh	Associate	2017	08/02/2016
Major-Hansford, Kevin	Associate	2019	06/25/2018
Martin, Ami	Associate	2019	06/26/2017
McFarlane, Stacy	Associate	2017	01/20/2020
McGauley, Patricia	Associate	2020	06/24/2019
Mensch, Laura	Counsel	1999	11/25/2019
Mersich, Anthony	Associate	2017	10/25/2021
Morgan, Emma	Associate	2020	06/24/2019
Morrow, LuAnne	Counsel	2002	03/19/2007
Munro, Michael	Associate	2021	01/31/2022
Nguyen, Brett	Associate	2020	06/24/2019
Pilz, Michelle	Associate	2016	05/04/2015

CALGARY OFFICE

Lawyer Name	Status	Year of Call	Date Joined BLG (m/d/y)
Poppel, Laura	Associate	2015	06/16/2014
Price, Locklyn	Associate	2013	06/18/2012
Prizent, Greg	Associate	2016	10/04/2021
Ricketts, Mark	Associate	2014	02/25/2019
Rieger, Slade	Associate	2018	08/02/2021
Ross, Myles	Associate	2021	04/26/2021
Sam, Renee	Associate	2021	06/22/2020
Savoie, Stephanie	Associate	2018	06/26/2017
Schneider, Matthew	Associate	2018	09/10/2018
Seymour, Zachary	Associate	2017	01/13/2020
Shory, Grace	Associate	2018	04/05/2021
Tulk, Victoria	Associate	2021	06/22/2020
Willms, Bradon	Associate	2017	06/12/2017
Ziola, Laurie	Counsel	1986	05/27/2013

Section A - List of Lawyers

OFFICE	OTTAWA
--------	--------

#	TMK CODE	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
1	30555	Ashby, Simon	Associate	2019	2019	
2	29034	Ault, Duncan A.	Equity Partner	2007	2013	2015
3	28137	Bachynski, Jane M.	Counsel	1992	1992	
4	31344	Belanger, Rachael	Senior Associate	2015	2019	
5	30662	Belozorovich, Alexey	Senior Associate	2016	2017	
6	28745	Blanchard, Emma	Equity Partner	2007	2007	2015
7	31123	Boyd, Matthew	Senior Associate	2015	1999	
8	28318	Boyd, T. Kirk	Equity Partner	1999	2018	2006
9	30984	Campbell, Samuel	Associate	2021	2021	
10	30549	Chapman, David	Associate	2019	2019	
11	32243	Chung, Hilary	Senior Associate	2016	2021	
12	32113	Coburn, Kentt	Senior Associate	2017	2021	
13	29870	Coghlan, Jeffrey	Senior Associate	2009	2015	
14	30346	Cooke, Peter	Counsel	1994	2017	
15	28644	Cooligan, Katherine	Equity Partner	1993	2006	2006
16	32444	Corey, Evan	Senior Associate	2013	2021	
17	28540	Crain, Kirsten T.	Equity Partner	2001	2004	2007
18	31671	Craner, Kathryn	Senior Associate	2017	2019	
19	28267	Cross, Pamela L.	Equity Partner	1996	2000	2003
20	28382	D'Angelo, Rocco	Income Partner	1986	2002	2002
21	30156	Dawe, Heather	Counsel	2010	2016	
22	30578	De Pellegrin, Carina	Counsel	1999	2017	
23	28723	Doddy, Michelle	Senior Associate	2014	2016	
24	28844	Dullet, Kim	Income Partner	2009	2009	2018
25	32473	Dutrizac, Jason	Counsel	2004	2021	
26	30607	Dybwad, Scott	Senior Associate	2014	2017	
27	28182	Elliot, Larry	Equity Partner	1996	1996	2001
28	30082	Fauteux, Genevieve	Associate	2018	2018	
29	28886	Ghignone, Roberto	Senior Associate	2010	20210	
30	31626	Gordon, Jeff	Income Partner	2009	2014	2017
31	29412	Gulati, Natasha	Associate PA Train	2018	2018	
32	29716	Hancock, Calvin	Senior Associate	2017	2016	
33	28888	Henry, David	Equity Partner	2010	2010	2020
34	29045	Howard, Adrian	Income Partner	2010	2013	2018
35	32327	Klombies, Lex	Associate	2019	2021	
36	28310	Lalonde, Sylvie C.	Counsel - Permane	2001	2019	
37	30083	Laquerre, Maxime	Associate	2018	2018	
38	31225	Laughlin, Leanne	Counsel	1995	218	
39	28525	Lemieux, Kathleen	Equity Partner	1997	2004	2007
40	29092	Lemieux, Sara	Senior Associate	2014	2015	

#	TMK CODE	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
41	31899	Lewycky, Marta O.	Counsel - Permane	1987	2020	
42	30638	Maksimovic, Ashley	Income Partner	2015	2017	2022
43	31820	Mamay, Elena	Senior Associate	2004	2020	
44	29042	McDormand, Kathleen	Equity Partner	2002	2013	2015
45	28676	Melia, John	Income Partner	2001	2006	2011
46	28337	Menard, Yves J.	Income Partner	1987	1989	1992
47	31521	Miltenburg, Paige	Associate	2021	2021	
48	28912	Moore, Beverley	Equity Partner	2003	2010	2016
49	28384	Morin, Yvan G.	Income Partner	1985	2002	2002
50	29038	Mueller-Neuhaus, Jason	Equity Partner	2005	2013	2021
51	31618	Murray, Tiffany L.	Income Partner	2008	2008	2015
52	32035	Nasrallah, Ryma	Income Partner	2011	2017	2019
53	28175	Nearing, Kevin	Senior Counsel	1985	1985	1998
54	30883	O'Dell, Odessa	Senior Associate	2016	2018	
55	28323	Ozere, Thomas V.	Income Partner	1988	2002	2004
56	29272	Palayew, Dan	Income Partner	1995	2014	2014
57	32012	Perron, David	Senior Associate	2017	2020	
58	28496	Perron, Karen	Income Partner	2004	2011	2014
59	28974	Pitts, Andrea	Income Partner	2014	2014	2022
60	30294	Pollock, Scott	Senior Associate	2016	2016	
61	30087	Robert, Charles	Associate	2018	2018	
62	31302	Robinson, Laura	Senior Associate	2015	2019	
63	29715	Roy, Emilie	Senior Associate	2017	2017	
64	28364	Salim, Kasim	Income Partner	2003	2003	2014
65	28913	Saunders, Chantal	Equity Partner	2002	2010	2010
66	29720	Schnittker, David	Associate	2018	2018	
67	30989	Sharma, Kanika	Associate	2020	2020	
68	30165	Sheridan, Jessica	Income Partner	2007	2016	2021
69	30571	Sherman, Matthew	Associate	2018	2018	
70	28068	Sherriff-Scott, David	Equity Partner	1991	1991	1997
71	32510	Shorey, Chris	Senior Associate	2016	2022	
72	32051	Sjolin, Veronica	Senior Associate	2017	2017	
73	29542	St-Louis, Nicole	Equity Partner	2000	2014	2016
74	29718	Takagi, Kara	Senior Associate	2017	2017	
75	31895	Tam, Ricksen	Associate	2018	2020	
76	28753	Taylor, Paul W.	Income Partner	2008	2008	219
77	30981	Tolton, Jacob	Associate	2020	2020	
78	32374	Tynan, Trevor	Senior Associate	2011	2021	
79	29418	Urquhart, Braek	Senior Associate	2016	2016	
80	31264	Wilson, Christie	Senior Associate	2013	2018	
81	32114	Wray, Benedict	Senior Associate	2013	2021	
82	30570	Yaeger, Bradley	Associate	2018	2018	
83	31519	Yasani, Aysan	Associate	2021	2021	
84	28849	Young, Jamison	Equity Partner	2008	2008	2015

Borden Ladner Gervais LLP
CLLAS APPENDIX B
Section F -

OFFICE - Ottawa

TMK CODE	Lawyer Name	Prof Corp Name	Status
28182	Elliot, Larry	Lawrence Andrew Elliot Professional Corporation	Equity Partner
28267	Cross, Pamela	Pamela Cross Law Professional Corporation	Equity Partner

Borden Ladner Gervais LLP
CLLAS APPENDIX B
Section A - List of Lawyers - 2022

OFFICE	AUM
---------------	------------

#	Lawyer Name	Status	Year of Call	Year Joined AUM	Year Became Partner
1	Kevin Lewis Cohen		2004	2010	
2	Christoph Herbert Juergen Von Boetticher		1997	2017	
3	Christopher Scott Tooley		2014	2019	
4	Elena Prokopieva		2016	2018	
5	Jason Scott Streicher		2017	2017	
6	Kimberly Joy Poster		1998	2015	
7	Richard Terry Roskies		2011	2012	
8	Stacey Elise Long		2004	2012	
9	Vivek Bali		2020	2022	

		Borden Ladner Gervais LLP				
		CLLAS APPENDIX B				
		Section A - List of Lawyers - 2022				
		OFFICE	Montreal			
#	TMK CODE	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
1	31534	Abdelsayed, Marc	Associate	2021	2020	
2	27060	Abecassis, Line	Equity Partner	2008	2010	2017
3	32368	Afeich, Amanda	Associate	2019	2021	
4	29159	André, Nadir	Income Partner	1998	2013	2016
5	29539	Arbour, Louise	Counsel - On Demand	1971	2019	
6	32250	Arciero, Eric	Senior Associate	2017	2021	
7	29255	Aronovitch, Stuart	Income Partner	1994	2014	1983
8	24781	Atlas, Kenneth S.	Senior Counsel	1981	2005	
9	30970	Awj, Nigah	Associate	2020	2019	
10	24018	Ayotte, Daniel	Counsel - On Demand	1972	1980	
11	31094	Bacolias, Tasy	Senior Associate	2017	2018	
12	30210	Beauvais, Catherine	Associate	2018	2019	
13	30585	Belhumeur, Audrey	Senior Associate	2015	2017	
14	31852	Belley Perron, Julie	Counsel - Permanent	2004	2020	
15	24091	Bergeron, Geneviève	Income Partner	1998	1998	2005
16	32260	Berwald-Grégoire, Xavier	Senior Associate	2017	2021	
17	31530	Besner, Morgane L	Associate	2021	2019	
18	31754	Bilodeau, Jean-François	Counsel - Permanent	1987	2019	
19	24836	Birks, Cristina	Counsel	2008	2008	
20	32377	Boisvert, Philippe	Counsel	2011	2021	
21	29257	Bonhomme, Robert	Income Partner	1984	2014	2014
22	31104	Bornac, Alexandra	Senior Associate	2016	2018	
23	31679	Bouchard, Jordan	Associate	2021	2019	
24	32128	Boucher, Marc-Étienne	Senior Associate	2016	2021	
25	29732	Boudreault, Julien	Associate	2018	2019	
26	32461	Bouvier-Johnston, Olivier	Senior Associate	2016	2021	
27	24917	Brault, Josiane	Income Partner	2008	2008	2021
28	31355	Busque, Olivier	Associate	2019	2019	
29	29052	Bussièrès McNicoll, Anaïs	Income Partner	2015	2014	2022
30	24968	Champagne, Mélanie	Equity Partner	2000	2008	2016
31	27602	Chênevert, Karine	Equity Partner	2005	2011	2014
32	31419	Chow, Clara	Senior Associate	2015	2019	
33	32440	Costa, Filipe	Associate	2020	2021	
34	31546	Cotugno, Alessandro	Associate	2018	2019	
35	27686	Daigle, Simon	Income Partner	2012	2012	2021
36	24065	Darche, Jacques S.	Equity Partner	1992	1997	2000
37	29251	De Stefano, Corrado	Income Partner	1987	2014	2014
38	30653	Desai, Vinay	Senior Associate	2015	2017	
39	24506	Desharnais, Isabelle	Equity Partner	2004	2021	2021
40	31897	Desjardins, Stéphanie	Associate	2018	2020	
41	32389	Di Lena, Valerie	Associate	2019	2021	
42	32055	Du Perron, Simon	Associate	2021	2021	
43	32390	Duchesne, Frédérique	Senior Associate	2017	2021	
44	30535	Duplessis, Éloïse	Associate	2019	2019	
45	29054	Emery, Marie-Pier	Senior Associate	2017	2016	
46	27763	Fahmy, Karine	Income Partner	2014	2012	2006

		Borden Ladner Gervais LLP				
		CLLAS APPENDIX B				
		Section A - List of Lawyers - 2022				
		OFFICE	Montreal			
#	TMK CODE	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
47	24702	Fontaine, Jean-Marie	Equity Partner	2000	2004	2008
48	30189	Fortier, Julie C.	Senior Associate	2014	2016	
49	31541	Froment, Marie-Ève	Associate	2020	2020	
50	24819	Gagnon, François D.	Equity Partner	1993	2006	2010
51	30357	Galarneau, Maude	Income Partner	2012	2017	2022
52	24067	Galella, Patricia	Income Partner	1995	1995	2004
53	32277	Gamache, Antoine	Associate	2019	2021	
54	30092	Gascon, Stéphanie	Associate	2018	2017	
55	27339	Gaudet, Eve	Income Partner	2012	2011	2021
56	32238	Gauthier, Julie M	Counsel	2009	2021	
57	24080	Gauthier, Manon	Income Partner	1997	1997	2006
58	31540	Gilmour, Louis	Associate	2020	2020	
59	29302	Girard, Joëlle	Counsel	2011	2014	
60	29381	Goeteyn, Nils	Associate	2016	2014	
61	27439	Gouin, Amélie T.	Senior Associate	2012	2011	
62	31532	Grandillo, Matteo	Associate	2021	2019	
63	29613	Gratton, Eloïse	Equity Partner	1998	2015	2017
64	24206	Grégoire, Simon	Equity Partner	1988	2000	2002
65	24916	Grodinsky, Daniel	Income Partner	2008	2007	2021
66	29260	Grodinsky, Michael	Income Partner	2007	2014	2018
67	24986	Grondin, François	Equity Partner	1991	2008	2008
68	30892	Gross, Benjamin	Income Partner	2001	2018	2018
69	24081	Guertin, Catherine	Equity Partner	1997	1997	2006
70	24015	Harrington, Sean	Counsel - On Demand	1969	2019	
71	30976	Hawkins, François	Associate	2020	2019	
72	24699	Hazan, Neil	Equity Partner	2005	2004	2012
73	31178	Hebert, Alexandra	Senior Associate	2017	2018	
74	31046	Henry, Elisa	Equity Partner	2011	2018	2018
75	31242	Hourani, Gilbert A.	Equity Partner	1994	2018	2018
76	31232	Jarvie, Max	Senior Associate	2015	2018	
77	30648	Joli-Coeur, François	Income Partner	2010	2017	2022
78	29262	Kaufer, Danny	Senior Counsel	1979	2014	2014
79	30534	Kavadias Landry, Jasmine	Associate	2018	2018	
80	31531	Kochenburger, Justine	Associate	2021	2020	
81	32298	Labrosse, Audrey	Associate	2019	2021	
82	32396	Lacoste, William	Associate	2018	2021	
83	32015	Lafond, Valérie	Associate	2011	2020	
84	31156	Laganière, Maxim	Associate	2021	2019	
85	31030	Lamoureux-Bisson, Maude	Senior Associate	2016	2018	
86	30836	Lapointe, Vanessa	Associate	2018	2018	
87	27597	Laurier, Justine	Equity Partner	2009	2011	2017
88	25794	Lefebvre, Gabriel	Equity Partner	2005	2008	2014
89	31538	Leray, Alexis	Associate	2021	2020	
90	24685	Lévesque, Mathieu	Equity Partner	2001	2004	2009
91	24061	Longpré, François	Equity Partner	1989	1988	1997
92	29270	Longtin, Maude	Senior Associate	2014	2014	

		Borden Ladner Gervais LLP				
		CLLAS APPENDIX B				
		Section A - List of Lawyers - 2022				
		OFFICE	Montreal			
#	TMK CODE	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
93	24714	Lussier, Catherine	Counsel	2006	2021	
94	30088	Lussier, Francis	Associate	2018	2016	
95	30175	Mailloux, Kevin	Income Partner	2013	2016	2021
96	30971	Mainville, Sandrine	Associate	2020	2019	
97	31845	Marcas, Valérie	Counsel - Permanent	1994	2020	
98	24068	Martin, Patrice	Equity Partner	1995	1995	2004
99	29258	Massé, Frédéric	Income Partner	2000	2014	2014
100	29725	Massicotte, Rose	Senior Associate	2017	2017	
101	31239	McCann, Marc-André	Senior Associate	2013	2018	
102	31849	McCaughan, Laurence	Associate	2018	2020	
103	31535	Medina, Katia-Maria	Associate	2021	2019	
104	24980	Merminod, Anne	Equity Partner	2010	2009	2018
105	24224	Millette, Claudine	Equity Partner	1998	2001	2007
106	30536	Min, Dayeon	Associate	2020	2019	
107	24054	Morin, François L.	Equity Partner	1988	1987	1998
108	24075	Morin, Patrice	Equity Partner	1996	1996	2005
109	31528	Nagy, Andy	Associate	2020	2019	
110	24406	Nicol, Alexandra M.	Counsel	2003	2001	
111	30805	Nolet-Lévesque, François	Senior Associate	2016	2018	
112	30532	Olivier, Valérie	Associate	2019	2017	
113	31800	Pariseau, Julie-Anne	Counsel	2010	2019	
114	24216	Piché-Messier, Mathieu	Equity Partner	1998	2000	2006
115	24086	Pitre, Stéphane	Equity Partner	1999	2000	2009
116	24914	Plante, Patrick	Equity Partner	2009	2009	2017
117	24396	Poirier, Katherine	Equity Partner	2003	2001	2010
118	24754	Pronovost, Catherine	Counsel	2006	2018	
119	31038	Reid Workman, Andrew	Senior Associate	2015	2018	
120	25907	Renaud, Alexis-Thomas	Income Partner	2012	2011	2021
121	31240	Robichon, Samuel	Senior Associate	2016	2018	
122	27634	Roditi, Raphael	Counsel	2009	2012	
123	32437	Ruel, Andréa	Associate	2018	2021	
124	32292	Ruel, Jean-Charles	Senior Associate	2017	2021	
125	29382	Saint Laurent, Hugo	Senior Associate	2016	2016	
126	30644	Saint-Onge, Jean	Senior Counsel - Perron	1981	2017	
127	24913	Saragosti, Yaniv	Equity Partner	2010	2010	2018
128	31177	Shaker, Shwan	Senior Associate	2015	2018	
129	32459	Sirois, Maude	Associate	2021	2021	
130	31913	Sterie, Chanel	Associate	2014	2020	
131	32127	St-Hilaire, Julie	Associate	2019	2021	
132	24969	Sullivan, John T.	Counsel - On Demand	1982	2008	2008
133	24815	Takhmizdjian, Joseph H.	Equity Partner	2004	2006	2012
134	30358	Talbot, Jeff	Income Partner	2015	2017	2022
135	27036	Tardif, Olivier	Equity Partner	2003	2016	2016
136	31279	Thériault, Karine	Associate	2018	2018	
137	30537	Tran, Tu Anh	Associate	2019	2017	
138	29729	Tremblay, Gabrielle	Associate	2018	2017	

		Borden Ladner Gervais LLP				
		CLLAS APPENDIX B				
		Section A - List of Lawyers - 2022				
		OFFICE	Montreal			
#	TMK CODE	Lawyer Name	Status	Year of Call	Year Joined BLG	Year Became Partner
139	29250	Tremblay, Maryse	Income Partner	1992	2014	2014
140	24634	Trent, Patrick	Income Partner	2004	2003	2018
141	31241	Visockis, Pierre	Counsel - Permanent	1996	2018	
142	29726	Wang, Alex	Senior Associate	2017	2016	
143	30533	Weinstein, Noah	Associate	2019	2019	
144	30249	Woods, James	Income Partner	2012	2016	2022
145	30974	Ziri, Adam	Associate	2021	2018	

Borden Ladner Gervais LLP					
CLLAS APPENDIX B					
Section F - List of Lawyers who are not Employees					
OFFICE - VANCOUVER					
Lawyer Name	Prof Corp Name	Status	Year of Call	Year Joined BLG	Year Became Partner
Andersen, Kendall	Kendall Andersen Law Corporation	L	2001	2001	2007
Archer, Jennifer	Jennifer A. Archer Law Corporation	L	2005	2004	2018
Beukman, Leon	Leon Beukman Law Corporation	L	2002	2006	2010
Bird, Donald G.	Donald G. Bird Law Corporation	L	1988	1987	1996
Brooks, Jason J.	Jason J. Brooks Law Corporation	L	1996	1995	2001
Chiasson, Craig	Craig R. Chiasson Law Corporation	L	2002	2011	2016
Deane, Robert J.	Robert J.C. Deane Law Corporation	L	2000	1999	2006
Dineley, Luke	Luke Dineley Law Corporation	L	2009	2008	2016
Dixon, Brad W.	Brad W. Dixon Law Corporation	L	1990	1989	1997
Doherty, G. Eric	Eric Doherty Law Corporation	L	1998	1997	2004
Eagles, Christopher	Chris Eagles Law Corporation	L	2001	2000	2008
Foord, Allison	AK Foord Law Corporation	L	2002	2001	2009
Foy, Patrick G.	Patrick G Foy Law Corporation	AC*	1978	1977	1983
Freedman, Bradley J.	Bradley J. Freedman Law Corporation	L	1987	1990	1994
Glowacki, Peter	Peter J. Glowacki Law Corporation	L	2013	2013	2019
Graf, Ian E.	Ian E. Graf Law Corporation	L	2011	2018	2018
Hiebert, Lisa	Lisa Hiebert Law Corporation	L	2009	2014	2017
Kerwin, M. Scott	Scott Kerwin Law Corporation	L	2001	2001	2008
Kufeldt, Kent D.	Kent D. Kufeldt Law Corporation	L	1989	2011	2011
Lakatos, Serge	Serge Lakatos Law Corporation	L	2005	2004	2011
Learmonth, Warren B.	Warren Learmonth Law Corporation	L	1996	1995	2001
Longcroft, David C.	David C. Longcroft Law Corporation	L	1989	1988	1997
Mah, Cherie	Cherie Mah Professional Law Corporatoin	L	2010	2010	2019
Maniago, Michelle T.	Michelle Maniago Law Corporation	L	2008	2008	2015
Mayovsky, Grant	Grant Mayovsky Law Corporation	L	2001	1999	2008
McEachern, Sarah K.	Sarkat Law Corporation	L	2008	2007	2015
McGowan, D. Ross	D. Ross McGowan Law Corporation	L	1988	1987	1996
Miachika, David	David Miachika Law Corporation	L	1989	1988	1996
Morphy, Randy	Morphy Law Corporation	L	2005	2005	2011
Muggah, Sean A.	Sean Muggah Law Corporation	L	1998	1998	2007
Mydske, David L.	David P.L. Mydske Law Corporation	AC*	1977	1976	1982
Owen, Robert G.	Robert G. Owen Law Corporation	L	1994	1993	2000
Pletcher, Fred R.	Fred R. Pletcher Law Corporation	L	1993	1992	1998
Rebane, Blair A.	Blair Rebane Law Corporation	L	1995	2000	2003
Rossi, Dionysios	Mount Olympus Law Corporation	L	2007	2006	2013
Sanders, Doug R.	Doug R. Sanders Law Corporation	L	1990	1999	2001
Seddon, Elly	Elly Seddon Law Corporation	L	2013	2012	2020
Shouldice, Robert R.	Robert R. Shouldice Law Corporation	AC*	1983	1988	1990
Skene, Michael A.	MAS Law Corporation	L	1993	1992	2000
Swanson, Matthew	Matthew G. Swanson Law Corporation	L	2008	2007	2014
Thom, Brian W.	Brian W. Thom Law Corporation	AC*	1982	1981	1988
Verbrugge, Magnus	Magnus C. Verbrugge Law Corporation	AC*	1998	1997	2004
Walker, Graham	Phillimore Point Law Corporation	L	1994	1993	2000
Wang, Edward J.T.	JS Scholar Law Corporation	L	2010	2009	2016
Wang, Lily	LLW Law Corporation	L	2003	2003	2009
Warnett, Steve T.	Stephen Warnett Law Corporation	L	2002	2002	2008
Waters, Michael	Michael Waters Law Corporation	L	2008	2007	2014
Williams, Rick	Richard Williams Law Corporation	L	2000	1999	2006
Wong, Peter	Petwong Law Corporation	L	1986	2008	2008

CLLAS APPENDIX B

Section F - List of Lawyers who are not Employees

CALGARY OFFICE				
Lawyer Name	Prof Corp Name	Status	Year of Call	Date Joined BLG m/d/yr
Banks, Peter	Peter Banks P.C.	Partner	2003	04/20/2015
Barr, Kevin	Kevin E. Barr P.C.	Partner	2000	12/15/2015
Blanchet, Justine	J. Blanchet P.C.	Partner	2009	10/18/2010
Bowler, Aaron	Aaron J. Bowler P.C.	Partner	2008	07/15/2019
Block, Randall W.	Randall W Block P.C.	Counsel	1985	07/03/1984
Bryan, Peter	Peter A. Bryan P.C.	Partner	1998	05/30/2011
Cameron, Jessica	Jessica L. Cameron P.C.	Partner	2013	04/15/2013
Cooper, Ira	Ira Cooper P.C.	Partner	2003	04/07/2014
Cutts, Erin	Erin A. Cutts, P.C.	Partner	2011	06/21/2010
Da Costa, Loni	Loni Da Costa P.C.	Partner	2014	06/17/2013
Dhaliwal, Navdeep	Navdeep Singh Dhaliwal P.C.	Partner	2010	01/02/2019
Doerksen, Maria	Maria Doerksen P.C.	Partner	1995	06/30/1994
Doll, Jonathan	Doll P.C., J.L.	Partner	2006	06/27/2005
Eisenbraun, Richard	Richard E. Eisenbraun P.C.	Partner	2009	06/23/2008
Epp, Matthew	Matthew J. Epp P.C.	Partner	1996	11/01/2016
Goldbach, Laurie	Laurie A. Goldbach P.C.	Partner	2000	10/02/2017
Gurofsky, Robyn	Robyn Gurofsky P.C.	Partner	2008	06/25/2007
Heinsen, Patrick	Patrick J. Heinsen P.C.	Partner	2001	03/01/2014
Howg, Jason	Jason Howg P.C.	Partner	2000	01/01/2001
Hulecki, Jordan	Jordan C. Hulecki P.C.	Partner	2013	03/04/2019
Jacobson, Clay	Clay Jacobson P.C.	Partner	2005	02/25/2014
Jin, Xiaodi	Xiaodi Jin P.C.	Partner	2012	02/28/2017
Jones, Joel	Joel B. Jones P.C.	Partner	2007	06/26/2006
Kruger, Josef	Josef Kruger P.C.	Counsel	1999	09/01/1998
Latour, Ravinth	R. Latour P.C.	Partner	2013	06/18/2012
Lawrence, Bruce A.	Bruce A Lawrence P.C.	Partner	1983	05/16/2005
Lee, Louise	Lee P.C., Louise K.	Partner	2006	06/27/2005
Lemmens, Matti	Matti Lemmens P.C.	Partner	2010	07/29/2010
Liteplo, Jonathan	Jonathan M. Liteplo P.C.	Partner	1993	01/02/2019
Madsen, David T.	David Madsen P.C.	Partner	1988	05/25/1987
Mah, Patrick	Patrick Mah P.C.	Partner	2011	03/04/2017
Makson, Sarah	S.E. Makson P.C.	Partner	2012	08/07/2018
Marion, Michael A.	Michael A Marion P.C.	Partner	1999	04/12/1999
Marsden, Duncan	Marsden P.C., D.J.	Partner	2009	05/26/2008
Maslen, Jack	J.R. Maslen P.C.	Partner	2015	05/22/2018
McCarthy, Patrick T.	Patrick T McCarthy P.C.	Counsel	1976	05/01/1988
McLellan, Lloyd	Lloyd H McLellan P.C.	Partner	2001	06/05/2000
McNaughton, Robb	Robb McNaughton P.C.	Partner	2005	07/02/2013
Milani, Kathy L.	Kathy L Milani P.C.	Counsel	1983	04/01/1987
Mitchell, Douglas	Douglas H Mitchell P.C.	Counsel	1963	05/01/1988
Morrison, Patricia L.	Patricia L Morrison P.C.	Partner	1998	06/16/1997
Nearing, Robert	Robert W. Nearing P.C.	Partner	2005	03/01/2021
Park, Melinda	Melinda Park P.C.	Partner	1992	01/20/1993
Pearson, Steven G.	Steven G. Pearson P.C.	Partner	2000	06/05/2000
Pittman, Miles	Miles F. Pittman P.C.	Partner	1994	11/27/2015

CLLAS APPENDIX B

Section F - List of Lawyers who are not Employees

CALGARY OFFICE				
Lawyer Name	Prof Corp Name	Status	Year of Call	Date Joined BLG m/d/yr
Pomerance, Barrie	Barrie F. Pomerance P.C.	Counsel	1978	02/24/2014
Portas, Brian D.	Brian D Portas P.C.	Partner	2001	07/24/2000
Pozzobon, Andrew	Andrew M. Pozzobon, P.C.	Partner	2013	06/18/2012
Reimer-Heck, Beth	Reimer-Heck, Beth	Counsel	1989	01/19/2009
Robson, Scott	Scott W.J. Robson P.C.	Partner	2015	06/16/2014
Ross, Alan	Alan Lee Ross P.C.	Partner	1995	01/05/2015
Ryan, Cory	Cory H.D. Ryan PC	Partner	2008	04/13/2010
Saliken, Michael	Michael Saliken P.C.	Partner	2009	06/23/2008
Salmon, Karen A.	Karen A Salmon P.C.	Partner	1995	04/24/1995
Sarangi, Sameena	Sameena Sarangi P.C.	Partner	2013	10/07/2019
Sears, Dan	Daniel E. Sears P.C.	Partner	1999	03/04/2014
Smith, Melissa	Smith P.C., Melissa M.	Partner	2005	06/28/2004
Smith, Rodney	Rodney A. Smith P.C.	Partner	2009	05/06/2015
Spetz, Ruth M.	Ruth M Spetz P.C.	Partner	1986	03/10/1997
Stemp, Robert C	Robert Stemp P.C.	Counsel	1980	03/01/2007
Taylor, Paul	Paul S. Taylor P.C.	Partner	2011	10/27/2014
Thompson, Chidinma	Chidinma B. Thompson P.C.	Partner	2010	07/02/2010
Tosto, Francesco	Francesco Tosto P.C.	Partner	1997	01/05/2004
Vallis, Jeffrey D.	Jeffrey D Vallis P.C.	Partner	1982	06/01/1981
Webb, Timothy	Timothy Webb P.C.	Partner	2001	11/01/2004
Wilson, M. Scott	M. Scott Wilson P.C.	Partner	1989	04/05/1994
Woodhead, Bill	William Woodhead P.C.	Partner	2015	07/06/2015
Wooldridge, Edward	Edward A. Wooldridge P.C.	Partner	1999	02/24/2014

		Borden Ladner Gervais LLP					
		CLLAS APPENDIX B					
		Section F - List of Lawyers who are not Employees					
		OFFICE - Montreal					
#	TMK CODE	Lawyer Name	Prof Corp Name	Status	Year of Call	Year Joined BLG	Year Became Partner
1	24624	Alberga, Donald	Don Alberga Legal Services Inc	Income Partner	2001	2016	2016
2	29648	Andrew Hodhod	Services Légaux Andrew Hodhod Inc.	Income Partner	2014	2015	2021
3	27164	Bianchini, Kevin	Kevin Bianchini Legal Services	Income Partner	2011	2010	2018
4	24029	Bolger, P. Jeremy	Jeremy Bolger Legal Services Inc.	Senior Counsel	1979	1979	1987
5	24048	Bouvette, Sylvie	Services Légaux Sylvie Bouvette	Equity Partner	1986	1986	1995
6	24510	De Zordo, Alexander	Services Juridiques Alexander De Zordo Inc.	Equity Partner	1993	2002	2004
7	29920	Dionne, Pascale	Pascale Dionne Legal Services Inc.	Equity Partner	1996	2016	2016
8	24223	Duchesne, Marc	Services Légaux Marc Duchesne	Equity Partner	1981	2001	2001
9	24045	Dufour, André	Services Légaux André Dufour	Equity Partner	1985	1985	1993
10	24951	Faribault, Christian	Services Legaux Christian Faribault	Equity Partner	1999	2007	2011
11	24486	Frenette, Vincent	Services Legaux Vincent Frenette	Equity Partner	1996	2002	2007
12	24059	Godber, H. John	Services Légaux John Godber Inc.	Equity Partner	1989	1989	1998
13	24060	Houle, Yvan	Yvan Houle Legal Services Inc.	Equity Partner	1989	1989	1998
14	24066	McGuire, Darren	Darren McGuire Legal Services Inc.	Equity Partner	1992	1991	2000
15	24063	Murphy, John G.	Services Légaux John Murphy Inc.	Equity Partner	1990	1990	1998
16	24415	Richer, Stephane	Services juridiques Stéphane Richer inc	Equity Partner	2002	2001	2009
17	24053	Royer, André	Andre Royer Legal Services	Equity Partner	1988	1990	2000
18	25977	Tomicic, Ryan	Ryan Tomicic Legal Services Inc.	Equity Partner	2011	2011	2018

APPENDIX C

Active Non-Lawyer Consultants Of The Firm As Of February 15, 2022
(Excluding Patent & Trademark Agents)

Name of Firm
Borden Ladner Gervais LLP

Updated as of (Enter Date):
February 15, 2022

SECTION A

[illegible]

/1 If underlying insurance is purchased, please complete Section B.

/2 Please complete this column ONLY for individuals who are not acting under the supervision of a lawyer AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX C

Active Non-Lawyer Consultants Of The Firm As Of February 15, 2022
(Excluding Patent & Trademark Agents)

Name of Firm

Borden Ladner Gervais LLP

Updated as of (Enter Date):

February 15, 2022

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

	Michelle Dion
Type of Exposure:	Professional Lialibility
Insurance Carrier:	Fonds d'Assurances Responsabilite de la Chambre d
Policy Number:	2021-D1364-0001
Period of Insurance:	04-01-2021 to 04-01-2022
Retroactive Date:	
Limit (Per Claim):	\$2,000,000
Limit (Aggregate):	\$5,000,000

	Nathalie Duceppe
Type of Exposure:	Professional Lialibility
Insurance Carrier:	Fonds d'Assurances Responsabilite de la Chambre d
Policy Number:	2021-D0571-0002
Period of Insurance:	04-01-2021 to 04-01-2022
Retroactive Date:	
Limit (Per Claim):	\$2,000,000
Limit (Aggregate):	\$4,000,000

Type of Exposure:	CGL
Insurance Carrier:	Chubb
Policy Number:	35811522
Period of Insurance:	Oct 1, 2021 to Oct 1, 2022
Retroactive Date:	
Limit (Per Claim):	\$2M
Limit (Aggregate):	\$20M General

APPENDIX D

"Associated Firms" and "Umbrella Firms"

Name of Firm

Borden Ladner Gervais LLP

1	The Named Insured under the CLLAS policies is Borden Ladner Gervais LLP with (i) Borden & Elliot International and (ii) Borden DuMoulin Howard Gervais, a partnership of Borden & Elliot, Russell & DuMoulin, Howard Mackie, McMaster Gervais and George Cihra, which was operated in London, England being added as additional Named Insureds.
2	On June 15, 1999, Borden & Elliot merged with Scott & Aylen of Ottawa. They were known as Borden Elliot Scott & Aylen in Ottawa and Borden & Elliot in Toronto.
3	On March 1, 2000, Borden & Elliot merged with the firms Ladner Downs of Vancouver, Howard Mackie of Calgary, Borden Elliot Scott & Aylen of Ottawa and McMaster Gervais of Montreal and practised under the name of Borden Ladner Gervais LLP.
4	On July 1, 2002, Borden Ladner Gervais LLP merged with Armstrong Perkins Hudson of Calgary and continues to practise under the same name.
5	On January 1, 2008, Shortt, Hanbidge, Richardson & Welch merged with Borden Ladner Gervais LLP and continues to practise under the same name.
6	The Firm now has offices in Toronto, Ottawa, Vancouver, Calgary and Montreal.
7	Borden & Elliot had established a national association with Russell & DuMoulin of Vancouver, Howard Mackie and McMaster Gervais known as Borden DuMoulin Howard Gervais. The association was terminated on March 1, 2000.
8	There were two associated partnerships operating in London, England:
	Borden DuMoulin Howard Gervais which was a partnership of the four Canadian firms and George Cihra, counsel to the Firm. This partnership was dissolved but it is covered under the CLLAS policies for acts prior to March 2001.
	Borden & Elliot International which was a partnership involving Borden & Elliot and George Cihra. Although this partnership was terminated in March 2001, it is covered under the CLLAS policies for acts prior to March 2001.
9	Ladner Downs had established an international partnership with Osler, Hoskin & Harcourt of Toronto and Ogilvy Renault of Montreal which was known as Osler Renault Ladner. Ladner Downs withdrew from the partnership on December 31, 1993.
10	There is an endorsement on the policy in respect of Borden DuMoulin Howard Gervais, Borden Elliot Scott & Aylen and Osler Renault Ladner to cover possible claims relating to the period during which the partnerships were in operation.
11	Coverage is also provided to the predecessor firms of Shortt, Hanbidge, Richardson & Welch namely Shortt, Hanbidge, Snider, Richardson & Welch and Shortt, Hanbidge & Snider.

APPENDIX E

Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Name of Firm

Borden Ladner Gervais LLP

Updated as of (Enter Date):

February 15, 2022

1 Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

[illegible]

2 Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

[illegible]

For lawyers practicing both Canadian and Non-Canadian Law, please provide a split between Canadian and Non-Canadian. Note that in cases where a split is not available, a 50% 50% split will be assumed.

3 Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the “Outside of Canada” column.

[illegible]

APPENDIX E

Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Name of Firm

Borden Ladner Gervais LLP

Updated as of (Enter Date):

February 15, 2022

4 Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

APPENDIX E

Professional Services Provided Relating to Non-Canadian Law & Professional Services Provided In the U.S. & Outside of Canada

Name of Firm

Borden Ladner Gervais LLP

Updated as of (Enter Date):

February 15, 2022

APPENDIX F

Schedule of Claims and Notices As of December 31, 2021

Name of Firm

Borden Ladner Gervais LLP

Updated as of (Enter Date):

December 31, 2021

Please attach separately with the email

Claims (TOR)

Claims (CAL)

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Dec 13, 2011	2012-061	Lawrence Orubor, Orubor Technologies Inc. (Jason Howg, Tim Webb, David Wood)		\$0	<p>Past client was put into collections. When approached regarding collections, client alleged:</p> <ul style="list-style-type: none">- mishandling of trust funds- negligence in work done- double billing- poor work- failure to respond to past concerns <p>No further word from client since December 1, 2011.</p> <p>Client filed Statement of Claim. (JLH, March 2012)</p> <p>Client filed Amended Statement of Claim March 18, 2013. Defence filed. Affidavits of Records being prepared. (JLH, March 2014)</p> <p>Affidavit of Records filed. (JLH, March 2015)</p> <p>Questioning of one defence witness commenced but not yet completed. (JLH, March 2016)</p> <p>No changes; (JLH, March 2017)</p> <p>June 2017 – Counsel (Halt, Edward) advised instructions received to pursue a security for costs application against the claimants (Lawrence Orubor and Orubor Technologies Inc.; March 2018 Counsel (Halt, Edward) is trying to proceed with the security for costs application on March 28, 2018 but opposing counsel is seeking an adjournment; We have receive some preliminary undertakings with respect to the Michael Whitt discovery which we are responding to. (JLH, March 2018)</p> <p>The security for costs application was further postponed; Examination of M. Whitt continued (JLH – March 2019)</p> <p>The security for costs application proceeded, and Orubor was required to post security of \$384,250 and pay the costs of two adjournment applications within four months or the action will be subject to a dismissal application. Orubor is appealing the security for costs award. (JLH - March 2020)</p> <p>No changes; (JLH – March 2021)</p> <p>An interim decision was rendered awarding an application for security for costs against the Plaintiff in the amount of \$50,000; An Amended Amended Statement of Claim is expected to follow; (JLH – March 2022)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Sept 9, 2015	2016-023	CareVest Capital Inc. (David Madsen)		\$1,500,000	<p>We represent CareVest Capital Inc. (“CareVest”). CareVest held a mortgage over a 50% undivided interest in land owned by its borrower. The title to those lands was held in the name of the borrower as to a 50% interest and in the name of several others as to the other 50%, but there was only one title. As part of a larger series of foreclosures, CareVest foreclosed on this interest and took an Order for Sale to Plaintiff of that 50% interest for the appraised value of \$77 million.</p> <p>After CareVest’s mortgage was registered, but before the Order for Sale to Plaintiff was obtained, Weinrich Contracting Ltd. (“Weinrich”) commenced a claim against the borrower and the other owners of the lands, claiming an interest in those lands pursuant to an agreement, and registered a CLP on title. That claim was for an interest in the lands equal to approximately \$1.6 million of work that had been expended on the property by Weinrich.</p> <p>There had been discussions in November 2014 with counsel for Weinrich (Kevin Chapotelle at Bryan & Co. in Edmonton) with Robyn Gurofsky of our office such that Mr. Chapotelle wanted to ensure that his client’s CLP did not get foreclosed off all of the lands, only the 50% interest charged by CareVest’s mortgage. He and Ms. Gurofsky agreed to a form of Order which would split the titles and leave the CLP only on the portion not being transferred to CareVest. Ms. Gurofsky was assisting in this foreclosure. While I did receive an email that provided the agreed upon language for the Order, I did not see it or it did not register with me. I handled the Court application at which the Order for Sale to Plaintiff was granted and my solution was to obtain the Order for Sale to Plaintiff, but leave the CLP on the whole title on the understanding that the CLP would thereafter only attach the lands not being foreclosed on.</p> <p>CareVest took title and the CLP remained on title. CareVest now has a purchaser and the purchaser wants the CLP off title. Counsel for Weinrich (will not agree to remove the CLP. His argument is that while he did agree that the CLP could come off the 50% interest charged by CareVest at the time of taking the Order for Sale to Plaintiff, now, through an error or omission on my part, (ie. not putting specific language in the Order or not splitting the titles) the CLP creates an interest in the lands which is free and clear of CareVest’s mortgage.</p> <p>CareVest would like us to apply to amend the Order for Sale to Plaintiff or to seek an Order that can be registered on title confirming that the CLP does not attach the interest of CareVest or its assigns.</p> <p>The sale is now scheduled to close November 15.</p> <p>An application was heard February 19, 2016 to discharge the CLP. The decision has been reserved. If the application is successful it is expected the purchaser will still close and our damages or possible damages would be limited to interest and any change in purchase price. (DTM – March 2016)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					No changes (DTM – March 2017) Awaiting decision of Justice Nixon re: application to discharge CLP. Statement of Claim issued by the claimants on May 19, 2017. (DTM – March 2018) Action is proceeding; Defence to be filed as to quantum of damages only. (DTM – March 2019) No changes; (DTM – March 2020) A mediation was held in December 2020, but failed to reach a settlement; (DTM – March 2021) No Changes; (DTM – March 2022)	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Jan 26, 2017	2017-095	Brian and Barbara Clarke (Barbara Clarke as attorney for Mary Baird) (Maria Doerksen)		\$500,000 - \$999,999	<p>On November 15, 2012 I received an email from Brian Clarke. Mr. Clarke advised that he and his wife have money invested with a first mortgage broker from Calgary for 8 years and “are very comfortable. Older friends of ours (Didsbury) have been with this broker many years and again are most satisfied. Mortgages must be renewed every six months, and rate of return is 10% paid semi annually. That business is BASE FINANCE and is operated by Mr. Arnold Breitkrutz and his partner Susan (private company). We receive assignment of title (not original as several investors may be involved with one property)...For our satisfaction we would like to further check these companies, but have not found anyone willing to follow some titles, making sure all is well...Is this something you could to, and what sort of cost do you anticipate.</p> <p>On November 21, 2012 I sent an email to Mr. Clarke advising that we can assist with “standard due diligence searches on the corporate (ensure corporation exists, who are the directors, litigation matters, etc.) and can also do title searches on land involved. In the event there are encumbrances registered on title, we can obtain copies of these as well”. I quoted a cost of \$300 for the due diligence and report, and advised that land titles searches are \$12/title or encumbrance search.</p> <p>On November 25, 2012 I received another email from Mr. Clarke stating that he would like to go ahead with the project. Mr. Clarke suggested that I call Arnold and Susan and say that I am doing due diligence for a client and have them explain their program. He advised “We would wish to be anonymous.” Mr. Clarke also attached a brief explanation sheet written for his children and faxed me a copy of one of the assignments (being and assignment of mortgage interest from Base Finance to Brian or Barbara Clarke).</p> <p>I noted that the assignment provided did not include any legal description, mortgage registration number or any other information through which I could determine a legal description in order to pull title.</p> <p>On January 9, 2013 I called Arnold Breitkreutz. He advised that he provides short term lending (6 months at the longest) for mortgages on residential properties only, based on 75% of land value, charging 10% interest. It is a quick time line – basically bridge financing.</p> <p>On February 6, 2013, I received an email from Mr. Clarke following up on this file.</p> <p>On February 9, 2013, I emailed Mr. Clarke advising that I had talked to Mr. Breitkreutz and have done the due diligence searches. I advised that “Everything checks out as you state. The due diligence searches did not result in anything unexpected”.</p> <p>On July 19, 2013 I emailed Mr. Clarke providing a detailed summary of my conversation with Mr. Breitkreutz as well as the due diligence search results on Base Finance Ltd. and Base Mortgage &</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>Finance Ltd.</p> <p>On July 22, 2013, I received a further email from Mr. Clarke asking “Understanding Arnold’s business model, would it be realistic to inquire if we could be placed as having secured interest in the mortgages?”</p> <p>On August 1, 2013, I emailed Mr. Clarke advising “I have two answers...from a purely legal perspective, it is realistic (and logical) to want to have a secured interest in the mortgage. Every lender (ie. Whether financial institution or private lender) that I work with requires this. However, having phoned Arnold to talk to him about his structure, he was clearly not happy that one of “his investors” was having a lawyer follow up with him...I do not know how Arnold will react when you ask him about having a secured interest...You clearly have a lot more knowledge about and experience with Arnold, and would be better able to judge his reaction.”</p> <p>On August 1, 2013 I received a thank-you email from Mr. Clarke.</p> <p>Burstall Ward states that: Brian and Barbara Clarke had \$1.09 million invested and that Mary Baird had \$140,000 invested. They offered to settle for \$640,000.</p> <p>No further update; no changes; (MD – March 2017)</p> <p>Statement of Defence was filed in July 2017. Affidavit of Records deadline for the Plaintiffs passed in November 2017. We were advised on January 25, 2018 that the Plaintiffs’ Affidavit of Records is still coming. We have received nothing further yet. (MD – March 2018)</p> <p>In October 2018, we received the Plaintiff’s Affidavit of Records. In January 2019, we agreed that Questioning would take place on March 5 and 6, 2019. In February 2019, Plaintiff’s counsel asked for Questioning to be postponed to May or June, 2019. No new date has been set yet. (MD – March 2019)</p> <p>Maria Doerksen was questioned on May 29, 2019; Brian and Barbara Clarke were questioned on May 30, 2019. Maria Doerksen completed her undertakings that arose from the questioning in November 2019. The Plaintiffs have not completed all of their undertakings. The Plaintiffs made a formal offer to settle in December 2019. In addition, they offered to discontinue the claim for the Estate of Mary Baird in consideration of a waiver of costs and disbursements associated with that portion of the claim. Defendants counsel recommended not accepting the settlement offer. Brian Clarke was questioned again on February 24, 2020. (MD – March 2020)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>In July 2020, Plaintiffs’ counsel asked if Maria Doerksen was available for further questioning the weeks of July 27 or August 3. Ms. Doerksen advised her counsel that she was available the week of July 27 and on holidays the week of August 3. No further questioning has occurred to date. In September 2020, Defendants’ counsel recommended some amendments to the Statement of Defence. (MD – March 2021)</p> <p>Amended Statement of Defence was filed in March 2021; June 2021 I was questioned on my undertakings; Plaintiff’s counsel attempted to compel us to appoint another office of BLG to answer questions; November 1, 2021 the Courts denied the application. (MD – March 2022)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
June 27, 2018	2018-122	The Lorne Charles Webster Spousal Trust by Trustee Royal Trust (Patrick L. Lindsay)		\$3,000,000	<p>The potential claim relates to the determination of the adjusted cost base (“ACB”) of certain shares transferred during a reorganization. Borden Ladner Gervais LLP (“BLG”) implemented the transaction based on a step plan including financial and tax information and data provided by Deloitte LLP. The potential claimant, Royal Trust Corporation of Canada, as trustee of the Lorne Charles Webster Spousal Trust, believes that the incorrect ACB was used in completing the reorganization, resulting in approximately \$2,000,000 - \$3,000,000 of adverse tax consequences to the Spousal Trust.</p> <p>Facts:</p> <ol style="list-style-type: none">1. Royal Trust Corporation of Canada (“Royal Trust”) is the sole trustee of the Lorne Charles Webster Spousal Trust (the “Spousal Trust”), and the Lorne Charles Webster Protective Trust (the “Protective Trust”).2. Borden Ladner Gervais LLP (“BLG”) has acted as legal counsel for Royal Trust in connection with the Protective Trust, the Spousal Trust, the personal matters of the late Lorne Charles Webster, and Island City Investment Ltd., a corporation under the laws of the Province of Alberta (“ICT”).3. In or about late 2008 to early 2009, Royal Trust engaged Deloitte LLP (“Deloitte”) to identify and analyze options for the winding up of the Protective Trust, and the distribution of its properties to the Spousal Trust and seven trusts established for the benefit of the children of Lorne Charles Webster.4. By a letter dated October 6, 2010, Deloitte sent a letter containing a detailed analysis of a plan to facilitate the distribution the assets of the Protective Trust to Mr. Fred Sbrocchi, Senior Trust Advisor at Royal Trust.5. Sometime thereafter, in the Fall of 2010, the Calgary office of BLG was retained to implement the transactions contemplated by the October 6, 2010 planning letter from Deloitte (the “Transactions”).6. In the weeks and months that followed, transaction documents were prepared by BLG Calgary, under the supervision of J. Kevin Scott, then an Associate in the BLG Calgary Tax group.7. Due to delays in obtaining valuations and financial information, the Transactions were not completed in 2010 as initially planned. In fact, the Transactions were not finally completed until 2013.8. In or about July 2012, and before the transactions could be completed, Mr. Scott resigned from BLG, and Patrick L. Lindsay, then a partner in the BLG Calgary Tax group, assumed responsibility for the matter.9. After he assumed carriage of the file, Mr. Lindsay began regular communications with Royal Trust (and in particular, Gary Troup and Jean Korinetz) and Deloitte (and in particular, Faisal Jamal, CA) to discuss the Transactions and steps. Mr. Lindsay provided Royal Trust and Deloitte with drafts of the transaction documents (including on October 19, 2012).10. On a number of occasions, Mr. Lindsay noted that updated figures and values would be	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>required to finalize the transaction documents. These updated figures were to be provided by Deloitte, as noted on a number of occasions by Mr. Lindsay and Ms. Korinetz, and as acknowledged by Mr. Jamal.</p> <p>11. By a letter dated December 17, 2013, Deloitte provided Royal Trust with an update to the steps to complete the Transactions.</p> <p>12. The transaction documents were completed by BLG in reliance on the steps and figures provided by Deloitte, and executed.</p> <p>13. In or about December 2017, Royal Trust contacted BLG to request copies of BLG’s client files in relation to the Transaction. Royal Trust advised that they wished to determine how the adjusted cost base (“ACB”) of certain shares of ICI transferred (the “Class C Shares”) from the Spousal Trust to a new corporation as part of the Transaction had been determined, as it appeared that the incorrect ACB had been used, resulting in estimated adverse tax consequences for the Spousal Trust of \$2,000,000 to \$3,000,000.</p> <p>14. BLG provided copies of its relevant files to Royal Trust for review.</p> <p>15. On or about June 25, 2018, BLG was advised by Royal Trust that Royal Trust was considering making a claim in their capacity as trustee of the Spousal Trust against BLG in connection with the advice and assistance provided by BLG in respect of the Transactions. Royal Trust advised that:</p> <p>a. Based on their review of the documents in their files, Deloitte had structured the Transactions on the basis that the Class C Shares had an ACB equal to their fair market value;</p> <p>b. In reality, the Class C Shares had nominal ACB; and</p> <p>c. BLG ought to have identified the error in Deloitte’s assumptions with respect to the ACB of the Class C Shares.</p> <p>16. BLG continues to represent Royal Trust with respect to other matter, including the occasional preparation of dividend resolutions for Royal Trust and ICI.</p> <p>February 2019 – Renewed Tolling Agreement; (JDV – March 2019)</p> <p>No Changes – (JDV – March 2020)</p> <p>No Changes - (JDV – March 2021)</p> <p>No Changes - (JDV – March 2022)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
July 16, 2018	2019-002	Front Range Resources Ltd., Deventa Energy Inc. (Colin Poon)			<p>BLG was asked to prepare drafts of certain ta slips relating to flow-through shares relating to an external flow-through share financing and an internal financing with a subsidiary. The slips for the external financing were completed, but the slips for the internal financing were not completed. The internal slips would have accompanied an additional filing to be made by the subsidiary which would have moved the relevant resource expenditures from the subsidiary to the parent. Having not received the internal slips, the CFO of the client was not reminded of the fact that such forms needed to be filed and the filing was not made in time. The <i>Income Tax Act</i> allows late-filing of these forms where it is just and equitable but a late-filing penalty calculated to \$22,423.25 will apply. Although this matter should also have been caught by the CFO of the relevant corporation, BLG may have failed to properly follow-up and to deliver draft slips which would have reminded the CFO of the requirement to file.</p> <p>Details: Over the course of 2016, Front Range Resources Ltd. (“FRRL”) subscribed for flow-through shares of a subsidiary, Deventa Energy Inc. (“Deventa”) in order to meet its own commitment to incur qualifying resource expenditures under its own flow-through share financing to third-party investors. BLG assisted with the subscription agreements and was asked to assist with the required tax filings. Initial filings (T100a and T100B forms) were made in respect of the issuance of flow-through shares from FRRL to third parties (the “External Financing”) as well as the issuance of flow-through shares from Deventa to FRRL (the Internal Financing”).</p> <p>On January 30, 2017 BLG was asked to assist with preparation of T101 slips for the External Financing and the Internal Financing. The purposes of the T101 slips is to notify investors of renunciations – a copy must be sent to the relevant investor and a copy must be retained to be filed along with the renouncing corporation’s T101A form in respect of such renunciation. The External Financing was intended to utilize the “look back” rule available in certain circumstances under the <i>Income Tax Act</i>, while the Internal Financing was ineligible for the look-back rule. Accordingly, the slips for the Internal Financing had to be delivered by February 15, 2017 to outside investors. These slips were duly prepared and sent to the client for further handling. The internal slips needed to be filed when the relevant expenditures had been made and renounced by Deventa to FRRL throughout 2016 or 2017. They were not prepared in February 2017 and appropriate follow-up may not have occurred to ensure the client filed the T101A form which would have included such slips. The professionals from BLG involved in the tax-side of the file were Colin Poon, associate, and Richard Eisenbraun, partner.</p> <p>On July 10, 2018 the client notified Colin Poon of BLG to confirm whether certain filings were made. On July 11 BLG’s role in the failure to file was identified.</p> <p>The late-filing penalties for the relevant forms have been calculated to be \$22,423.25, which is BLG’s probable liability in respect of these matters. In the unlikely event that the CRA does not</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					accept the late-filed forms the liability could be as high as \$4,500,000, pending on the magnitude of indemnification claims received by FRRL from external investors. No changes (C. Poon – March 2019) No changes (C. Poon – March 2020) No changes (J. Vallis – March 2021) No Changes (J. Vallis – March 2022)	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
March 13, 2019	2019-084	Daniel Lim; Skilled Networks Inc. (Travis McArthur and Patrick Mah)			<ul style="list-style-type: none">On June 1, 2017, the potential claimant Daniel Lim (“DL”) contacted BLG seeking assistance in relation to loans made by DL through his holding companies to a borrower for the development of a project in Brooks (the “Project”). DL advised that the borrower had been late in payments under the loan. DL provided BLG with copies of the loan agreements that he and the borrower had drafted and negotiated in 2015 – these were unsigned (the “Loan Agreements”).On June 2, 2017, Travis McArthur (“TM”) reviewed the Loan Agreements and spoke with DL and reviewed title to the Project and advised DL that there were a number of financial charges registered against the Project lands:<ul style="list-style-type: none">A mortgage in favour of Connect First Credit Union Ltd. in the principal amount of \$3,150,000.00;A mortgage in favour of Canadian Western Trust Company in the principal amount of \$197,500;A caveat protecting a promissory note in favour of 1012764 Alberta Ltd. in the principal amount of \$559,166.66; andA Tax notification by the City of Brooks (for unpaid taxes).TM advised that the Loan Agreements were unsigned and contemplate that a caveat was to be filed against the Project lands securing each loan to the borrower (collectively, the “Charge”) and further contemplated that DL was to be provided a share pledge of 100% of the outstanding shares of the borrower (the “Share Pledge”). Neither the Charge nor the Share Pledge were ever obtained, rendering DL an unsecured creditor. TM advised DL to request from the borrower signed copies of a mortgage to register against the Project Lands.In July of 2017, after DL spoke with the borrower, TM advised DL that the best approach would be to draft a fulsome mortgage which would give DL additional enforcement rights over drafting an agreement charging land and registering by caveat.On July 19, 2017, TM sent draft documentation to DL for review which included bullets (info to be completed):	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<ul style="list-style-type: none">○ Agreement charging land re: MPDC loan for 220k (the “MPDC Charge”);○ Agreement charging land re: Skilled Networks loan for 220k (the “Skilled Charge”);○ Share pledge agreements re: MPDC loan;○ Share pledge agreements re: Skilled Networks loan;○ Stock Power Transfers of Attorney re: share pledges.• TM reminded DL that even after registering the above, DL would be behind a number of encumbrances that will rank ahead of DL’s charge and that an allpaap registration existed at the Personal Property Registry against the borrower and that the best way to perfect an interest in the shares is to physically control the share certificates.• On July 19, 2017, DL responded advising that he was not surprised that there were secured creditors ahead of him and asked TM to send him a clean email so that he could forward to the borrower for review• On August 28, 2017, DL forwarded an email from the borrower to TM asking what the meaning of the documentation was and TM responded on August 28, 2017.• On September 29, 2017, DL asked TM to re-forward the email on August 28.• On October 22, 2017, DL forwarded an email to TM with the signed documents.• On October 29, 2017, TM advised DL that it was his last day at BLG and also advised that he reviewed the signed documents and there were a number of NTDs and bullets not completed. TM introduced Patrick Mah (“PM”) to the client.• On November 10, 2017, DL forwarded another set of signed documents to PM.• On November 20, 2017, PM sent DL an email advising that there were deficiencies to the documents which included bullets and notes to draft that still needed to be complete, that DL needed original share certificates and that DL should register the MPDC Charge and the Skilled Charge.• On November 24, 2017, PM followed up with DL.	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<ul style="list-style-type: none">On March 15, 2018, DL emailed PM asking for a call.On March 23, 2018, PM re-sent the email that PM sent DL on November 20, 2017 listing the deficiencies.On March 26, 2018, PM advised DL that he should register the Charges as soon as possible to get on title and DL advised PM to proceed.On November 4, 2018, DL emailed PM advising him that he received a tax recovery sale notification from the Town of Okotoks and asking if anything needs to be done.On November 5, 2018, PM advised DL that the Town of Okotoks is threatening to sell the Project lands by way of public auction to pay the tax arrears and that DL should consider demanding under the loan and offering to put DL in touch with one of BLG’s litigation lawyers.On November 6, 2018, PM spoke with DL on the phone and discussed options for enforcement. On the call, DL advised PM that the subject loans were in the amount of \$220k to MPDC and \$280k to Skilled Networks Inc. and that the agreements charging land should secure the corresponding amounts totaling \$500k. PM advised that he would review title to the Project lands and would advise.On November 6, 2018, PM reviewed the title to the Project lands and identified that the Skilled Charge was in the amount of \$220k and not \$280k as DL had expected. PM e-mailed DL introducing DL to a litigation colleague and attached an additional agreement charging land for \$60k advising DL that the Skilled Network Charge was in the amount of \$220k as opposed to \$280k as he expected and that he should send the draft 60k agreement charging land to the borrower to have signed.On November 15 and November 19, BLG followed up re: the additional 60k agreement charging land.Throughout November, a BLG litigation lawyer discussed options with DL.On November 29, 2018, BLG emailed DL advising that BLG had received a copy of a valuation listing the forced sale value at \$3.4 million and market value at \$4.2 million. BLG advised that there were approximately \$3.6million in secured creditors ahead of DL not including the tax arrears which means that there may or may not leave any recoveries	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<div>for DL depending on the sale.</div> <div><ul style="list-style-type: none">• In December and January of 2019, a BLG litigation lawyer continued discussion re: options with DL.• The potential claim against BLG is that BLG allegedly was instructed to draft the Skilled Networks Charge in the amount of \$280k and failed to do so as the Skilled Networks Charge was only in the amount of \$220k (the “Potential Claim”).</div> <div>Based on the best available information, the “forced sale” valuation of the subject property is set at \$3.4 million. Given that there is approximately \$3.6million in secured creditors before the tax arrears, we believe that DL’s losses from his loans will exceed the liability under the Potential Claim making the likelihood of the Potential Claim very unlikely.</div> <div>No changes (P. Mah – March 2020)</div> <div>No changes (P. Mah – March 2021)</div> <div>No changes (P. Mah – March 2022)</div>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
April 29, 2019	2019-104	Trillium Health Partners Foundation/ 19622835 Ontario Inc. (Beverly Jean Gilbert (non lawyer)		\$10,000 and under	<p>Trillium Health Partners Foundation (“THPF or “The Client”) has requested BBLG cover costs related to not having charged the goods and services tax/harmonize sales tax (“HST”) on sponsorship income for a gold tournament operated as a Third Party Fundraising event where a subsidiary of the Client 1962835 Ontario Inc. (“Newco”) was acting as an agent on behalf of participants after relying on the guidance from the April 12, 2018 BLG memorandum (the “First Memorandum”).</p> <p>I worked with Pamela Cross, who had carriage of the file, Ryma Sachedina and Braek Urquhart to issue the First Memorandum which addressed, in part, potential HST implications to Newco and the Client based upon theoretical Third Party Fundraising Events (golf tournaments, galas) being operated by Newco as agent for the Event participants (“Participants”). The Client has asked BLG to agree to pick up any assessment, penalties, interest that may arise from a future CRA GST/HST audit for Newco Client has estimated this exposure to be less than \$10,000.</p> <p>The Client believes that the First Memorandum did not properly point out that if Newco ran the Third Party Fundraising Events as agent on behalf of the Participants of the fundraising events, some of the Participants may be required to collect and report the HST, in particular on sponsorships for the Third Party Fundraising Events. The First Memorandum did not directly analyse the impact of the sponsorship arrangements on Participants. This analysis was not expressly requested. With respect to the Client’s goals, the Client had stated the following intended outcome: “No change in the event and or marketing ((Name of Event) for Trillium) to provide seamless donor experience”.</p> <p>THPF’s objective was to transfer the fundraising activities (golf tournaments & galas) to Newco. They indicated initially that the objective was to permit Foundation staff to focus on endowment gifts, a secondary objective was to reduce the cost of fundraising to the Foundation. We provided them with an income tax and HST analysis of 2 alternatives – (i) having the subsidiary carry out the fundraising on its own account (essentially as a business line) or (ii) relying on the CRA’s “Third Party Fundraising” administrative guidelines that dealt with events whereby an “organizer” would organize the event on subsidiary and THPF of each option. The Client prepared its own summary (chart) of these implications which is also consistent with our memo. Both our memorandum and the client’s chart confirm that under option (ii) the participants earn al of the event revenue and the participants are responsible for the event expenses. The memorandum does not address, and the discussions with the client did not raise, any specific implications to the participants of the two options.</p> <p>The First Memorandum focused the obligations of Newco. For example, it pointed out:</p> <p><i>Regarding NewCo’s supply of sponsorship rights in exchange for sponsorship fees, the same analysis would apply – no HST exemption applies to a commercial corporation’s supply of sponsorship right, so any sponsorships would be considered taxable supplied, and NewCo would</i></p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p><i>be required to collect and remit HST calculated on the sponsorship fees.</i></p> <p>The First Memorandum then went on to describe the consequences to Newco of acting as the agent of the Participants who would undertake the Fundraising Event:</p> <p><i>In the event that NewCo was undertaking the fundraising events as the agent for the participants as described above (i.e. NewCo collected and retted to THPF, on behalf of the participants, the net proceeds pursuant to a Fundraising Agreement), NewCo is not making a supply to the Participants and, as a result there is not HST. The net proceeds represent a donation by the Participant to THPF. NewCo would not be entitled to claim any ITC on the Fundraising Event expenses as these expenses are incurred on behalf of the participants, not on behalf of NewCo.</i></p> <p>The First Memorandum addressed the consequences to Newco of undertaking the Fundraising Event as agent of the Participants.</p> <p>Subsequent to our memorandum, we assisted THPF in minor revisions to their standard form Third Party Fundraising Event agreement so that it as clear Newco was not operating on behalf of THPF (inconsistent with both option (i) and option (ii) and we prepared a services agreement as THPF was going to provide administrative support to the subsidiary or the event organization. We were not asked to review any documentation relating to any specific event (such as venue rentals, sponsorship forms, participation registrations, etc). We understand that one event was held in 2018 as a pilot.</p> <p>In December 2019, they asked us to update our memo for “scalability” (i.e multiple events). At that time, we obtained the documentation they had used for their 2018 event, which did not clarify to the participants that the subsidiary was acting as the agent of the participants. We updated our memorandum and addressed the document changes and reporting that would be required to participants to clarify the arrangements and to permit those participants who are HST registrants to correctly remit their share of HST on sponsorship supplies and claim appropriate ITC (this issue it not relevant for participants who are not registrants.) This would require disclosure of event financial information to the participants in a way that was not acceptable to THPF.</p> <p>In later discussions (January) with the client it became clear that notwithstanding our spring memo, their own summary chart of the options and our prior discussions (all of which pointed to the Participants carrying out the events(s)), they misinterpreted the CRA’s “Third Party Fundraising” administrative guidelines. Unknown to BLG, THPF had ad an independent fundraising arrangement with another unrelated business (whereby the business held an annual fundraising event for THPF and donated the proceeds) and THPF had assumed that these arrangements were the same as option (ii) described I our memo. Unfortunately, the other fundraising arrangement was the same as option (i) in our memo, which had not been adopted by THPF as it resulted in a small amount of tax “leakage” (because Newco is related to THPF; the</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>leakage does not arise in an event hosted by an unrelated third party business).</p> <p>The Memorandum issued January 9, 2019 (the “Second Memorandum”) was issued after the Client had implemented the Third Party Fundraising Event. The Client provided us with the documentation used for the event and BLG then looked at the consequences to the Participants and advised:</p> <p>The supply of advertising and promotions opportunities to sponsors is treated in the same manner as any other supply of goods or services under the HST Act – unless such a supply is explicitly exempt from HST, HST is applicable. Many Participants will be HST registrants. Generally, unless a particular Participant is a charity or other type of public sector body, the Participant’s supply of sponsorship, advertising and promotions opportunities to sponsors is subject to HST and the Participant must charge, collect and remit HST on that supply.</p> <p>It is important to note that it may not be Newco who will be assessed by the Canada Revenue Agency (“CRA”) for the non-collection of HST but the Participants and only if the Participants are HST registrants and then only to the extent that the input tax credits (“ITCs”) do not offset the HST and raise audits on the Participants. The risk of this happening is low because the materiality of the dollar amounts involved.</p> <p>No changes (B. Gilbert – March 2020)</p> <p>No changes (B. Gilbert – March 2021)</p> <p>No changes (B. Gilbert – March 2022)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
April 8, 2020	2020-102	Kramer Ltd. and Timothy Kramer (Richard Eisenbraun)		\$10,001 to \$100,000	<p>In summary, our client was expecting to receive documents on April 1, 2020 via email, since other counsel had promised on March 31, 2020 to deliver them “tomorrow”. I was not expecting them to contain any trust conditions. After our client’s daughter followed-up late in the evening on April 1 indicating frustration with other counsel and his client for not having received the documents as promised, I quickly forwarded the email to my client and another lawyer in our firm. I did not notice the trust conditions at that time. Once I noticed them, I started taking steps to remedy the issue, if and to the extent possible. My request for a retroactive amendment to the trust condition was been denied, and I am therefore alleged to have breached the trust condition.</p> <p>FACTUAL BACKGROUND The following is a summary of relevant facts as of April 9, 2020. I would be happy to provide more detail in due course, if necessary or desirable. All times referenced are in Mountain Standard Time.</p> <p>Client Background</p> <p>1. We act for Claire Kramer with respect to certain of her personal and estate planning matters (“Mrs. Kramer”).</p> <p>2. Mrs. Kramer is in her 90s and is the widowed spouse of Donald E. Kramer (“Don Sr.”), who passed away on August 11, 2018 and was the founder of Kramer Ltd. (the “Corporation”).</p> <p>3. Mrs. Kramer has five children: Timothy Kramer (“Tim”), Allan Kramer (“Allan”), Roberta Bell (“Roberta”), Mary-Alice Barnes (“Mary-Alice”) and Donald J. Kramer (“Don Jr.”).</p> <p>4. During the 2020 COVID-19 pandemic, Mrs. Kramer moved out of her Amica condo and has been living with Mary-Alice and her husband, Colin Barnes (“Colin”), at their home in Calgary (the “Barnes Residence”). While we take instructions solely from Mrs. Kramer, with Mrs. Kramer’s consent we have been communicating with Mary-Alice and Colin from time to time.</p> <p>5. Mary-Alice and Roberta are the personal representatives and attorneys in Mrs. Kramer’s estate planning documents. Mrs. Kramer has capacity and the estate planning documents have not been engaged. However, given her age and the anticipated complexity of her estate, Mrs. Kramer has been keeping Mary-Alice and Roberta informed with respect to pertinent matters.</p> <p>6. Mrs. Kramer now owns a majority of the voting shares of the Kramer Ltd. (the “Corporation”). The remaining shares are owned by Tim, Allan and Don Jr. Mary-Alice and Roberta are former shareholders of the Corporation and sold their shares on or around June 22, 2018.</p> <p>7. The directors of the Corporation are Mrs. Kramer and Tim.</p> <p>8. During 2015, the Corporation sold its operating business for approximately \$230M.</p> <p>9. After the sale, on December 30, 2016, the Corporation established a retirement compensation</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>arrangement (the “RCA Trust”) pursuant to a Retirement Compensation Arrangement Trust Agreement (the “Trust Agreement”) and a Retirement Income Plan Document (the “Plan Document”). The RCA Trust provided benefits to Don Sr. and Tim. Upon the death of Don Sr., Mrs. Kramer became entitled to benefits under the RCA Trust.</p> <p>10. In addition, the Corporation purchased life insurance policies that will pay out upon the death of Tim, Don Jr. or Tim’s son, David (collectively, the “Insurance Policies”).</p> <p>11. Our client, Mrs. Kramer has been seeking copies of documents relating to the RCA Trust (including the Plan Document and the Trust Agreement) and the Insurance Policies (collectively, the “Requested Documents”) for several months, and we have made requests for the same on her behalf.</p> <p>12. The Plan Document provides that Mrs. Kramer is a beneficiary of the RCA Trust and is entitled to make a written request to the Trustees of the RCA Trust for additional payments out of the RCA Trust (the “Written Notice”). The Plan Document also contains details regarding distribution requirements from the RCA Trust.</p> <p>13. The Trustees of the RCA Trust are Tim and his business associate, Robert Perry.</p> <p>14. If Mrs. Kramer predeceases her son Tim, then Tim (or his estate upon his demise) is entitled to the remainder of the property in the RCA Trust.</p> <p>15. Mrs. Kramer is of the view that Tim has been withholding information and documents from her regarding the Corporation and the RCA Trust, and that she has not received certain expected distributions from the RCA Trust.</p> <p>16. Tim and the Corporation are represented by Glen Lekach and Reginald A. Watson, Q.C., of Miller Thomson LLP.</p> <p>Relevant Events</p> <p>17. The events relevant to this submission are described in detail in the email chain attached as Schedule “A”. Further commentary is below.</p> <p>18. After several requests, we were advised by Mr. Lekach, on March 31, 2020, that the Requested Documents would be provided “tomorrow”. There was no indication that they would be provided under a trust condition.</p> <p>19. Mr. Lekach sent the Requested Documents on April 1 at 2:41pm (the “Lekach Email”). While I noticed the Lekach Email in my inbox, I did not immediately review it in detail. The email was quite long and had 19 attachments.</p> <p>20. The second sentence of the Lekach Email contained the following trust condition (the “Trust Condition”).</p> <p><i>In this regard I enclose the following documents which are sent to you on the trust condition that they not be distributed by you to any person, in any form, except that you may allow Mrs. Kramer’s and her professional advisors to review the same under your supervision.</i></p> <p>21. On April 1, 2020 (Wednesday) at 9:33pm, I received an email from Mary-Alice (who uses her</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>husband, Colin Barnes', email address) indicating her frustration with Mr. Lekach and Tim for not sending the Requested Documents on April 1, as promised. A copy of this email is attached as Schedule "B".</p> <p>22. I noticed Mary-Alice's email on my iPhone very late in the evening on April 1, 2020, while in bed. I also knew that I had received the Lekach Email, but I had not yet reviewed it in detail. Given the file size of the email and attachments, I was having some technical difficulties both reviewing the text of the Lekach Email on my iPhone (other than the first few words, which did not mention the Trust Condition) and forwarding the Lekach Email using my iPhone. Attached as Schedule "C" are screen shots from my iPhone illustrating, roughly, what I saw at the time.</p> <p>23. Knowing that our client was anxiously awaiting the Requested Documents, I quickly went to my computer and forwarded the Lekach Email to Mrs. Kramer and another lawyer in our firm, Ruth M. Spetz, Q.C. (note that I did not send the email to Mary-Alice, even though it was clear that Mrs. Kramer and Mary-Alice were openly communicating with one another). The email was sent at 11:54pm on April 1, 2020 and stated: <i>FYI. Will review tomorrow.</i></p> <p>24. Late afternoon on April 2, 2020 (Thursday), I reviewed the Lekach Email in detail and noticed that I had potentially breached the Trust Condition. I immediately emailed and then spoke with Mrs. Spetz by phone to discuss the issue, and then attempted to contact Mrs. Kramer by phone at 5:02pm. Unable to reach Mrs. Kramer by phone, I emailed her on April 2, 2020 at 5:06pm to advise her of the restrictions imposed by Mr. Lekach with respect to the Requested Documents and advising her not to circulate them further.</p> <p>25. Shortly thereafter, at 5:45pm after conferring further with Mrs. Spetz, I emailed Mr. Lekach and advised him that I had forwarded the email and Requested Documents to Mrs. Kramer and her professional advisors to review in order to facilitate further discussion regarding the same, and noting that it was our interpretation that this was consistent and compliant with the Trust Condition having regard to social distancing requirements in the context of COVID-19. This was our position at the time, and we continue to believe it has merit.</p> <p>26. By email on April 3, 2020 (Friday) at 3:55pm, Mr. Lekach responded stating that it was their intent to prevent the email and Requested Documents to be sent to third parties and requesting confirmation that Mrs. Kramer and her professional advisors not distribute them to any other person.</p> <p>27. On April 3, 2020 at 4:01pm, I discussed this matter with Mrs. Kramer by phone. I advised her that I potentially was in breach of my trust condition to Mr. Lekach and that it was very important not to distribute the documents further, at least until the issues had been resolved among lawyers. I did not ask about whether Mrs. Kramer had made a further distribution of the documents at that time, but I believed it was safe to assume that Mary-Alice and Colin had reviewed them with Mrs. Kramer at the Barnes Residence.</p> <p>28. At the time of the above-mentioned conversation with Mrs. Kramer, I had not yet seen Mr. Lekach's email from 6-minutes earlier. I forwarded this email to Mrs. Kramer on April 5, 2020</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>(Sunday) at 12:09pm to facilitate further discussion.</p> <p>29. On April 5, 2020 at 2:13pm and 3:36pm, I had further phone conversations with Mrs. Kramer regarding the Trust Condition. Mrs. Kramer advised me that she had forwarded the Lekach Email and Requested Documents to Mary-Alice and Roberta, and that their husbands had likely seen this as well. After becoming aware of this and discussing it with my colleagues, I requested that Mrs. Kramer ask Mary-Alice and Roberta to delete the relevant emails and documents. I have no doubt that Mrs. Kramer understood this request and the severity of the situation. She acknowledged that this put me in an “awkward position” and that she was “most anxious” to remedy the situation (her words in quotations). Mrs. Kramer confirmed that she would ask her daughters to delete the emails and attachments.</p> <p>30. On April 5, 2020 at 4:02pm, I left a voice mail with the practice advisor for the Law Society of Alberta to seek direction on next steps. I did not report myself at this stage because I believed that there was a reasonable possibility that the Trust Condition would be retroactively amended and our view that the Trust Condition was unreasonable in the circumstances.</p> <p>31. On April 5, 2020 at 5:38pm, I sent Mr. Lekach an email outlining a summary of the facts above and our position with respect to the Trust Condition, and requesting that he retroactively amend his Trust Condition to permit the disclosures referenced above.</p> <p>32. On April 8, 2020 at 4:14pm, I emailed Mr. Lekach and attached the Written Request, executed by Mrs. Kramer, pursuant to which Mrs. Kramer requested that additional distributions be made to her out of the RCA Trust.</p> <p>33. On April 8, 2020 at 4:21pm, Mr. Lekach’s partner, Mr. Watson, sent me an email describing his firm’s position with respect to the Trust Condition and refusing my request for a retroactive amendment to the Trust Condition.</p> <p>34. Upon receiving Mr. Watson’s email on April 8, 2020, I immediately emailed Nancy Carruthers at the practice advisor’s office (via form on the Law Society of Alberta website) to follow-up on my April 5, 2020 voice mail, and I spoke with Elizabeth Aspinall of the Law Society of Alberta on April 9, 2020. I also conferred internally with members of my firm on the appropriate course of action to respond, all of which ultimately resulted in this submission.</p> <p>ADDITIONAL COMMENTS</p> <p>I should have waited until I had a chance to review the Lekach Email in detail and then gone back to Mr. Lekach to re-negotiate the Trust Condition. I take full responsibility or not doing so and for my haste in forwarding the Lekach Email to my client. I also should have emailed Mrs. Kramer to advise her that I had received an email from Mr. Lekach and that I would follow-up with her the next day, instead of forwarding the Lekach Email. Accordingly, I am making this submission. I also would like to mention the following points. None of my comments below should be construed as an excuse for my actions; merely context regarding the nature of the matter.</p> <p>1. It is our position that the Trust Condition was unreasonable in the circumstances. It would not be prudent, in the context of COVID-19 and having regard to Mrs. Kramer’s age, for me to meet with Mrs. Kramer in person to discuss these particular documents. Therefore, the purported</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>“delivery” of these documents to Mrs. Kramer would have been stifled had the Trust Condition been complied with. For example, the Trust Condition would seemingly prevent Mrs. Kramer from even attaching the Plan Document to a submission in any proceeding she may take with respect to her entitlements under the RCA Trust.</p> <p>2. In any event, it is our position that Mrs. Kramer is entitled to the Requested Documents, without restriction, as a director and the majority voting shareholder of the Corporation, and as a beneficiary of the RCA Trust. It is our view that Miller Thomson LLP, as the Corporation’s counsel, would have an obligation to deliver the same directly to Mrs. Kramer upon her request.</p> <p>3. This is the second time on this matter that we have encountered difficult trust conditions from Miller Thomson LLP. In late 2019, documents executed by Tim and Don Jr. had been provided to us with trust conditions prohibiting us from “copying, distributing or otherwise using” them, yet we had only been provided with an electronic copy of an older version of the document, effectively precluding us from obtaining the necessary signatures on the final version. An electronic version of the document was ultimately provided, and the trust conditions were amended, so that documents could be executed and exchanged.</p> <p>4. The Requested Documents contained information necessary for Mrs. Kramer to make the Written Request for additional funds from the RCA Trust. Had the documents not been received, Mrs. Kramer would not have had the necessary information to properly advance this matter during her lifetime. Time is of the essence for Mrs. Kramer in these circumstances.</p> <p>5. With respect to Mr. Watson’s email:</p> <p>a. Contrary to Mr. Watson’s assertion, I was not aware at the time of my email to Mr. Lekach on April 2, 2020 that Mrs. Kramer had already shared the Relevant Documents with her daughters. However, I assumed that she would likely share them with Mary-Alice and Colin once she reviewed the email. I became aware that Mrs. Kramer had in fact forwarded the Lekach Email to Mary-Alice and Roberta on April 5, 2020.</p> <p>b. The reference to “professional advisors” in my April 2, 2020 email was to Mrs. Spetz, who is another lawyer in our firm who has been assisting with Mrs. Kramer’s estate planning matters. It was not a reference to Mary-Alice or Roberta, as Mr. Watson’s email suggests.</p> <p>c. There is no capacity issue for Mrs. Kramer, and we only take instructions from Mrs. Kramer herself. To be clear, my April 2, 2020 email was only sent to Mrs. Kramer and Mrs. Spetz, not to Mary-Alice, Colin or Roberta. While we may discuss matters from time to time with Mary-Alice or Colin for expediency purposes, which Mrs. Kramer has consented to, our instructions come directly from Mrs. Kramer.</p> <p>The file has been closed with ALIA. There are no further correspondence regarding this particular claim, we see no damages, and the broader dispute among the parties (of which we viewed this claim as part of the other party’s strategy) is not resolved. I believe the CLLAS file can be closed. (R. Eisenbraun – March 2021)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					No Changes (R. Eisenbraun – March 2022)	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Oct 14, 2020	2021-023	Scott Van Rooijen et al (PesoRama Inc.) (Robb McNaughton)		\$10,000 and under	<p>PesoRama (the “Corporation”) is a private corporate incorporated under the Canada Business Corporations Act on October 16, 2017. It has been a BLG client since its incorporation. The shareholders attended to the annual general meeting requirements by unanimous resolutions on February 8, 2018 and May 8, 2018. I was duly elected as a director by unanimous shareholders resolution on August 31, 2018. Cannaccord Genuity Corp, an investment bank, requested PesoRama to elect me to the board as a condition of closing a financing. It had concerns about the CEO based on a due diligence report prepared by Investigative Solutions Network Inc., a private investigator, among other reasons. The board fired the CEO for cause on June 17, 2020 and reached a settlement with him on July 23, 2020.</p> <p>The former CEO is still on the board of directors of the Corporation. The shareholders have been given confidential information (such as, the amount of legal fees paid and the compensation arrangement with the new CFO) by a director.</p> <p>Annual General Meeting: The Corporation is overdue under the requirements of the Canada Business Corporations Act by 14 months for its AGM. BLG advised the board on multiple occasions that the Corporation was overdue for an AGM, and I documented the advice in board meeting minutes on March 3, 2020 and April 7, 2020. The Corporation initially postponed its AGM as it was planning a transaction that required shareholder approval. It wanted to avoid holding an AGM and special meeting of the shareholders in close proximity of each other for cost savings and other reasons, which is not unusual. It got further delayed by COVID 19 and the termination of the CEO and related issues. The shareholders have not requisitioned a meeting.</p> <p>Financial Statements: Financial statements have not been provided to shareholders in the timelines prescribed under the CBCA. The Corporation has not been able to produce audited financial statements as the former CEO reimbursed himself for material expenses without proper documentation (which is part of the reason that he was fired), among other things. These expenses were addressed as settlement expenses under an agreement with the former CEO when he was terminated that has enabled the Corporation to resolve the issue with its auditors. PesoRama expects to complete the audit for the overdue financial statements in October.</p> <p>Global Investments Capital Corp.: The Corporation was looking for a listed company to use for a prospective reverse takeover. I introduced it to Global Investments Capital Corp., which is a capital pool company. I’m on the board of Global Investments Capital Corp. and a shareholder of it. A letter of intent between the Corporation and Global Investments Capital Corp. was negotiated in October 2018, but it was never signed. Global Investments Capital Corp. had independent legal counsel. At a board meeting on November 13, 2018, I made a motion as director that passed unanimously by the</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>board of the Corporation to use a different capital pool company than Global Investments Capital Corp. for the proposed reverse takeover. I was not a director and had no financial interest in the company that was approved for the transaction.</p> <p>Miscellaneous Claims: Robb McNaughton controls the board: PesoRama has a seven person board. I had no prior relationship with any of the board members before I joined the board other than the corporation was a client. I don't control the board of directors.</p> <p>Minute Book: The Corporation has a minute book.</p> <p>Shareholders Register: The Corporation uses TSX Trust Company as its transfer agent. It manages the share register.</p> <p>Corporate Governance Policies: The Corporation has advanced corporate governance policies for a corporation at its stage of development. I have spent 219.4 hours of non-billable board time on board and governance matters.</p> <p>Cancelled Financings: I didn't cancel any financings.</p> <p>Introduction to the CFO: I made an introduction to the CFO, who is an experienced Wall Street investment banker and has been the CFO of a number of businesses, including a NASDAQ listed company.</p> <p>I am not aware of the basis of any of the other allegations.</p> <p>No changes. (R. McNaughton – March 2021)</p> <p>No changes. (R. McNaughton – March 2022)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Oct 16, 2020	2021-025	Double Diamond Distribution Ltd./Tom Stepper (Evan Nuttall)		\$100,001 - \$250,000	<p>On or about October 29, 2019, the Claimant engaged Borden Ladner Gervais LLP (“BLG”) to provide legal services. Evan Nuttall was the lawyer engaged by the Claimant, and two matters were opened. From October 29, 2018 until approximately March 2019, Evan Nuttall provided and directly legal work on the Claimant’s matters. Beyond the initial retainer, BLG was never paid for its legal services and, around March 2019, Evan Nuttall and BLG ceased acting for the Claimant.</p> <p>By Late 2019, BLG took steps to apply for a Certificate of Review of Lawyer’s Charges. This was heard on January 21, 2020. The result was appealed on account of the Claimant’s counsel failing to appear at the hearing (solicitor’s inadvertence). The appeal was granted and there was to be a new hearing. The new hearing took place on June 15, 2020, and BLG had its legal fees assessed and certified in the amount of \$105,000.00. This result was appealed by the Claimant, and the appeal was dismissed outright on August 17, 2020. BLG then applied to have its Certificate of Review of Lawyer’s Charges converted t a judgment. The hearing was scheduled, and subsequently heard, on October 10, 2020 (the “Hearing”).</p> <p>The day before the Hearing, this Statement of Claim was filed ‘in order to contest the liability of the fees’ owed by the Claimant. Of importance, a significant portion of the wrongs alleged in the Statement of Claim were raised by the Claimant on June 15, 2020, and again on August 17, 2020. During the Hearing, this was conceded by the Claimant’s counsel, and noted by Master Prowse. Master Prowse granted BLG its judgment in full, and noted in obiter that the Statement of Claim was likely res judicata. The Statement of Claim has not yet been withdrawn.</p> <p>Plaintiff is late in producing documents; No Changes (J. Vallis – March 2021)</p> <p>No Changes (J. Vallis – March, 2022)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Oct 16, 2020	2021-027	Decibel Cannabis Company Inc. (formerly Westleaf Inc.) (Robb McNaughton)		\$10,000 and under	<p>Background</p> <p>BLG acted for Westleaf Inc. Westleaf approved a business combination with We Grow B.C. Inc., whereby We Grow would takeover Westleaf (now, named Decibel Cannabis Company Inc.). I was one of seven board members of Westleaf at the time of the business combination. Two of the former board members of Westleaf continue to serve as board members of the five member board of the resulting issuer. The former CEO and CFO of Westleaf left the organization subsequent to the transaction. BLG largely received its instructions from the former CEO and former CFO. BLG expected to lose the legal work as part of the transaction to the acquirer's legal counsel, which is common practice. BLG stopped receiving regular payments for its invoices as the financial situation of Westleaf grew more precarious. BLG's understanding from discussions with Westleaf was that it would get its invoices paid once a financing closed concurrently with the business combination with We Grow.</p> <p>The amount raised on the concurrent financing was much less than anticipated. BLG agreed to defer payment of a significant amount of its legal fees in order to help the resulting issuer remain financially viable post-transaction. The board of Westleaf approved prior to closing the business combination a payment plan proposed by BLG that management of the resulting issuer has reneged.</p> <p>The former board of Westleaf was concerned that Westleaf would become insolvent, among other things. It held 76 board and committee meetings in 2019. I spent 529 unbillable board hours on Westleaf matters trying to resolve a myriad of issues. The business combination was determined by the former Westleaf board as the best course of action to address the solvency and other concerns.</p> <p>Allegation #1: Mr. McNaughton, who was not the corporate secretary, drafted those minutes on his own initiative and solicited signatures from the Board without tabling the corresponding invoices.</p> <p>I was not the corporate secretary. I was typically asked by the Chair of Board at the start of each meeting to act as corporate secretary when the corporate secretary was not present. I prepared the meeting minutes accordingly. The corporate secretary was not included in all board and committee meetings as certain discussions were deemed confidential and certain of the board meetings related to his possible termination, among other things.</p> <p>The board of directors approved the disputed invoices that I was responsible for by unanimous resolution with the CEO and CFO of Westleaf present on December 18, 2019. I was asked by the Chair at that meeting to act as corporate secretary. I agreed to act as secretary and documented in the meeting minutes my agreement to do so. I recused myself from the meeting for the review and discussion of the tabled BLG invoices, declared my interest in the invoices and abstained from voting on the resolution approving them. The board minutes reflect the agreement reached between the CEO, CFO and board of Westleaf and BLG, whose national business partner signed</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>off on the payment agreement.</p> <p>I follow a strict procedure for board review of meeting minutes when I'm asked to be corporate secretary. First, the meeting minutes are sent to the Chair for comment. After a review by the Chair, they are sent to the board as proximate to the board meeting date as practicable for their review and comment by all board members. Next, the meeting minutes are sent again to the entire board with the board agenda proposing to approve them (ideally, at the next board meeting). Finally, they are tabled at a board meeting for further board comment and/or approval.</p> <p>The December 18, 2019 meeting minutes were sent to the Chair on December 19, 2019. After receiving his comments, they were circulated to the board on December 19, 2019. The Chair signed them on December 20, 2019. It was the last meeting of the Westleaf board prior to being reconstituted as part of the transaction. Five of the seven board members resigned as part of the transaction, including me.</p> <p>I sent all invoices that I was responsible for to the CEO and accounts payable department on a monthly basis as per the attached Schedule "A". Management had appropriate time to review the invoices in advance of the board meeting. The board of directors was sent on December 17, 2019 the corresponding invoices for consideration in advance of the meeting when they were approved. Copies of the invoices were also tabled at the meeting. The tabling of the invoices was appropriately documented in the December 18, 2019 meeting minutes.</p> <p>Inordinate and Disproportionate Charges</p> <p>As noted above, invoices were sent on regular basis to Westleaf for review and comment. The CEO and CFO, along with the Board, reviewed the BLG invoices in an in camera session (when I recused myself) and agreed to a payment schedule and discount. I waited outside the board meeting for about 30 minutes while the invoices were reviewed and discussed. The negotiated terms included a cash payment on closing with a significant amount owing to BLG deferred for more than eight months to help the resulting issuer gain financial stability.</p> <p>Charges for Services for which BLG was not Engaged</p> <p>The former CEO and CFO engaged BLG on these matters, and the respective invoices were approved by the board at meeting when they were present.</p> <p>Similarly, on the morning of closing of the merger between Decibel and We Grow B.C. Ltd. on December 2019, Mr. McNaughton improperly took into trust (personally) the signature pages of two directors—documents essential for closing—and unilaterally imposed trust conditions requiring Decibel to pay BLG over \$393,000 in legal fees in exchange for their release. BLG received written trust obligations from two directors to hold their signature pages on a resolution approving a bank financing as part of the closing in trust until board compensation had been paid as resolved by the board. Two BLG partners specifically noted to management that BLG had received the signature pages in trust and advised Westleaf that BLG could either reject the request to hold them in trust and return the signature pages or accept them in order to assist</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>the corporation with closing mechanics. Westleaf had us hold them in trust until the board compensation had been paid.</p> <p>The board set out the closing procedures to be followed by management and by legal counsel on multiple occasions. The board directed that final board compensation be paid prior to closing in the board meeting minutes dated December 18, 2019. The board also directed that BLG get paid \$393,000 of the amounts owing to it prior to closing, which was also reflected in the December 18, 2019 meeting minutes.</p> <p>Jeopardize the Transaction as Leverage for Payment The BLG payment was made on December 20, 2019. The director compensation was paid on December 20, 2019. The transaction closed on December 23, 2019. The transaction was never in jeopardy.</p> <p>No changes. (R. McNaughton – March 2021)</p> <p>No changes. (R. McNaughton – March 2022)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Dec 15, 2020	2021-051	650438 Alberta Ltd. (David Wood)			<p>BLG was engaged by 650438 Alberta Ltd. on February 14, 2011 for patent work. On 650438 Alberta Ltd.’s instructions, BLG assessed patentability and drafted a patent application for filing internationally. The patent application entered national phase in the United States (by instructing US counsel on 650438 Alberta Ltd.’s behalf, as is usual for patent application) and in Canada. Consistent with BLG’s advice, the application was split into three applications. The first two applications issued without pushback from the USPTO in the United States. The third application had a usual amount of pushback. In the course of prosecuting this third application, and drafting a continuation-in-part for filing in the United States, the working relationship between 650438 Alberta Ltd. and BLG broke down. Given the abusive and unclear communication style carried by the CEO of 650438 Alberta Ltd., Mr. Derry Eddy, BLG elected to terminate the client relationship and did so on June 19, 2020. On November 26, 2020, Mr. Eddy threatened to sue BLG, asserting that the patent application was poorly drafted and implying that BLG was deliberately sabotaging 650438 Alberta Ltd.’s efforts to mitigate environmental damage caused by energy producers. Again, two of three claim sets in 650438 Alberta Ltd.’s patent application issued without pushback in the United States, and the third receiving a usual amount of pushback.</p> <p>Details BLG was engaged by 650438 Alberta Ltd. on February 14, 2011. BLG terminated the engagement on June 19, 2020.</p> <p>After BLG terminated engagement with 650438 Alberta Ltd., and due to an administrative error, Ms. Jane Wang, a paralegal, sent scheduled reminders to 650438 Alberta Ltd. to pay a maintenance fee on United States Patent No. 9,676,638. Ms. Wang sent scheduled reminders on July 27, September 16, November 13 and November 25 of 2020. On December 14, 2020, BLG confirmed with 650438 Alberta Ltd. that these reminders were sent in error.</p> <p>On November 26, 2020, in response to the November 25 scheduled reminder from BLG, 650438 Alberta Ltd. sent an email to Ms. Wang, but addressed to Mr. Barry Sinclair, a director of finance who was managing collections. In the email, Mr. Eddy, speaking on behalf of 650438 Alberta Ltd., threatened to sue BLG.</p> <p>The November 26, 2020 email is attached. In the email, Mr. Eddy points out that with US counsel’s help, the patent application “...has been submitted for review with corrected legal ease, which should have been there in the first place. It is going to take some time to clean up the legal mess you created.” Mr. Eddy asserts that BLG acted either in a potentially criminal manner or “just gross negligence/incompetence”, and that a “mess [was] created for [one of the three United State Patent Applications]”. These references to a “mess” are to the claims of United States application no. 15/595,263, which was allowed by the United States Patent and Trademark Office on</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>November 6, 2020 and will issue to patent in due course if all fees are paid.</p> <p>In the November 26, 2020 email, Mr. Eddy also references work on a “continuance that was not filed with the US patent office” and “the WTO filing with the Canadian patent office”. BLG completed the work that was invoiced for. The reason the continuation-in-part was not filed was because Mr. Eddy became impossible to work with during drafting of the continuation-in-part in early 2020 (addition details below).</p> <p>In the November 26, 2020 email, Mr. Eddy asserts that “I am inventing a system to clean up tailing ponds in Alberta, an absolute environmental disaster. Your behavior would appear to put you in cahoots with the oil companies that want to leave the mess for future generations.” It is unclear what his intent is with this assertion.</p> <p>In the November 26, 2020 email, Mr. Eddy references \$180,000 in fees. The actual amount invoiced to 650438 Alberta Ltd. between February of 2011 and June of 2020, after discounts and including all patent office filing fees and US counsel disbursements, was \$139,058.61. Of this, BLG has been paid \$107,959.90.</p> <p>While it is unclear exactly what Mr. Eddy is asserting, we understand that he is taking issue with the drafting of the patent application so we have included a summary of the steps that were taken up to and after filing of the patent application.</p> <p>Summary of Drafting, Filing and Prosecuting Patent Applications for 650438 Alberta Ltd. February 14, 2011: BLG engaged by 650438 Alberta Ltd. for patent work. February 27, 2011: BLG received a first description of a technology from 650438 Alberta Ltd. March 15, 2011: BLG received search results on the technology from a third party searcher, which were provided to 650438 Alberta Ltd. for review on March 25, 2011. April 2, 2011: BLG received comments on the search results from 650438 Alberta Ltd. May 13, 2011: BLG emailed 650438 Alberta Ltd. indicating that some aspects of the technology are likely patentable and asking for additional information. Shortly after, BLG began drafting a patent application. September 16, 2011: BLG met with 650438 Alberta Ltd. and asked for additional information to continue drafting the patent application. December 14, 2011: BLG updated 650438 Alberta Ltd. on status, and on December 27, 2011, 650438 Alberta Ltd. replied and agreed to meeting the week of January 16, 2012. On January 7, 2012, 650438 Alberta Ltd. postponed the meeting to January 20. 650438 Alberta Ltd. did not attend the meeting. March 23, 2012 to May 28, 2012: BLG provided three draft patent application to 650438 Alberta Ltd. for review, and 650438 Alberta Ltd. provided comments in response to the first two drafts.</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>June 15, 2012: United States provisional patent application filed after review of three drafts by 650438 Alberta Ltd. and invoiced agreed-upon fixed fee of \$10,000 for drafting.</p> <p>May 1, 2013: Additional disclosure provided to BLG by 650438 Alberta Ltd. for formal drawings.</p> <p>June 5, 2013: Marked-up drawings sent to 650438 Alberta Ltd. for discussion</p> <p>June 12, 2013 to June 15, 2013: Updated drafts of regular patent application reviewed by 650438 Alberta Ltd. then filed as a PCT application. BLG advised that the PCT application would be split into three separate applications, and in August 2013, 650438 Alberta Ltd. chose to pay additional search fees to the Canadian Intellectual Property Office for international-level examination of all three inventions.</p> <p>December 2014: National phase entries filed in United States and Canada based on strategy that PCT application would split into three. One of three claim sets was filed in the US. All three claim sets were filed in Canada, where there are no excess claim fees.</p> <p>June 13, 2017: United States Patent No. 9,676,638 issued without office action for the first claim set.</p> <p>November 5, 2019: United States Patent No. 10,464,828 issued without office action for the second claim set.</p> <p>Between September 2019 and June 2020, BLG responded to office actions on United States application no. 15/595,263, which included the third claim set. During this time, BLG also responded to a restriction requirement on Canadian patent application no. 2877520, which was consistent with BLG's prediction that the application would be divided into three (as occurred in the PCT application from which Canadian patent application no. 2877520 entered national phase in Canada).</p> <p>In mid-April 2020, due to Mr. Eddy's abusive and unreasonable manner of interacting with BLG when providing instructions for 650438 Alberta Ltd., BLG decided to terminate BLG's engagement by 650438 Alberta Ltd. Before terminating engagement with 650438 Alberta Ltd., BLG took steps to attend to a deadline on United States application no. 15/595,263 that fell on June 6, 2020 then disengage from working with 650438 Alberta Ltd. BLG and US counsel attended to the deadline, and then on June 19, 2020 BLG terminated BLG's engagement with 650438 Alberta Ltd. On the same day, a September 28, 2020 deadline at CIPO was also reported to 650438 Alberta Ltd., urging 650438 Alberta Ltd. to engage new counsel and receive advice in respect of that deadline. Based on public record at CIPO, no new agent was appointed.</p> <p>No changes. (D. Wood – March 2021)</p> <p>No changes (J. Vallis – March 2022)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Dec 16, 2020	2021-054	Canadian BDX Inc. (Maria Doerksen)			<p>Canadian BDX Inc. was in the process of purchasing a machine from South Korea. Kurt Thomas, representative of Canadian BDX Inc., asked if he could put the purchase price for the machine in trust with Borden Ladner Gervais LLP, so that I, as counsel for Canadian BDX Inc., can confirm to the vendor that Canadian BDX Inc. has the money to pay for the machine. On November 17, 2020, we received US\$65,500 in trust – US\$65,000 for the purchase price of the machine, and US\$500 towards BLG fees. Mr. Thomas forwarded an Authorization Letter dated November 17, 2020 containing the account and wire transfer information. On November 17, 2020, Mr. Thomas requested that we wire transfer US\$32,500 to the account noted in the Authorization Letter. Once the wire was instigated, I e-mailed a letter to the vendor and Mr. Thomas to advise that the funds were wired. On November 19, 2020, I e-mailed the wire confirmation to the vendor and Mr. Thomas. On November 26, 2020, I followed up with Mr. Thomas to ensure that the vendor had received the US\$32,500. On November 26, 2020, the vendor advised that he was not in receipt of the funds. On November 27, 2020, BLG asked Royal Bank of Canada to put a trace on the wire. On December 1, 2020, Mr. Thomas advised that the information we were provided were a forgery and BLG asked RBC to recall the funds that were wired.</p> <p>RBC has since advised that the recall of the funds was not successful. (MD – March 2021)</p> <p>No Changes. (MD – March 2022)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
Jan 4, 2021	2021-059	Hillsboro Ventures Inc./Keith Ferrel Patrick Mah for Travis McArthur		\$250,001 - \$500,000	<p>1) On October 10, 2017, as part of a financing transaction in which BLG acted on behalf of the potential claimant (Hillsboro), Travis McArthur (associate) had met with Keith Ferrel (principal of Hillsboro) and was coordinating an advance of loan proceeds to the Borrower's lawyer.</p> <p>2) In an email exchange with T. McArthur, K. Ferrel on October 10, 2017 at 3:04pm (the "EMAIL") asked T. McArthur, among other things, to "Please prepare assignments of the \$439,000 deposits on the 4 parties as set by Mr. Haji in his correspondence assigning these deposits to Hillsboro Ventures Inc. to add to Hillsboro's collateral..."</p> <p>3) In between the date of the email and about October, 2018, BLG acted for Hillsboro as Hillsboro entered into enforcement proceedings. In November, 2018, due to BLG being named as a counterdefendant in the matter, BLG ceased acting for Hillsboro and the matter and files were transferred to Dentons LLP. Dentons LLP has assumed carriage of the foreclosure proceedings against the borrower which are still ongoing.</p> <p>4) On November 12, 2020, K. Ferrel forward the EMAIL to P. Mah (partner at BLG) and stated that "I have never received copies of the postponements that were to be prepared on this funding". Between November 12, 2020 and November 19, 2020, P. Mah coordinated review of the file and the postponements referred to in the EMAIL and was not able to locate same.</p> <p>5) On November 23, 2020, P. Mah emailed K. Ferrel advising that we don't have any record of assignments being prepared or signed.</p> <p>No Changes; (P. Mah – March 2022)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
March 23, 2021		SMCP Canada Inc. Shannon James		\$100,001 - \$250,000	<p>The potential claim relates to a missed deadline for making an application for the Canada Emergency Wage Subsidy (“CEWS”). The client, SMCP Canada Inc., requested my assistance and advice with respect to the CEWS program, eligibility and the application process. I provided certain responses, including the means of calculating deadlines, in an email. I specifically highlighted the deadline for CEWS claim periods 1-4, but not period 5. All 5 of these periods ended on January 31, 2021. The client successfully applied for the CEWS for Periods 1-4, but missed the deadline for Period 5. There is no mechanism to make a late-filed application. The total amount of the client’s missed CEWS claim for Period 5 is \$226,783.27.</p> <p>Detailed Precis of Claim:</p> <p>1. The potential claim arose out of an inquiry by the client, SMCP Canada Inc. (“SMCP” or “SMCP Canada”), a fashion retailer, for information about applying for the Canada Emergency Wage Subsidy (“CEWS”).</p> <p>2. I was contacted by Michael Grodinsky, a partner in BLG’s Montreal office, on December 23, 2020, with the initial client inquiry. The clients were looking for information regarding their potential eligibility for the CEWS, having heard about the program and received some initial information from other BLG lawyers, including Noah Burshtein (an Associate in the Toronto office).</p> <p>3. In response to the inquiry, I reached out to the client via email on December 23, 2020 offering to assist.</p> <p>4. On January 4, 2021, Laura Rehg of SCMP contacted me via email to request a meeting. A WebEx meeting was scheduled for January 6, 2021.</p> <p>5. During the initial meeting on January 6, 2021, which was attended by Ms. Rehg, as well as Crystal Johnson and Traci Rubin of SMCP, and Mr. Burshtein of BLG, I was asked some initial questions regarding the CEWS program. The questions were general in nature, and addressed such matters as:</p> <ul style="list-style-type: none">- Whether laid off employees would be qualifying employees for purposes of the CEWS;- How far in arrears an application could be made; and- Other questions in relation to SCMP’s eligibility for another federal COVID-19 relief program, the Canada Emergency Rent Subsidy (CERS). <p>At the time of this initial meeting, I understood that SMCP had not yet decided whether they would make a CEWS application.</p> <p>6. I responded to the initial questions via email on January 10, 2021. In my email, I outlined the deadlines for CEWS applications, and stated that:</p> <p>“CEWS applications are always made in arrears (i.e. after the claim period has ended). Applicants are required to make their application on or before the date that is the later of</p> <p>(i) January 31, 2021 and</p> <p>(ii) 180 days after the end of the claim period.</p> <p>For the earliest claim periods (being periods 1-4, which cover from March 15, 2020 to July</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>4, 2020), the deadline for applications is January 31, 2021."</p> <p>7. The email went on to show the CEWS claim period dates for all of periods 1-10 (i.e. March 15, 2020 to December 19, 2020). I specifically highlighted periods 1-4.</p> <p>8. Claim Period 5 ended on August 5, 2020. Accordingly, the deadline for that claim period was January 31, 2021. My email does not make specific mention of period 5, nor was period 5 highlighted in the table included in my email.</p> <p>9. I do not recall if there were any reasons that I did not specifically point out the deadline for period 5 in my email of January 10, 2021.</p> <p>10. I had a subsequent virtual meeting with SCMP Canada on January 22, 2021, via the WebEx platform. Ms. Rehg, Ms. Johnson and Ms. Rubin were all in attendance. Mr. Burshtein (of BLG Toronto) was invited, but I do not specifically recall if he was in attendance.</p> <p>11. During the January 22, 2021 WebEx meeting, we spent much of the call discussing the process and formulae for calculating a CEWS claim. I do not specifically recall if we discussed deadlines during the meeting. I did not see or review the client's working papers or calculations, but discussed these points in general terms. I had previously told the clients that I do not make or audit CEWS calculations, but could support them with any questions they had.</p> <p>12. I believe that this was my last contact with SMCP before the January 31, 2021 deadline for CEWS applications for periods 1-5.</p> <p>13. On February 4, 2021, I received an email from Ms. Johnson advising that SMCP had successfully applied for the CEWS for periods 1-4, but had missed the deadline for period 5. Ms. Johnson's email forwarded on my January 10, 2021 email in which I had specifically highlighted the deadlines for CEWS claim periods 1-4. She requested my assistance in finding a solution to file SMCP's CEWS application for period 5. Ms. Johnson's email, including my email of January 10, 2021, is attached hereto.</p> <p>14. I replied via email on February 4, 2021, advising that I was looking into a solution and suggesting that the client submit their application in any event, in paper format.</p> <p>15. I have made inquiries with the Canada Revenue Agency and am advised that there is currently no mechanism for late-filing a CEWS claim. I am not aware if any late-filing option will become available.</p> <p>16. The client requested our assistance to submit the application for Period 5 to the CRA in paper format in any event. We will be assisting them in this regard.</p> <p>17. The amount of SMCP's Period 5 CEWS claim was \$226,783.27.</p> <p>No Changes (JDV – March 2022)</p>	

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
April 1, 2021	2021-105	PesoRama Inc. McNaughton, Robb			<p>BLG has commenced the process to access accounts owing to it from PesoRama Inc. in the amount of \$690,095.64. The assessment has been set for 5 days from August 16 to 23, 2021. During the initial hearing, PesoRama has indicated that they will be:</p> <ol style="list-style-type: none">1. Bringing an application under Rule 10.18 of the AB Rules of Court to raise issues regarding the retainer; and2. Bringing some sort of law suit in respect of the services rendered. <p>Robb McNaughton was a director of PesoRama Inc. from August 31, 2018 to December 8, 2020, legal counsel to PesoRama Inc. indicated that they might make a claim of breach of fiduciary duties from Robb being a director as well as BLG providing legal services to PesoRama Inc.</p> <p>Robb received no compensation for his role as a director other than stock options that have expired and warrants which he declined to exercise. As part of the due diligence process conducted by Canaccord Genuity Corp. as a part of a financing, Canaccord Genuity insisted that Robb join the board of PesoRama Inc. to provide governance oversight as a condition of closing of the financing.</p> <p>No Changes. (R. McNaughton 2022)</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
August 9, 2021	2022-009	Newsco Directional Support Services Inc., Newsco International Energy Services Inc., Telemetrix Technologies International Inc. Tosto, Francesco		\$100,001 - \$250,000	<p>Borden Ladner Gervais LLP acts for the plaintiff, Newsco at al. ("Newsco").This concerns the dismissal of the above referenced Federal Court patent infringement matter pursuant to a status review. The issue was discovered on August 3, 2021.</p> <p>Background</p> <p>This potential claim arises from Newsco Directional Support Services Inc et al v Drill-Tek MWD Services Ltd et al file. This file was previously the responsibility of Evan Nuttall. Evan left BLG at the end of January 2020. He was the sole listed counsel of record on the Statement of Claim but worked with both Tim Webb (Partner) and David Wood (Partner) on this file. On May 14, 2021, David also left BLG. Prior to his departure, David was occasionally sent correspondence from the Federal Court on the Newsco file. When Evan announced his departure from BLG, Frank Tosto was appointed the transitioning partner. On January 14, 2020, Frank sent a letter to Newsco inquiring whether they wished to continue with BLG as its counsel or send the file to Smart & Biggar, Evan's new firm. Despite this letter and a number of additional inquiries, Newsco only advised on June 24, 2020 that it wished to continue with BLG as its counsel on this matter. (See attachment) At approximately the same time, Frank Tosto was asked to take over another file which involved a complicated matter scheduled for a 4 week trial commencing November 16, 2020. Frank had had no prior involvement in either the Newsco file or the other new matter. The majority of Frank's summer and fall were dedicated to getting up to speed on the other new matter. Frank did review the Newsco file on July 28, 2020 but did not spend any further substantive time on this file.</p> <p>This brief review involved examining the pleadings binder including a cursory review of the involved patents and the on-line Federal Court procedure card. Through inadvertence, Frank did not itemize the Newsco matter on his file list and bluntly simply omitted the file from his memory. On November 5, 2020, David received a notification from the Federal Court that the Newsco matter was under a status review and that certain steps need be taken within 15 days of the order. Attached is the email from the Federal Court found in David's Outlook folder. Opposing counsel confirmed receipt of the Federal Court's email but David did not. On November 16, 2020, David contacted opposing counsel who stated that the claim was settled some time ago and David confirmed that this was his understanding as well. (See attachment)</p> <p>On November 23, 2020, the action was dismissed as neither counsel for the plaintiff nor the defendant responded to the status inquiry. (See attachment) On this same date, David was sent a Lawyers I Patent & Trademark Agents Borden Ladner Gervais LLP is an Ontario Limited Liability Partnership copy of the dismissal order and he did confirm receipt of the dismissal order. (See attachment)</p> <p>David then made an inquiry of Dan Johnson (BLG partner) as to what he should do in the face of the action having settled and the action being dismissed. Please note, it does not appear that the matter has settled although there were direct settlement discussions between the parties. Dan</p>	OPEN

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

BORDEN LADNER GERVAIS, CALGARY OFFICE						
DATE REPORT	CLAIM NO.	CLAIMANT (LAWYER)	AMOUNT OF CLAIM		BRIEF DESCRIPTION	STATUS
			PAID	ESTIMATE		
					<p>advised him generally that an appeal and/or a report to the client might be in order. David did not send a report to the client. Last, on November 23, 2020, David was informed by his assistant that Frank Tosto was now the Matter Responsible Attorney on the Newsco file: David nevertheless did not contact Frank concerning the happenings on this file.</p> <p>Approximately two weeks ago, BLG sent an internal email that a person would be joining BLG from the Federal Court. Frank recalled the Newsco matter and asked Elizabeth Walker, his assistant, to have the matter added to the list of walled Federal Court matters. When Elizabeth returned from vacation on August 3, 2021, she advised Frank that the matter had in fact been dismissed on November 23, 2020. She discovered the dismissal after having reviewed the updated Federal Court on-line procedure card on August 3, 2021. An investigation was conducted to figure out what had happened which investigation now manifests itself in the form of this summary. On August 9, 2021, the client was informed of the dismissal. We have not heard from the client since this notification.</p> <p>No Changes (F. Tosto – March 2022)</p>	

CLOSED MATTERS FOR/IN THE PAST 5 YEARS						
BORDEN LADNER GERVAIS, CALGARY OFFICE						
AMOUNT OF CLAIM						
DATE REPORTED	CLAIM NO.	CLAIMANT (LAWYER)	PAID	ESTIMATE	BRIEF DESCRIPTION	STATUS
Nov 30, 2015	2016-053	Darby Kreitz (Scott Cedergren)		\$500,000 to \$999,999	<p>Borden Ladner Gervais LLP (“BLG”) was at the relevant time corporate counsel to Pro-Trans Ventures Inc. (“Pro-Trans”), an Alberta corporation, and its wholly owned subsidiary Maple Leaf Loading Ltd. (“MLL”), a BC corporation, to assist with securities and general corporate matters from time to time. At the relevant time, Scott Cedergren was the corporate secretary of Pro-Trans as it was a publicly traded company. Until October 2012, a partner of BLG had been on the board of directors of Pro-Trans. BLG Calgary was the registered office for Pro-Trans and BLG Vancouver was the registered office for MLL. In 2011, Pro-Trans acquired MLL at an arm’s length acquisition. Darby Kreitz was a director and significant shareholder of MLL and concurrent with the acquisition also became a board member of Pro-Trans and remained involved with the business post-closing of the acquisition. MLL was the primary operating subsidiary of Pro-Trans. The board of directors of MLL consisted of 2 individuals: Martin Carsky, President and CEO of Maple Leaf Loading and Pro-Trans and Darby Kreitz, the Chairman of the Board of Directors of Pro-Trans.</p> <p>On January 3, 2014, Mr. Kreitz sent an email to the Pro-Trans Board, including Mr. Cedergren as Corporate Secretary, and scheduled a January 6, 2014 Pro-Trans board of directors meeting to discuss the ongoing financial concerns of MLL.</p> <p>Between January 6, 2014 and January 20, 2014, Mr. Carsky and Derrek Wong, the Chief Financial Officer of Pro-Trans and MLL, on behalf of MLL, worked with BLG to prepare documentation relating to a loan in the principal amount of \$500,000 from Mr. Kreitz to MLL.</p> <p>Mr. Cedergren met with Mr. Patrick Mah, an associate in the Financial Services group at BLG and requested that he prepare the lending documentation on behalf of MLL. Mr. Mah and Mr. Cedergren then requested that Ms. Katherine English, another associate, work on drafting the documents with Mr. Mah.</p> <p>BLG, including Messrs. Cedergren, Mah and Ms. English, worked with MLL at the sole instruction of Mr. Carsky and Mr. Wong to prepare documents relating to the loan from Mr. Kreitz.</p> <p>On January 20, 2014, Mr. Carsky sent an email to the Pro-Trans Board of Directors, copying Mr. Wong and Mr. Cedergren, attaching a memorandum from Mr. Carsky setting out the terms negotiated with Mr. Kreitz for a proposed non-arm’s length financing with Mr. Kreitz.</p> <p>Mr. Carsky’s email and memorandum of January 20, 2015 included a statement to the Board of Directors of Pro-Trans that Mr. Cedergren would prepare and distribute written consent resolutions for consideration by the Board of Directors of Pro-Trans, which was drafted and distributed to the entire Board of Directors of Pro-Trans.</p>	CLOSED (Jan 2018)

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

				<p>On January 21, 2014, Mr. Cedergren provided the first draft of the loan agreement, security agreement and form of directors resolution for MLL (the “Loan Documents”) to Messrs. Carsky and Wong by email. Mr. Cedergren stated in that email “Please provide any comments on the loan documents to Kate English and me, or if you are satisfied to Darby for him to review and obtain counsel regarding if he sees fit.”</p> <p>On January 21, 2014 Mr. Carsky forwarded the email from Mr. Cedergren to Mr. Kreitz, copying Messrs. Cedergren and Wong, providing Mr. Kreitz the Loan Documents and the request to review and obtain counsel and requesting that he review and, if he was “okay” to “let us know so that we can send your signed execution copies and move to close as soon as Scott confirms that Les has signed off with Les.”</p> <p>Section 20 of the draft loan agreement clearly stated that the parties acknowledge that they have had an opportunity to obtain independent legal advice and if they have not obtained independent legal advice they expressly waive their right to do so.</p> <p>On January 22, 2014 Mr. Carsky followed up with Mr. Kreitz by email (copying Mr. Cedergren) asking again if he had any comments on the draft documents provided.</p> <p>On January 23, 2014 Mr. Carsky resent the Loan Documents to Mr. Kreitz by email requesting that: “Assuming you are okay to sign, please do that and scan/send to Scott, Derrek and myself”</p> <p>On January 31, 2014, Mr. Carsky sent an email to Mr. Wong and Mr. Cedergren as well as Maureen Vollett (who was the Comptroller of MLL), and copying Mr. Kreitz, containing the Loan Documents, which had been executed by Mr. Kreitz without any further changes or queries to BLG. This email also stated that Mr. Kreitz would arrange for the transfer of funds on February 1, 2014.</p> <p>After preparation of the Loan Documents, BLG did not provide further legal advice to any of Pro-Trans or MLL with respect to the Loan Documents.</p> <p>During the period from the time of BLG engagement to prepare the Loan Documents, to the completion of the loan, BLG and Mr. Cedergren took instruction from Mr. Carsky and corresponded only with Messrs. Carsky and Wong in respect of the engagement to prepare the Loan Documents and were clear to the client MLL, to its parent corporation, Pro-Trans (including its directors and officers) and to Mr. Kreitz that BLG represented MLL in the matter of the loan and not that of Mr. Kreitz.</p> <p>The Loan Documents are dated February 1, 2014.</p> <p>On or about June 27, 2014 Ernst & Young was appointed receiver of all of the assets, undertakings and properties, including proceeds thereof of MLL.</p> <p>On or about June 30, 2014, Mr. Kreitz contacted Mr. Cedergren and raised a question on the security interest that had been granted by MLL to Mr. Kreitz had not been perfected by the filing of a registration statement. On or about July 2, 2014 Mr. Cedergren responded and confirmed by email to Mr. Kreitz, copying Mr. Carsky of MLL that BLG had not been instructed to file registration statements by MLL and that it was the responsibility of the lender to file such documents. The correspondence also confirmed that BLG had only acted for MLL and not the borrower. Mr. Carsky responded with a thank you email.</p> <p>Mr. Cedergren and BLG received no further communication regarding this matter until November 16, 2015 when counsel to Mr. Kreitz sent the demand letter that is attached to this notice.</p> <p>Response to Civil Claim filed January 22, 2016. (JDV, March 2016) Filed documents send to counsel in April to assemble Affidavit of Records; No knowledge of discoveries. (JDV, March 2017)</p> <p>Settled; Released signed August 8, 2017; Consent Order filed August 29, 2017</p>
--	--	--	--	--

CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

May 17, 2016	CLLAS 2016-143	Momentive Specialty Chemicals Canada Inc.; Momentive Speciality Chemicals, Inc. (Duncan Marsden)		\$210,000	<p>I am assisting a US law firm who acts for Momentive Specialty Chemicals Canada, Inc. and Momentive Specialty Chemicals, Inc. ("Momentive").</p> <p>Momentive employed an individual called Norbert Milota until he died in February 2012. Mr. Milota had life insurance and the claim relates to whether or not he is entitled to one time's his salary by way of life insurance payment, or three times his salary. Mr. Milota has been paid one time's his salary by way of life insurance payment (\$105,000) and his estate should have been paid \$315,000. The total claim worth \$210,000.</p> <p>Mr. Milota's estate brought the claim against Momentive and the insurer (Great West-Life Assurance Company ("GWL")). Both defendants filed a defence which includes a limitation period argument. A summary judgment application is being brought which we consider will be successful, in which case this matter will not be an issue.</p> <p>Mr. Milota applied for three times life insurance in about July 2007 and it was denied and premiums were refunded to him in December 2007. Mr. Milota provided the application form to Momentive and Momentive were to provide it to GWL. It is unclear as to whether Mr. Milota's application for life insurance of three times his salary was denied based on his failure to provide medical documentation or because GWL never received the application from Momentive. In their defence, and GWL alleged that they never received the application from Momentive. Momentive states that they did provide this form to GWL.</p> <p>In documents received from GWL in February 2016, there is documentation which we consider proves, for the first time, that GWL did in fact receive the application. It is my view that if a judge decides that the claim is valid, he/she would find either GWL liable (for not having processed the application) or Momentive liable (for not having sent the application) in which case there will be no omission or error. But it is arguable that I should have protected Momentive's position by filing a third party claim against GWL in the event that a judge finds both liable but based on an act of GWL only.</p> <p>October 2016 - Reported to CLLAS that client was planning to bring summary judgment application on the basis that the claim against it is out of time; February 28, 2017 – received correspondence from ALIA confirming matter closed as no claim was developed; (JDV March 2017)</p>	CLOSED (Aug 2017)
Sept 6, 2016	2017-029	Estate of James Blair Colborne (Barrie Pomerance)		Unknown	<p>I drafted and held the last the executed Last Will and Testament of James Blair Colborne dated September 19, 1990. On or about March 17, 2015, I was contacted by Mr. Colborne to update his Last Will and Testament and in response thereto, at 2:17 on March 17, 2015, I did send him an email setting out my new practice coordinates and advising him to "Send me you (sic) typed will and I will incorporate it into a proper document and then have you come in to sign it up". AT 2:42 that same day Mr. Colborne responded by email sending me his typed out, but unexecuted, Last Will and Testament. I do not have any recollection of receiving his email from Mr. Colborne, but nonetheless it does show up as a received email in my system. Through inadvertence, or not being aware of receipt of Mr. Colborne's March 17, 2015, 2:42 email, I did not incorporate Mr. Colborne's typed but unexecuted Last Will and Testament into a proper document and then have Mr. Colborne come in to sign it up as I indicated to him in my March 17, 2015 email, nor did I follow up on the matter with Mr. Colborne. Further, at no time did Mr. Colborne follow up with me on the matter and no further communication occurred as between us. I did not forward a retainer agreement/engagement letter to Mr. Colborne nor</p>	CLOSED (June 2017)

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>did he provide any retainer funds, and no file at BLG was ever opened.</p> <p>On September 1, 2016 I did receive a call from Fouad (Ed) Farah, that Mr. Colborne had died and that Mr. Farah requested my legal assistance in dealing with Mr. Colborne's Estate. On September 6, 2016, I did receive a copy of Mr. Colborne's Last Will and Testament dated March 13, 2015 (the "New Will"), executed by Mr. Colborne and one subscribing witness (which execution is not in accord with the requirement of the Wills and Succession Act, SA 2010) the provisions of which were identical to the provisions forwarded to me by Mr. Colborne on March 17, 2015. At the time of Mr. Colborne's original Last Will and Testament, he was married and at the time of the New Will he was not. The dispositions a set out in the New Will are significantly different from the dispositions as set forth in Mr. Colborne's Last Will and Testament of September 19, 1990.</p> <p>I do not know the value of the Estate.</p> <p>No Changes; (Pomerance – March 2017)</p>	
Sept 26, 2016	2017-034	Preferred Credit Resources Ltd. (Daniel Johnson)		\$10,000 - \$100,000	<p>On August 26, 2016, the registration of a writ of enforcement in favour of BLG's client, Preferred Credit Resources Ltd. ("Preferred") expired without being renewed. Although the expiry date was initially diarized in BLG's critical dates system, the diary entry was changed to inactive, so no reminders regarding the expiration of the registration were received. The registration was renewed on September 22, 2016.</p> <p>Details: Daniel Johnson, acting for Preferred, obtained judgment for Preferred against Ronald Cecil Lockhart ("Lockhart") on a credit card debt on August 20, 2014. A Writ of Enforcement (the "Writ") was filed with the Court of Queen's Bench on August 21, 2014. On August 26, 2014, the Writ was registered (the "Registration") at the Personal Property Registry ("PPR") with a two year expiration period ending on August 26, 2016. The Writ's expiry date was diarized in BLG's critical dates system (the "Critical Date"), with a reminder set for 30 days prior to the expiration of the Writ and the date of Writ's expiry.</p> <p>On April 5, 2015, Karan Jones – a Legal Assistant at BLG, changed the status of the Critical Date from "active" to "inactive", so no reminders were sent regarding the Critical Date.</p> <p>Heather Walker – Preferred's litigation manager – contacted Mr. Johnson on September 22, 2016 requesting an update on the status of the Writ and its registration. Upon reviewing the file to provide an update to Preferred, Mr. Johnson noted that the Registration had expired. Mr. Johnson immediately took steps to renew the Registration under subsection 35(4) of the Civil Enforcement Act RSA 200 c C-15. A PPR search on September 22, 2016 revealed that a security agreement was registered against a Ronald Earl Lockart on September 8, 2014 after the Writ was first registered on August 26, 2014.</p> <p>The Registration was renewed on September 22, 2016, and Preferred was informed that the registration had been renewed the same day.</p>	CLOSED (Jan 2017)

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					Counsel for ALIA contacted Mr. Johnson and informed Mr. Johnson that they would be closing their file; To our knowledge no claim has been filed by Preferred. (DJ – March 2017)	
March 14, 2017	2017-110	Alberta Treasury Branches (Matt Noel-Bentley)		\$1,000,000 - \$1,500,000	<p>On February 27, 2017, John Poetker, Counsel at Borden Ladner Gervais LLP, received an email from Ms. Hannah Crowe, the personal assistant for Mr. Don Bialik, who is the President and controlling shareholder of Long View Systems Corporation, a corporate client of the Firm's with Mr. Poetker as the client responsible lawyer. This email, we believe, is the first indication the Firm had of the potential for a claim.</p> <p>Mr. Matt Noel-Bentley is no longer with the Firm; he left in 2015 and is currently in house counsel at Parkland Fuel Corporation.</p> <p>The file that Mr. Noel-Bentley opened for this matter holds very little information. The instruction letter from ATB was not on file nor was there an executed copy of the mortgage to be registered. A copy of a release of dower rights was on file. It is not known where the original is.</p> <p>We believe that the matter can be fixed. A current search of the title to the property was obtained on March 10, 2017. There were no intervening registrations noted on the title.</p> <p>A caveat has been filed on behalf of ATB claiming an interest in the lands by virtue of an unregistered mortgage. ATB will be approached to obtain a copy of their instruction letter to Mr. Noel-Bentley with the intention of registering a mortgage on title. The current amount outstanding on the original principal amount of \$1,200,000 is not known. The original release of dower rights typically accompanies a mortgage to be registered where dower is an issue, so the absence of the original will need to be addressed.</p>	CLOSED (May 2017)

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

Aug 24, 2015	2016-015	All Season Weeping Tile Ltd. (Locklyn Price)		\$10,000 to \$100,000	<p>On March 8, 2015 and in contemplation of his departure from BLG, Denver Brust transferred me two clients named Stealth Homes Ltd. (“Stealth”) and Luxuria Homes Ltd. (“Luxuria”) The main point of contact of these two clients is Harpreet Randev.</p> <p>Throughout early to mid 2015, Harpreet sought my advice on lien and general construction matters.</p> <p>In mid 2015, Stealth Homes Ltd. began considering purchasing, amalgamating with or taking over All Season Weeping Tile Ltd. (“All Season”). In the context of merging these two companies Harpreet asked for me to assist in cleaning up All Season Weeping Tile Ltd.'s account receivables. I had previously provided Stealth Homes Ltd. advice on some All Season matters, but explained that if I was going to be doing any work for All Season, I would need a retainer with All Season.</p> <p>We drafted some documents for Stealth and performed conflict searches to determine whether we could act for All Season, but needed to get a retainer before we filed anything on All Season’s behalf.</p> <p>On July 10, 2015, I explained to Harpreet the lien process and provided some builders’ lien forms and information for how All Season, Stealth, and Luxuria could file liens themselves. I also explained that we could file liens for a reasonable and explained the information that I would need.</p> <p>Rather than filing their own liens and on a short timeline, Harpreet asked me to draft and file a lien on July 13, 2015 at 3:54 p.m. This lien was on All Season’s behalf for work that All Season had done on July 13, 2015. In retrospect, I should have refused to process the lien without a retainer specifically from All Season, but because of the time sensitive nature of the lien and in an effort to obtain another client for the firm, I proceeded as quickly as possible. I also should have counted the days myself as Harpreet advised that the 45 days was expiring on July 17, 2015 when in fact the 45 days expired on July 14, 2015. All Season’s work was done with respect to a property located at 240145 Rainbow Road, Chestermere, Alberta (the “Property”). and therefore first thing the next morning (July 14, 2015) I asked Victoria Smith, a paralegal with the firm, to pull the legal title for the municipal address 240145 Rainbow Road, Chestermere Alberta. Victoria sent back the following legal description: PLAN 0912855 BLOCK 1 LOT 1 CONTAINING 109 HECTARES(269.1 ACRES) MORE OR LESS EXCEPTING THEREOUT: PLAN NUMBER HECTARES ACRES MORE OR LESS EXPROPRIATION 1312176 2.38 5.88 EXCEPTING THEREOUT ALL MINES AND MINERALS ATS REFERENCE: 4;28;24;3;NW ATS REFERENCE: 4;28;24;3;SW ATS REFERENCE: 4;28;24;3;SE ESTATE: FEE SIMPLE</p>	CLOSED (Jan 2017)
--------------	----------	---	--	-----------------------	--	--------------------------

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>(the “Title”).</p> <p>Victoria explains that in pulling the Title, it appears that she misread the map and obtained a legal description for land to the east of the land we required title for. There was apparently some confusion because this land had been annexed from Rocky View County.</p> <p>I drafted the lien using the Title and the information supplied by the client and sent it away to the Land Titles Office. The information from the client was that the last day work was done on the property was May 29, 2015 and that the 45 days would expire on July 17, 2015. While it is not generally my practice to sign on behalf of the client I did so. Despite my best efforts to get the lien registered the day after receiving instructions to file it, the Land Titles Office rejected it on the basis that the 45 days had expired.</p> <p>I communicated the rejection to the client and explained that in the future, I need to have a representative from All Season sign for the lien.</p> <p>On or about July 27 or July 28, Harpreet called and advised that she had talked to the employees on site and they explained that the last time work had been done on the property was, in fact, June 17, 2015 and she asked if we could file a lien with this information. I counted the days and confirmed that the lien should be filed before July 31, 2015. I explained that I needed a signed retainer agreement from All Season and that All Season would need to sign the lien. I drafted the lien using the Title and provided it to the client after they executed a retainer agreement on July 30, 2015.</p> <p>The corporate representative of All Season is a gentleman named Jagdeep Shergill. Jagdeep reviewed the information, confirmed that it was correct and then signed the lien. I sent away the lien for filing on July 30, 2015 and it was accepted by the Land Titles Office. We received confirmation that the lien was successfully filed on July 31, 2015, which I communicated to the client.</p> <p>On August 8, 2015, I received an email from Jonathan Selnes of Burnet Duckworth and Palmer LLP indicating that the owner of the Title, David Wayne Mikkelsen did not retain All Season for the work that was indicated on the lien. Mr. Selnes asked for more information as to why the lien was filed on the Title. First thing Monday morning, I asked our due diligence team to confirm that the Title was the right title, but discovered that Ms. Smith had pulled the wrong title and that the lien had been filed on the wrong property.</p> <p>I discussed the situation with Trish Morrison of our office and then with Jeff Vallis of our office. I also communicated the fact that the lien had been registered on the wrong title and explained that All Season may have a claim against Borden Ladner Gervais LLP, but that they would have to obtain independent legal advice with respect to that claim. I offered to commence an action in Provincial Court on their behalf and received instructions to do so. Since receiving confirmation that the lien was wrongfully filed I have emailed the client daily and called frequently asking Mr. Shergill to come in and sign the necessary documents to get the lien discharged. On August 20, 2015, Mr. Shergill made an</p>	
--	--	--	--	--	---	--

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>appointment to come in and sign the discharge of lien. As soon as a signed discharge is obtained it will be sent to the Land Titles Office to discharge the lien.</p> <p>No Changes (LP – March 2016)</p> <p>No Changes (LP – March 2017)</p> <p>CLLAS CLOSED 2017</p>	
March 9, 2016	2016-100	Timothy Hamilton and Hamilton Hall Soles/Ray & Berndtson Inc. (Clay Jacobson)		Unknown	<p>This matter involves <i>Kevin Neil Hall v. Hamilton Hall Soles/Ray & Berntson Inc., Timothy J. Hamilton, Janet E. Soles, Kevin Gregor and Boyden Calgary Inc.</i>; Court of Queens Bench of Alberta, Court File No. 1401-01349.</p> <p>The parties are:</p> <ol style="list-style-type: none">1. Kevin Neil Hall (“Hall”) – Hall is a shareholder and former director of HHSRB.2. Hamilton Hall Soles/Ray & Berntson Inc. (“HHSRB”) – HHSRB is an Alberta corporation that carried on business as an executive search firm until December 31, 2013, when it ceased	<p>CLOSED</p> <p>Sept 30, 2018</p>

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>operations.</p> <p>3. Timothy J. Hamilton (“Hamilton”) – Hamilton is a shareholder and director of both HHSRB and Boyden.</p> <p>4. Janet E. Soles (“Soles”) – Soles is a shareholder and director of both HHSRB and Boyden. Kevin Gregor (“Gregor”) – Gregor is a shareholder and director of both HHSRB and Boyden.</p> <p>5. Boyden Calgary Inc. (“Boyden”) – Boyden is an Alberta corporation that commenced operations as an executive search firm commencing January 1, 2014. Boyden’s shareholders and directors are Hamilton, Soles, Gregor, Brent Shervey and Robert Travis (“Travis”). Travis is the “managing partner” of Boyden. Travis and Shervey had no involvement in HHSRB.</p> <p>We act for Boyden. Our contact, and the authorized source of our instructions, is Travis. Peacock Linder Halt Mack LLP acts for the remaining defendants.</p> <p>The action commenced as an Originating Application on February 10, 2014 seeking various forms of relief under the oppression remedy, including, inter alia, an order for the delivery of certain financial records of HHSRB, an order directing one or more of the Defendants to purchase Hall’s shares in HHSRB for \$400,000, and an order directing one or more of the Defendants to pay Hall \$750,000 for his share of HHSRB revenue, and \$250,000 representing his alleged portion of HHSRB’s retained earnings. Hall left HHSRB in July 2012 to form a competitor, but remained a shareholder of HHSRB. Hall alleges that Hamilton, Soles and Gregor breached the Alberta Business Corporations Act, and acted in an oppressive manner by causing HHSRB to enter into a Purchase and Sale Agreement with Boyden whereby HHSRB’s assets were transferred to Boyden for less than fair market value and in the absence of the required special shareholders’ resolution.</p> <p>The issues in the litigation include HHSRB’s revenue during the period after Hall left the company, and the revenue distribution practices of the shareholders of HHSRB both before and after Hall departed HHSRB. Each of Hall, Hamilton, Soles and Gregor are executive search practitioners. They refer to one another as “partners”, and their historical practice of profit distribution involved a formula that considered the revenue contribution of their “practices”, and their contributions to the administration of the company.</p> <p>The Originating Application was heard on December 11, 2014. Affidavits were filed by Hall, Soles, Gregor, Travis, and HHSRB’s accounting consultant Jackie Altwasser. All deponents were cross examined prior to the application.</p>	
--	--	--	--	--	--	--

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>The Court of Queen’s Bench did not grant the relief sought in the Originating Application, but instead ordered the matter to be re-commenced by Statement of Claim, and set out a schedule for pre-trial steps. This included a deadline for Affidavits of Records of all parties by February 27, 2015 (which was subsequently amended to March 13, 2015).</p> <p>On March 15, 2015, all parties exchanged Affidavits of Records. Landon Miller of our office, who assisted me with the Originating Application, collected the client’s records and prepared the Affidavit of Records.</p> <p>Boyden’s Affidavit of Records included 127 records, one of which is an email that Jackie Altwasser (HHSRB’s accounting consultant) sent to Robert Travis on April 9, 2014 attaching a large spreadsheet setting out revenue data of HHSRB during the relevant period, including an income statement. Revenue from specific customers is included.</p> <p>The court ordered schedule required the Plaintiff to conduct questioning by April 30, 2015. The Plaintiff did not conduct questioning by that date, but instead brought an application to compel answers to certain undertakings requested from Jackie Altwasser and Kevin Gregor, which included requests to provide the source accounting data of HHSRB in Simply Accounting format, a list of the assignments in progress on the date that HHSRB ceased operations, and a copy of HHSRB’s hard copy and electronic client database.</p> <p>HHSRB resisted the application on the basis that the identity of its customers, subsequent to Hall’s departure, and the revenue from those customers, is confidential.</p> <p>Hall relied on the fact that Boyden had disclosed the records in its possession that are relevant to HHSRB’s revenue generation in support of its application to compel HHSRB to produce further records.</p> <p>The revenue generated by HHSRB, its retained earnings, and the distribution of profits to Hamilton, Soles and Gregor based on the revenue generation from their “practices”, which are comprised of specific clients, is an issue in the litigation.</p> <p>On September 3 and October 21, 2015, the Court of Queen’s Bench ordered HHSRB to produce certain additional documents and information.</p> <p>On or about November 20, 2015, I was informed by Travis that, in the course of compiling the additional requested documents, he had an argument with Hamilton in which Hamilton advised him that, should HHSRB, Hamilton, Gregor and Soles “lose” the lawsuit, Hamilton would commence an action against Travis and BLG for disclosing HHSRB’s customer identities and revenue information.</p> <p>No changes (CJ – March 2017)</p>	
--	--	--	--	--	--	--

CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>No changes (CJ – March 2018)</p> <p>File Closed (CJ – March 2019)</p> <p>CLLAS CLOSED SEPTEMBER 30, 2018</p>	
Dec 1, 2015	2016-058	Dunlop, David and Roxanne (Jennifer Lamb)		\$10,000 to \$100,000	<p>This matter was scheduled to proceed to a 7 day trial on November 30. On November 2, I received the client's instructions to make a Formal Order for the all-inclusive amount of \$120,000.00 (confirmed in an email). That offer went out but a few hours later, the clients changed their minds. I didn't think the offer had gone out (my assistant sent it) so I did not know at the time I responded to them that it went out. I immediately retracted the offer over the phone but not in writing. Trial preparations continued.</p> <p>On November 18, 2015 the Defendant accepted the Formal Offer. After a review of this matter, I realized my error regarding the retraction. It should have been in writing as per Rule 4.25 of the <i>Rules of Court</i>.</p> <p>I immediately advised the clients of this over the telephone. The clients agreed with me that our only option was to attend Court on November 19, 2015 (a Pretrial conference had been scheduled) and have the Judgment entered confirming acceptance of the Formal Offer. The Judgment was for \$120,000.00, which is the entire amount of their damages but exclusive of interest and costs.</p> <p>It is important to note that earlier in the day on November 18; the client had asked me to propose a Consent Judgment of \$171,000.00 which comprised their damages, plus interest and disbursements. However, they instructed me to make an argument for solicitor-client costs, which I told them repeatedly we would not likely be successful on.</p> <p>A key fact in all of this is that the Defendant has made repeated representations that it is insolvent and has no more than \$50,000.00 to satisfy our client's claim. It is already operating under the name of a new corporate entity.</p> <p>On November 19, 2015 our office received a cheque payable to our clients in the sum of \$25,000.00 from the Defendant as partial payment towards the Judgment. We forwarded this to the clients. On November 24, 2015 the client advised me over the telephone that it is best that I no longer act for them. I sent them a final reporting letter and advised that we would send along our final Statement of Account shortly.</p> <p>Received correspondence confirming the clients (Dunlops) have obtained counsel – Beamer Comfort at Bennett Jones; no other changes to report. (JL, March 2016)</p> <p>No changes. (JDV – March 2017)</p>	<p>CLOSED</p> <p>April 24, 2019</p>

CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>Civil Claim issued by the claimants on March 30, 2017; Pre-trial conference on January 3, 2018 when counsel will be providing the producible records for circulation to the unrepresented Plaintiff. Mr. Dunlop has now brought a motion seeking further document discovery, which application will be opposed. (JDV – March 2018)</p> <p>Settlement reached between parties; awaiting mutual releases (JDV – March 2019)</p> <p>CLLAS CLOSED APRIL 24, 2019</p>	
Feb 10, 2016	2016-088	<p>The Guarantee Company of North America</p> <p>(Patricia L. Morrison)</p>		\$2,161,452	<p>The client for this potential claim is The Guarantee Company of North America (“GCNA”), which issued bonds to Carco Pipeline for a construction project. Pursuant to the agreement to provide the bonds, GCNA obtained security from Carco Pipeline, in the event that amounts were paid out under the bonds. The security included a General Security Agreement and personal indemnitees from two individuals, Frank Wilson and Carl Moffat.</p> <p>Court of Queen’s Bench Action No. 1001-10554 was commenced on July 16, 2010 against Carco Pipeline, Mr. Wilson and Mr. Moffat for damages for amounts paid out in relation to claims made under the bonds. The claim was commenced by Mr. Shane McGurk, a former partner of Borden Ladner Gervais LLP. Mr. McGurk resigned from Borden Ladner Gervais on December 17, 2010.</p> <p>On February 16, 2011, all three parties were noted in default for failure to file a Statement of Defence. On February 14, 2014, Default Judgment was entered against all three parties in the amount of \$2,161,452.57.</p> <p>On February 17, 2015, our office was contacted by counsel for Mr. Wilson, who advised that we had exchanged correspondence with Mr. McGurk and that, in December, 2010, Mr. McGurk had agreed to take no steps against Mr. Wilson without first providing reasonable notice. The December 2010 correspondence with Mr. Wilson’s counsel was not placed on the file at Borden Ladner Gervais LLP.</p> <p>A Consent Order was entered on April 7, 2015 setting aside the Noting in Default and Default Judgment as against only Mr. Wilson, but not as against Mr. Moffat or Carco Pipeline.</p> <p>On July 13, 2015, counsel for Mr. Wilson served Borden Ladner Gervais LLP with an application to dismiss the action against Mr. Wilson for long delay pursuant to Rule 4.33 or dismissing the action pursuant to Rule 4.31. The application is scheduled to be heard on March 3, 2016.</p> <p>The application was heard on March 3, 2016 and was successfully opposed. The appeal period will run from 10 days after the form of Order is filed and served. (PLM, March 2016)</p> <p>Mr. Wilson appealed the Order; the appeal was scheduled for January 13, 2017 but was adjourned due to illness; the appeal is being rescheduled to April 2018 due to Court availability. (PLM - March 2017)</p> <p>Appeal scheduled for June 6, 2018 (PLM - March 2018)</p>	<p>CLOSED</p> <p>April 24, 2019</p>

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>Matter is settled. Payments are being made to our client and we have a signed Consent Judgment on file; no claim against BLG was ever initiated. (PLM – March 2019)</p> <p>CLLAS CLOSED APRIL 24, 2019</p>	
Sept 7, 2018	2019-022	<p>Victor Benesch</p> <p>(Michelle Pilz, Sarah Makson, Justine Blanchet)</p>			<p>This potential claim involves issues arising from two separate Provincial Court actions commenced by Mr. Benesch in 2017. Mr. Benesch regularly conflates the two actions so for the purposes of this summary, I have referenced both claims.</p> <p>Mr. Benesch commenced a Civil Claim in the Provincial Court of Alberta, Action No. P1790100184, Judicial District of Calgary, on January 12, 2017, against a number of defendants, including our client, Margaret Argue. The crux of that dispute are allegations against Ms. Argue for knowingly making a false complaint to the police while they were neighbors at a seniors living facility in Edmonton in 2016.</p> <p>Mr. Benesch filed a second Civil Claim in the Provincial Court of Alberta, Action No. P1791004844, Judicial District of Calgary, on December 5, 2017 against the Centre of Addition and Mental Health, a psychiatric facility and hospital located in Toronto (the “CAMH Action”). The allegations in the CAMH Action relate to alleged misdiagnoses while Mr. Benesch was being treated for a variety of serious mental illnesses between approximately 2002 and 2011.</p> <p>Mr. Benesch filed an Application with respect to the CAMH Action on September 4, 2018, returnable October 5, 2018. In addition to seeking summary judgment against CAMH, paragraph 1 of Mr. Benesch’s Application indicates that he would like criminal charges filed against me with respect to the contents of CAMH’s Dispute Note filed earlier this year. I believe that Mr. Benesch is particularly upset with paragraphs 3 and 4 of the Dispute Note as he brought an Application (February 16, 2018) for an Order striking those paragraphs. The Court denied Mr. Benesch’s requests but did order minor amendments as follows:</p> <ol style="list-style-type: none"> 1. In or around August of 1990 the Plaintiff suffered a traumatic brain injury following an overdose of his prescribed medication. <u>The Defendant diagnosed him with a variety of mental health disorders including schizoaffective disorder, schizophrenia, paranoid personality disorder, psychosis and Major Depressive Disorder (“MDD”).</u> 2. In 2002, the Plaintiff was charged with two separate counts of criminal harassment contrary to the <i>Criminal Code</i>. Those charges were resolved on July 16, 2023, when he was found Not Criminally Responsible on account of mental disorder. Accordingly, the Plaintiff was then subject to the supervision of the Ontario Review Board (“ORB”), who ordered him discharged to the community subject to a number of conditions, including that he report to the person in charge of CAMH on a monthly basis. On October 29, 2004, the ORB ordered that he Plaintiff be absolutely discharged. 	<p>CLOSED</p> <p>May 9, 2019</p>

CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>Although the amendments were made, Mr. Benesch appears to be of the opinion that the information contained within his CAMH health record is private and that the reference to his non-conviction information in the Dispute Note was made to intentionally harm him.</p> <p>With respect to the issues of service referenced in Mr. Benesch's letter to the Law Society dated August 31, 2018, I believe his grievances stem from requests of mine for him to refrain from serving me at our office personally. I asked him to do so after I received complaints from our receptionists that his demeanour made them feel uncomfortable. Given his background of harassment (in Toronto) and mental illness, I am concerned that he might become aggressive. I advised him (on more than one occasion) that I will accept service via email, fax or registered mail and cited Rule 11.21. His remarks in the letter appear to be his way of lashing out. In any event, he has continued to serve his applications in person but I understand that he now makes a big deal of holding his hand over his mouth.</p> <p>No changes; (JDV – March 2019)</p> <p>CLLAS CLOSED MAY 9, 2019</p>	
Feb 14, 2018	2018-067	<p>Susan Elizabeth Smith and Mary Jo Leslie</p> <p>(Dennis J. Pelkie)</p>		<p>\$500,000 - \$999,000</p>	<p>This file dealt with Mary Jo Leslie and Susan Smith the daughters of Grace Walla and Grace Walla's estate. The claim is made for steps alleged not to have been taken by Mr. Pelkie during his retainer the result of which caused alleged anguish to Mrs. Smith and Mrs. Leslie and the health of both daughters has allegedly been permanently damaged. The complainants believe BLG needs to be held accountable not only for Mr. Pelkie's "sub-standard efforts and lack of strategy to bring relief to this family...The beneficiaries should never have been exposed to such long period of inept activity". No action has been commenced to date.</p> <p>No changes; (JDV – March 2019)</p> <p>October 23, 2019 – Letter to CLLAS advising Claimants will not be proceeding with their claim against Borden Ladner Gervais; as such the file can be closed; (JDV – March 2020)</p> <p>CLLAS CLOSED OCTOBER 25, 2019</p>	<p>CLOSED</p> <p>Oct 25, 2019</p>
July 14, 2016	2017-004	<p>Wael Maged Badawy</p> <p>(Frank Tosto)</p>		<p>\$1,000,000 - \$1,500,000</p>	<p>Borden Ladner Gervais LLP ("BLG") was retained by IntelliView Technologies Inc. ("IntelliView") to commence an action against its former director and current shareholder Wael Badawy. Mr. Badawy is an intelligent (PhD in engineering) self-represented litigant who is very litigious. The causes of action against Mr. Badawy include breach of fiduciary duties, fraudulent and negligent misrepresentations, conversion and interference with economic interests and unjust enrichment. The Statement of Claim was issued on June 14, 2016. On June 15, 2016, BLG on behalf of IntelliView, obtained an interim interlocutory <i>ex parte</i> injunction against Mr. Badawy (the "Injunction Order") requiring Mr. Badawy to, among other things: i) provide IntelliView with the user name and password for the intelliview.ca domain, website and email hosting account or accounts and IntelliView's social media accounts, including but not limited to, its Twitter and LinkedIn accounts; and ii) do all things necessary or required to transfer access to or control over the intelliview.ca domain, website and email hosting account or</p>	<p>CLOSED</p> <p>Dec 19, 2019</p>

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

				<p>accounts to IntelliView and do all things necessary or required to transfer access to and control over IntelliView’s social media accounts, including but not limited to, its Twitter and LinkedIn accounts, to IntelliView. In addition, Mr. Badawy was ordered to not use, sell, assign, lease or deal with in any way whatsoever trademark registrations bearing Nos. TMA 664448, TMA 815829 and TMA 815828. Mr. Badawy was also ordered not to use or access in any way whatsoever the domain intelliview.ca, including its website and email hosting account or accounts.</p> <p>On July 7, 2016, Mr. Badawy caused to be filed a Statement of Defence and a Counterclaim. The Counterclaim identified new parties as Defendants by Counterclaim including “Broden Lander Gervais LLP”.</p> <p>By Counterclaim, Mr. Badawy essentially asserts that BLG is acting in conflict of interest and therefore requests that it deliver all material related to IntelliView to a custodian appointed by the Law Society of Alberta. He also seeks an order preventing BLG from acting against the Plaintiff by Counterclaim or any of the shareholders of IntelliView and punitive damages in an unspecified amount against BLG. The relevant paragraphs in the Counterclaim are 33, 34, 51, 121, 122 and 120 (this paragraph appears out of numerical order in the pleading).</p> <p>The Counterclaim discloses no cause of action and should be struck.</p> <p>Statement of Defence filed; Application for Summary Judgment on behalf of BLG scheduled for April 13, 2017; (Tosto March 2017)</p> <p>An application for Summary Judgment was heard on April 13, 2017. Master Prowse granted summary judgment and we were awarded costs; Counsel forwarded Mr. Badawy a form of Order and Consent to a bill of costs. Mr. Badawy appealed the Order of Master Prowse. Appeal of the summary dismissal has been put over to a special chambers application scheduled for June 29, 2018. Appeal to be heard by a Judge.</p> <p>Mr. Badawy has also commenced a Federal Court action. Although Mr. Badawy seeks relief against BLG, BLG is not listed as a party defendant. All defendants argued applications to strike the Federal Court Statement of Claim. This motion was heard on March 8, 2018. Madam Justice McVeigh has reserved her decision. (Tosto – March 2018)</p> <p>Mr. Badawy appealed the decision summarily dismissing the action against BLG. The appeal was heard by Madam Justice Hughes on June 29, 2018. She dismissed the appeal with costs payable to BLG. Mr. Badawy has appealed this decision to the Alberta Court of Appeal. Mr. Badawy, however, has been declared a vexatious litigant in the Alberta Courts and his appeal has been stayed. It will likely never be heard. The action against BLG is effectively now at an end.</p> <p>Mr. Badawy continued to make certain assertions against BLG and certain of its lawyers in the Federal Court. He has, however, not listed BLG or any of its lawyers as parties to the Federal Court action. The Federal Court action was struck by Judgment of Madam Justice McVeigh on July 31, 2018. Mr.</p>	
--	--	--	--	--	--

CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>Badawy has undertaken an appeal of this decision. We are awaiting a hearing date from the Federal Court of Appeal.</p> <p>On March 27, 2019, we are arguing an application to have Mr. Badawy declared a vexatious litigant in the Federal Court system. If he is declared a vexatious litigant and the abovementioned appeal decision has not been released, the Court may stay the appeal.</p> <p>Our client, IntelliView Technologies Inc., made an application in October 2018 to petition Mr. Badawy into bankruptcy. The application was not granted but we were given leave to renew the application in six months. We will be doing so on behalf of IntelliView at the end of April or beginning of May 2019. (Tosto – March 2019)</p> <p>December 17 2019 – Letter to CLLAS advising Mr. Badawy has been declared a vexatious litigant in both the Federal Court and Alberta of Queen's Bench; in absence of leave of the relevant Court he is unable to commence new actions; Mr. Badawy's Federal Court action has been struck; appeal of the decision striking this action was unsuccessful; Mr. Badawy's counterclaim has been summarily dismissed, as was his appeal; ALIA has closed its BLG file as it pertains to Badawy. Mr. Badawy has petitioned into Bankruptcy</p> <p>CLLAS CLOSED DECEMBER 2019</p>	
July 12, 2018	2019-001	<p>Prairie Green Renewable Energy Inc. and Anderson & Company</p> <p>(Robb McNaughton)</p>		\$100,001	<p>My client, Berry Capital Group, sent on September 4, 2017 a request in writing from its new client, Prairie Green Renewable Energy Inc., for me to speak to RBC Royal Bank to confirm that Berry Capital Group is a client of the firm.</p> <p>RBC Royal Bank refused to process the \$190,000 payment due under a consultancy agreement between Berry Capital Group and Prairie Green Renewable Energy Inc. without this confirmation. I called Stephanie Bull at RBC Royal Bank on September 5 to confirm BLG's relationship with Berry Capital Group.</p> <p>No changes (R. McNaughton – March 2019)</p> <p>October 24, 2019 – Letter to CLLAS advising the Claimant will not be proceeding with their claim against Borden Ladner Gervais LLP; file can be closed</p> <p>CLLAS CLOSED OCTOBER 25, 2019</p>	<p>CLOSED</p> <p>Oct 25,2019</p>
March 13, 2020	2020-093	<p>Scott Badcock and Akshatha Shetty</p> <p>(Miles Pittman)</p>		\$10,000 and under	<p>I was asked on approximately February 20, 2020 if BLG would represent Mr. Badcock and Ms. Shetty in a litigation matter, which is ongoing. They had terminate the retainer of their previous lawyer, Ryan Pelletier of Pelletier Litigation, because of a dispute over fees. On February 20, Mr. Badcock also asked me in passing about a taxation hearing of Pelletier's account that Mr. Pelletier had brought, to occur on March 6. Subsequently, Mr. Badcock sent me an email setting out the facts of the litigation matter, and embedded in that email is a confirmation of the date of the taxation, March 6, 2020.</p>	<p>CLOSED</p> <p>(Apr 3, 2020)</p>

CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>Through either inadvertence, or not being clear on the instructions from Mr. Badcock to attend the taxation, no one attended the taxation, and Mr. Pelletier obtained a Certificate of Review of Lawyer's Charge from the Taxation Officer. Mr. Badcock also admitted that he also forgot about the taxation.</p> <p>The Certificate of Review of Lawyer' charges was provided to Mr. Badcock on March 6, 2020 by Mr. Pelletier, for \$9,761.00.</p> <p>Bradon Willms of BLG contacted Mr. Pelletier on March 6 2020 advising him that BLG is representing Mr. Badcock and Ms. Shetty. They have exchanged correspondence and a resolution is possible.</p> <p>Mr. Badcock and Ms. Shetty have agreed to pay \$3295 of the outstanding amount leaving \$6,466 to be paid</p> <p>Matter Closed; (M. Pittman – March 2021)</p>	
March 26, 2018	2018-079	Music Seeds International Ltd. et al (Richard Eisenbraun)			<ol style="list-style-type: none"> 1. The client, Music Seeds International Ltd. ("MSI") is an Alberta not-for-profit private company incorporated on October 15, 2012 pursuant to Part 9 of the <i>Companies Act</i> (Alberta). 2. MSI is a registered charity, CRA Charity registration no. 783622681 RR0001 3. Due to anticipated changes to the board of directors of MSI, MSI would no longer meet the minimum number of Alberta-resident directors set out by Part 9 of the <i>Companies Act</i> (Alberta). Borden Ladner Gervais LLP ("BLG") was retained on a <i>pro bono</i> basis to assist with this issue, and advised that MSI would need to migrate out of the jurisdiction and continue under the <i>Canada Not-for-profit Corporations Act</i> ("CNCA"). However, as this is not permitted under Part 9 of the <i>Companies Act</i> (Alberta), BLG recommended the following plan: <ol style="list-style-type: none"> a. MSI would cause a new corporation to be incorporated under the CNCA, which would have the same (or substantially the same) charitable purposes as MSI; b. The new corporation would apply for charitable registration with the Canada Revenue Agency ("CRA") so as to become a "qualified donee" for the purposes of the <i>Income Tax Act</i> (Canada); c. Once registration has been granted to the new company, MSI would gift all of its property to the new corporation, a qualified donee; d. MSI would voluntarily dissolve and cease to exist. 4. The necessary documentation was prepared by Ms. Monah Gichuyia, a junior associate at BLG, under the supervision of Mr. Richard E. Eisenbraun, a partner at BLG. 5. On or about November 22, 2017, Music Seeds International Inc. ("Newco") was incorporated 	CLOSED (March 2022)

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

				<p>under the CNCA. An application for charitable registration was sent to the CRA in respect of Newco on December 4, 2017.</p> <p>6. In the interim, documents were prepared and signed to effect the dissolution of MSI. It was intended that these documents would be held in escrow and dated, released and filed only after Newco received notice of its successful registration as a charity, and the property of MSI was transferred to Newco.</p> <p>7. Due to a misunderstanding by Ms. Gichuyia with respect to the instructions of Mr. Eisenbraun, on January 4, 2018, MSI was voluntarily dissolved and its remaining property distributed. Mr. Eisenbraun was away on vacation at the time.</p> <p>8. A registered charity is only permitted to distribute property to “qualified donees”, as that term is defined in the <i>Income Tax Act</i> (Canada). At the time that MSI was dissolved (and as at the date hereof), Newco’s application to register a charity remained outstanding. The property was not distributed to qualified donees. As a result, MSI is in violation of its obligations as a registered charity.</p> <p>9. The error was noted by Mr. Eisenbraun after his return from a vacation. In the period that followed, Mr. Eisenbraun and other BLG members began to investigate the process to revive MSI. It was determined that a dissolved Part 9 company can only be revived under the <i>Companies Act</i> (Alberta) by way of a Court Order.</p> <p>10. BLG is prepared to bring the necessary court application to revive MSI and restore the property distributed in error, pending Newco’s registration as a charity, at which time, the property would be gifted by MSI to Newco, and MSI can be fully and finally dissolved.</p> <p>October 1, 2018 – Working with various parties towards a resolution; (R. Eisenbraun – March 2019)</p> <p>This matter involves obtaining an Order of the Court of Queen’s Bench to rectify the dates of certain steps in a transaction plan. Other than the client charity, there are two interested parties: the Alberta Corporate Registry (the “Registry”) and the Canada Revenue Agency (“CRA”).</p> <p>The rectification has already received the approval (by way of a letter of non-opposition) of the Registry. We have been working with Justice counsel, who act for CRA, who has brought the matter before CRA’s internal Rectification Committee. It is expect that CRA will not oppose (and may consent) to the rectification, and Justice counsel has already indicated that she will not appear for the court hearing.</p> <p>It was expected the matter would proceed in late March or early April (i.e. as soon as we received CRA’s consent or non-opposition). In light of the court closures in response to the COVID-19 pandemic, the matter cannot be scheduled. It will be set down for hearing as soon as possible once restrictions</p>	
--	--	--	--	--	--

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>are lifted. The matter will be heard in morning Chambers. It is expected the Order will be granted as applied for. Still working towards a resolution.</p> <p>(R. Eisenbraun – March 2020)</p> <p>On January 25, 2021 the Honourable Justice B. Johnston granted an order reviving Music Seeds International Ltd. for purposes of completing the transfer of assets to a new charitable organization, Music Seeds International Inc. (“Newco”), and rectifying the effective date of the Assignment and Assumption Agreement giving effect to such transfer, to correspond with the charitable registration of Newco. With this order now granted, the matter is substantially complete, and we are currently awaiting confirmation that the Alberta Corporate Registry has processed the temporary revival.</p> <p>(R. Eisenbraun – March 2021)</p> <p>Matter Resolved; Closed</p> <p>(R. Eisenbraun – March 2022)</p>	
Oct 9, 2018	2019-034	<p>Ceana Development Sunridge Inc., Bahadur (Bob) Gaidhar and Yasmin Gaidnar</p> <p>(Travis McArthur)</p>		\$2,000,000	<p>Travis McArthur, who at the relevant time was at BLG but is now a lawyer at KH Dunkley, was acting for Hillsboro and Neotric in relation to several loans to Ceana Development Ventures Inc. (“Ceana”) in 2017. Keith Ferrel is not a client in his personal capacity. The loans went into default and foreclosure proceedings were commenced. A Defence and a separate Counterclaim were filed against the lender Hillsboro Ventures Inc., Neotric Enterprises Inc., Keith Ferrel and BLG.</p> <p>In relation to BLG, the Counterclaim alleges that BLG wrote a letter to a general contractor working for Ceana which stated the amount in BLG’s trust account and the purpose for which those monies were held. The allegation in the Counterclaim is that the letter was a misrepresentation. That alleged misrepresentation and the alleged failure of the lender Hillsboro Ventures Inc. to advance further monies, are claimed to have caused work to continue to be done by the general contractor, albeit not paid for, resulting in enhanced lien claims and other damages. The letter was drafted and signed by Travis McArthur and is dated August 25, 2018. As at the date of that letter the stated funds were in BLG’s trust account.</p>	<p>CLOSED</p> <p>(March 2022)</p>

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>No changes; (JDV – March 2019)</p> <p>No changes; (JDV – March 2020)</p> <p>No changes; expect claim to be discontinued; (JDV – March 2021)</p> <p>Claim Dismissed; Matter Closed; (JDV – March 2022)</p>	
Nov 26, 2018	2019-053	<p>Rethink and Diversify Securities Inc, and Jason Park</p> <p>(Daniel Johnson, Jonathan Doll, Sharagim Habibi</p>			<p>Summary</p> <p>BLG was retained in respect of the contemplated purchase by Rethink and Diversify Securities Inc. ("R&D") of certain assets from Omnus Investments Ltd. ("Omnus") on August 29, 2016.</p> <p>At the time of engaging BLG, R&D and its CEO, Mr. Jason Park, had signed a Letter of Intent with Omnus, dated August 3rd, 2016 (the "LOI"), and an agreement with Omnus dated August 23, 2016, which was called the "Transaction Summary". The Transaction Summary provided that R&D would: (1) make monthly payment of cash to Omnus (this payment was purported in respect of a lease payment to Omnus' affiliate, although R&D would not actually be entitled to any leased space under the terms of the contract); (2) and issue shares to any Omnus employees that came to work with R&D.</p> <p>In exchange for the monthly payment and various share issuances, Omnus was required to: (1) de-register as an exempt market dealer; and (2) using its best efforts to convince its dealing representatives to register with R&D; and (3) as a courteous gesture, Riki Roy, officer and director of Omnus would provide working space to R&D staff in terms to be determined by her in her sole discretion.</p> <p>Omnus also had made various other representations to R&D orally, which were not set out in the Transaction Summary.</p> <p>Over calls, Jon Doll and Sharagim Habibi of BLG explained to Mr. Park that under the transaction terms R&D had unusual transaction risk because R&D was obligated to pay the entire purchase price regardless of whether any "assets" were actually transferred to R&D because, under the current transaction terms, Omnus simply had to use best efforts to convince its</p>	<p>CLOSED</p> <p>(March 2022)</p>

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>dealing representatives to seek registration with R&D. BLG discussed various risks with the deal terms, including that, among other things, R&D should negotiate an adjustment provision so that the purchase price would be reduced proportionately if the dealing representatives did not actually come to work for R&D and did not meet performance milestones. BLG raised numerous other concerns about the transaction terms. BLG indicated to Mr. Park that the document indicated that it was subject to negotiating customary terms. BLG made various suggestions to Mr. Park and then it was agreed that BLG would negotiate deal terms on a call with Omnus and its counsel.</p> <p>On September 1, 2016, Ms. Riki Roy, CEO of Omnus, emailed BLG and Burnet Duckworth & Palmer LLP (counsel to Omnus) and indicated that Omnus wanted to submit the Transaction Summary to the securities commissions because Omnus and R&D, as registrants with the securities commissions, were required to seek the non-objection of the commissions in order to close the transaction.</p> <p>Mr. Doll expressed concern to Mr. Park that the Transaction Summary should not be sent to the securities commissions because the deal terms were unclear and appropriate transaction documents should be negotiated first before the regulator is contacted.</p> <p>On September 2, 2016, Omnus, R&D, BLG and BD&P had a call to discuss the LOI signed by the parties. BLG and BD&P discussed that it was not clear on what assets were being sold under the letter of intent. BLG suggested including various reasonable transaction terms. While BLG was making these suggestions, Mr. Park interjected and indicated that he made a deal and he was sticking to his word and there would be no changes to the deal terms.</p> <p>After that call, Mr. Park called Mr. Doll and Mr. Habibi. Mr. Park indicated to BLG that Ms. Roy was really angry at Mr. Park and indicated that R&D almost lost the transaction because of BLG's suggested revisions to the deal terms that BLG stated on the call. Mr. Park then terminated BLG's retainer.</p> <p>Mr. Doll and Mr. Habibi also received an email from BD&P indicating that they were no longer acting for Omnus on the file.</p> <p>R&D and Mr. Park proceeded to enter into several binding documents in respect of the transaction, including a binding Memorandum of Understanding and a Personal Guarantee, and proceeded to take other steps to complete the transaction, including filing a notice with the securities commissions that indicated that they were proceeding with the transaction. AUM Law, new counsel to Omnus, assisted Omnus and R&D in making the filing to the securities commissions. BLG was not involved with that filing and, to BLG's knowledge, R&D did not use any counsel independent of AUM Law at that time.</p> <p>On October 7, 2016, Mr. Park received a call from an insider of Omnus while Mr. Park was at</p>	
--	--	--	--	--	--	--

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>coffee with Mr. Doll. After that call, Mr. Park indicated to Mr. Doll that he wanted to terminate the transaction with Omnus as he was concerned that the circumstances had shifted from what was originally agreed.</p> <p>R&D re-engaged BLG to assist on the transaction on October 7, 2016. After that coffee meeting, Mr. Park attended BLG's offices and met with Mr. Doll, Mr. Habibi and Daniel Johnson. At this meeting, BLG and Mr. Park discussed various risks and possible ways to deal with the transaction. Mr. Park indicated that he still wanted to proceed with the transaction; however, he was concerned that R&D was not getting the same assets that he originally thought R&D would be getting from Omnus.</p> <p>Subsequently, the Saskatchewan Financial Consumer Affairs Authority objected to the completion of the transaction and required R&D to enter into an asset purchase agreement.</p> <p>Mr. Doll, Mr. Habibi, and Mr. Johnson provided advice in the drafting of an Asset Purchase Agreement between R&D and Omnus (the "APA"). AUM Law and McLennan Ross represented Omnus for the purposed of drafting the APA and related documents. However, the negotiation was more limited in scope than a typical negotiation because the parties had already agreed to the binding terms of the transaction and were in fact, proceeding to complete the transaction on the basis.</p> <p>Included in the APA was a clause which provided each party the right to terminate the agreement if the transaction did not close on or before November 30, 2016. The APA was signed by all parties by November 22, 2016.</p> <p>Prior to November 22, 2016, and pursuant to the Memorandum of Understanding previously signed by Mr. Park for R&D, Omnus and R&D had taken steps to carry out the transaction. R&D and Omnus continued to take steps to complete the transaction after the APA was signed, and beyond the contemplated November 30, 2016 closing date. As of November 30, 2016, and up to January 4, 2017, some steps necessary to the closing of the transaction had not occurred.</p> <p>Throughout October 2016 to January 2017, the Omnus dealing representatives primarily went to other firms. R&D originally thought it was receiving over 30 dealing representatives, but that number continually declined throughout the course of the file. Ultimately, there were very few dealing representatives that would be going to R&D at the end of the transaction.</p> <p>During the course of the file, Mr. Park changed his mind several times as to whether or not he wanted to proceed with the transaction. BLG provided legal advice to R&D in respect of terminating the APA with Omnus over several phone calls and emails. R&D wanted to terminate the APA for various reasons, including because Omnus would not agree to reasonable non-competition agreements that were part of the transaction and because the majority of the Omnus dealing representatives that R&D thought it would gain out of the</p>	
--	--	--	--	--	---	--

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>transaction had, in fact, left for other firms and were not joining R&D as had been originally contemplated.</p> <p>Between December 1, 2016 and January 5, 2017, BLG advised R&D and Mr. Park that there was a termination provision in the APA which provided R&D a potential right to terminate the APA. However, BLG indicated that R&D and Mr. Park were likely to be sued if R&D terminated on the basis that R&D had already accepted and paid for some of the assets being sold under the transaction. In addition, BLG indicated that Omnus would allege bad faith if the APA was terminated at this time, although the court would also need to look at Omnus’ conduct. In an email provided to R&D and Mr. Park prior to termination, BLG stated:</p> <p>“As we have previously discussed, Omnus may decide to sue you for any number of reasons, so you should be prepared for Omnus to start a lawsuit shortly after the APA is terminated. Based on a past email from Omnus’ counsel, one of the likely claims by Omnus will be that the transaction has been substantially closed, and consequently, R&D is bound to pay Omnus all or a substantial portion of the purchase price. Consequently, R&D must ensure that it does not close as many aspects of the transaction as possible in order to defend this argument. For example, if R&D issues shares to the dealing reps pursuant to the APA, then this could be used by Omnus to argue the transaction has been completed. Consequently, R&D must not issue shares pursuant to the APA. If R&D is going to issue the shares to the dealing reps, this should be done after the APA has been terminated, and done under separate agreements (such as the dealing rep agreements). In addition, R&D must not take any other steps that would suggest that the transaction has, in fact, closed or substantially closed. For example, if R&D has access to Omnus client files, those client files must be returned to Omnus shortly after the APA is terminated.”</p> <p>R&D terminated the agreement on January 5, 2017. On January 21, 2017, Omnus sued R&D and Mr. Park. Both parties filed applications for summary judgment, and, on October 19, 2018, the Alberta Court of Queen’s Bench held that R&D did not have right to terminate the Asset Purchase Agreement and awarded damages against R&D in the amount of \$434,500 plus interest, less the amount paid by R&D already to Omnus of \$22,586.18.</p> <p>On October 23, 2018, on a conference call where Mr. Doll and Mr. Johnson were present, one of the directors of R&D (we are not sure who) made a statement to the effect of “isn’t the lawyer that advised us to terminate the deal liable for the judgment”. This was at the start of the call when people were joining and appeared to be a statement directed at the other directors on the call and not at Mr. Doll or Mr. Johnson. The call then proceeded once all attendees had joined and there was no further mention of this from the R&D directors.</p>	
--	--	--	--	--	---	--

CLAIMS SCHEDULE F

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>No changes (D. Johnson – March 2019)</p> <p>No changes (D. Johnson – March 2020)</p> <p>No changes (D. Johnson – March 2021)</p> <p>Potential claim arose in 2018; no indication of claim being filed; limitation period expired; Claim should be closed;</p> <p>(J. Doll/J. Vallis – March 2022)</p>	
August 22, 2019	2020-19	<p>854769 Alberta Ltd. (Counsel – Richard Harrison, Wilson Laycrat)</p> <p>(Cheryl Elgart)</p>		<p>\$100,001 to \$250,000</p>	<p>The City of Calgary has filed an application to strike former client's application for determination for compensation, which was filed with the Land Compensation Board, to bring a claim already filed with the City of Calgary under Municipal Government section 534(4), on the basis that the 2 year limitation period in the Limitations Act was not met.</p> <p>Sept 25, 2015 – Victoria Coffin Law office filed MGA section 534 claim with the City of Calgary</p> <p>July 1, 2017 – Market value impact of City project on client's property crystallized – tenant unilaterally reduced rental payment</p> <p>Feb 15, 2017 – BLG retained. Thereafter, work to establish whether claims viable, and to quantify.</p> <p>Jan 22, 2018 – Letter to Andrew Barbero, City of Calgary, enclosing appraiser's Letter of Opinion</p>	<p>CLOSED</p> <p>(March 2022)</p>

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

				<p>quantifying permanent market value loss at \$206,500 and seeking compensation for that loss under MGA section 534 claim.</p> <p>Jan 29, 2018 – Barbero letter stating that client missed the limitation date “set out in the Expropriation Act...as it applies to section 534 claims from the MGA”.</p> <p>Jan 31, 2018 – Call with Barbero expressing view that Expropriation Act limitation period does not apply to MGA section 534.</p> <p>Feb 26, 2018 – Barbero email confirming City position that Expropriation Act limitation period applies.</p> <p>April 2018 – Calgary (City) v. Lee, 2018 ABLCB 5 issued, confirming Expropriation Act limitation period does not apply.</p> <p>Sept 20, 2018 – Barbero voicemail stating his view that Rules of Court don’t apply, that per Lee LCB decision, there’s no MGA 534 limitation; inviting me to call back to get something in place if I disagree.</p> <p>May 1, 2019 – Call with client re: file transfer to Richard Harrison. Harrison letter requesting file.</p> <p>Unknown month and day, 2019 – Harrison filed ADC.</p> <p>July 26, 2019 – Barbero filed application to strike ADC on basis of expiry of Limitations Act limitation period.</p> <p>March 10, 2020 – LCB Hearing on the City’s motion to strike.</p> <p>March 24, 2020 – Call with Richard Harrison (opposition counsel). He reported that the LCB panel member who heard the motion did not seem to have much time for the City’s limitations argument. Harrison is awaiting the decision; (C. Elgart – March 2020)</p> <p>In a decision dated October 26, 2020, the Land Compensation Board rejected the City’s limitations arguments, and its notice of motion to strike the claim. I understand from discussion with Richard Harrison at Wilson Laycraft that no appeal was filed within the appeal period. He is carrying on with the client’s claim against the City. As a result, I understand that the file can be closed. (C. Elgart – March 2021)</p> <p>Matter Closed (J. Vallis – March 2022)</p>	
Sept 2, 2020	2021-009	UrbanStar Glendale Manor Inc. and Dean Gorenc (Jonathan Doll)		<p>We acted for UrbanStar Glendale Manor Inc. (the “Client”) on an issuer bid that was also an insider bid. In preparing the documents for the transaction, we followed the transaction timelines for an issuer bid. However, because the transaction is also an insider bid, we needed to follow the transaction timelines and disclosure requirements for a takeover bid. We generally followed the disclosure requirements for the takeover bid, but we missed a few items.</p> <p>This error was discovered by an investor that complained to the Alberta Securities Commission. The Alberta Securities Commission sent the Client a letter on August 28, 2020. We spoke with the Alberta Securities Commission on August 31, 2020 and discussed a solution. The Alberta Securities Commission agreed that we could amend the bid document to fix the error by including the missing disclosure and then re-stating the 35 day transaction clock. The result is that the client’s transaction will be delayed by approximately 35 days.</p> <p>This delay to the transaction could have adverse consequences to the Client because the Client needs to restructure its debt with its investors before the default date on September 28, 2020 (which has a 30 day cure period).</p>	CLOSED (March 2022)

SUMMARY OF ALL OPEN AND CLOSED CLAIMS AND CIRCUMSTANCES
FOR PAST FIVE YEARS

(REVISED MARCH, 2022)

					<p>The Client is aware of the error. However, the Client wants BLG to remain on the file and prepare the documents to amend the bid to fix the error.</p> <p>The transaction closed successfully and there was no harm to the client. The matter is closed. (J. Doll – March 2021)</p> <p>Closed. (J. Doll/J. Vallis – March 2022)</p>	
June 23, 2021	2021-129	<p>Condominium Corporation No. 0510453 (Citium) c/o FirstService Residential Alberta Ltd.</p> <p>Shory, Grace J.</p>			<p>A Statement of Claim on behalf of Condo Corp No. 0510453 ("Citium") was filed and served by Scott Venturo Rudakoff LLP ("SVR") via registered mail on July 10, 2020. At that time, Grace Shory was the lawyer on record at SVR. Ms. Shory moved firms to Borden Ladner Gervais LLP on April 5, 2021. The current lawyer on record for Citium is Alicia McLelland at SVR.</p> <p>One of the named defendants in the above action, Deane Roofing, did not file a Statement of Defence in time and was noted in default on August 18, 2020. Service was deemed good and valid by the court, having approved the Noting in Default against Deane Roofing. Out of an abundance of caution, and in light of the pandemic and changes to Canada Post protocols, the Statement of Claim was also served to Deane Roofing's attorney for service on October 13, 2020. Deane Roofing retained counsel on April 7, 2021. According to Ms. McLelland, Deane Roofing's counsel is alleging improper service of the Statement of Claim and has indicated putting forth an Application to Set Aside the Noting in Default against Deane Roofing.</p> <p>Ms. McLelland has put Ms. Shory on notice on June 8, 2021 of a potential claim as Ms. McLelland sees risks with the validity of service. Ms. Shory does not agree. Please find attached the emails of June 8 and 9, 2021 between Ms. Shory and Ms. McLelland, which outline the facts in greater detail and alleged issues pertaining to this potential claim.</p> <p>Please be advised that Ms. Shory is able to answer questions on what had transpired on this file only up to March 11, 2021. After this date, Ms. Shory was no longer employed at SVR. Please be further advised that Ms. McLelland, as the current lawyer on record, has access to all the file materials, pleadings, correspondence pertaining to this potential claim. Please kindly contact her directly or SVR for receipt of the same. For further information, please contact Ms. McLelland at A.McLelland@svrlawyers.com or Ms. Shory at GShory@blg.com.</p> <p>October 12, 2021 – ALIA confirms matter closed as no claim developed; (GS – March 2022)</p>	<p>CLOSED</p> <p>(March 2022)</p>

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

Borden Ladner Gervais LLP

As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS1988-020	L0504	1-Nov-1987	1-Feb-1987	JORDAN DIMOFF	THOMAS QUINN	1-Jul-1990	\$ -	\$ -	\$ 11,939	\$ -	\$ -	\$ 11,939	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-039	L0493	1-Nov-1987	1-Sep-1987	GARY A. MAAVARA	DJEDDAH PROPERTIES	1-Mar-1988	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-005	M0194	1-Sep-1988	1-Jun-1988	THOMAS G. ANDREWS	COLDMATTC	1-Dec-1988	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-013	M1149	1-Feb-1989	1-Dec-1985	J.H. BERLINER	R.J.A. WILDMAN	1-Jun-1989	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-019	M1375	1-Mar-1989	1-Feb-1986	Richard A. Applebaum	HYUNDAI AUTO	1-Apr-1991	\$ -	\$ -	\$ 13,494	\$ -	\$ -	\$ 13,494	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-038	M1504	1-Apr-1989	1-Mar-1989	W.R. MIDDLETON	HERTZ	15-Jan-1990	\$ 13,655	\$ -	\$ 2,408	\$ -	\$ -	\$ 16,063	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-050	MNR409B	1-Jun-1989	1-Jun-1989	R.W. MURRAY	BRUCE CLARK	8-Feb-1990	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-052	MNR526	1-Jun-1989	1-May-1989	Mary M. Fox	DONALD F. RIPLEY	31-Dec-1993	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-054	MNR632	1-Jun-1989	1-Jan-1989	WENDY J. EARLE	JOHN GILGAR	31-Dec-1993	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-062	M1990	30-Jun-1989	31-Mar-1989	Wendy Tattle	SCOTT & FRED WHITE	31-Dec-1996	\$ -	\$ -	\$ 13,362	\$ -	\$ -	\$ 13,362	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-063	M1903	1-Jun-1989	1-Dec-1988	GORDON JAMES SHEARN	ARCHIVAL VENTURE	15-Apr-1990	\$ -	\$ -	\$ 472	\$ -	\$ -	\$ 472	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-087	M2568	1-Jun-1989	1-Jan-1985	I.A. MCEWAN	SUN PAC FOODS LTD.	1-Feb-1991	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-091	N/A	1-Nov-1988			AMERICAN HOME	3-Jul-1990	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-009	NNR119S	1-Oct-1989	1-Jun-1989	WILLIAM S. ROBERTSON	Bank of Nova Scotia	25-Oct-1989	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-078	N2099	15-Jun-1990	1-Jan-1979	R.LEE WOODS	MR. & MRS.PETER GOOCH	1-Sep-1991	\$ -	\$ -	\$ 1,745	\$ -	\$ -	\$ 1,745	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-086	N1278	30-Jun-1990	28-Feb-1988	RICHARD LEE WOODS	FEDERAL BUSINESS DEVELOPMENT BANK	13-Mar-1995	\$ 37,500	\$ -	\$ 7,471	\$ -	\$ -	\$ 44,971	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-098	O0029	28-Jun-1990	15-Oct-1989	Lorne H. Saltman	686793 ONTARIO LIMITED	1-Dec-1990	\$ 10,554	\$ -	\$ -	\$ -	\$ -	\$ 10,554	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-004	00218	31-Jul-1990	30-Apr-1989	R. LEE WOODS	MUNICIPAL SAVINGS & LOAN CORP.	2-Mar-1995	\$ -	\$ 1,836	\$ 824	\$ -	\$ -	\$ 2,660	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-005	ONR022	1-Jul-1990	1-Mar-1990	K.W. SCOTT	AMCA INTERNATIONAL	27-Mar-1991	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-010	ONR049	1-Aug-1990	1-Dec-1989	W.PAUL MCCARTEN	DAN BROWN	31-Dec-1993	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-011	ONR022	18-Jul-1990	1-Mar-1990	K.W. SCOTT	ELLIS-DON LIMITED/DOMINION BRIDGE	30-Jun-1994	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-023	O0642	30-Oct-1990	31-May-1990	R. LEE WOODS	TRITEN CORPORATION CANADA LTD.	30-Sep-1999	\$ 404,418	\$ 197,523	\$ 398,059	\$ -	\$ -	\$ 1,000,000	\$ 3,490,099	\$ 103,049	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,593,148	
CLLAS1991-025	O0438	31-Aug-1990	30-Apr-1989	R. LEE WOODS	PRENOR TRUST	18-Dec-1997	\$ 50,000	\$ 209	\$ 58,047	\$ -	\$ -	\$ 108,256	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-061	PNR036	6-Feb-1991	1-Jan-1991	MARY MARGART FOX	CHERE BUNTING	30-Oct-1991	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-067	PNR047	1-Feb-1991	1-Jan-1990	Michael McKelvey	ENG HO TAN	30-Jun-1994	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-077	P0552	1-Mar-1991	30-Apr-1990	META M. TORY	ESTATE OF COLIN HALLAM	20-Sep-1993	\$ 24,021	\$ -	\$ 571	\$ -	\$ -	\$ 24,592	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-105	P1484	15-May-1991	1-Sep-1989	MARK BARUZZI	BIO-MED SYSTEMS CORPORATION - ERIC LEHNER	1-Jun-1991	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-116	P1789	3-Jun-1991	1-Nov-1990	Martin Sclisizzi	Bank of Nova Scotia	1-Sep-1991	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-150	PNR157	16-May-1991	1-Apr-1990	Richard A. Applebaum	AMBROZIC	1-Nov-1991	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-151	PNR150	15-Jun-1991	1-Jan-1991	ALICE JANISCH	MONEY MANAGERS INC.	31-May-1993	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-153	P0485	1-Feb-1991	1-Apr-1990	STEVEN N. ICZKOVITZ	N. ABBOTT LIMITED	1-Jun-1992	\$ -	\$ -	\$ 755	\$ -	\$ -	\$ 755	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-015	P2847	20-Sep-1991	22-Jun-1991	JAMES D.G. DOUGLAS	ONTARIO ELECTRICAL CONSTRUCTION COMPANY	1-Dec-1992	\$ -	\$ -	\$ 5,046	\$ -	\$ -	\$ 5,046	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-092	Q0512	21-Feb-1992	26-Jun-1989	ELLEN R. CHAIKOF	FRANK MATIC	1-Oct-1994	\$ -	\$ -	\$ 3,289	\$ -	\$ -	\$ 3,289	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-093	Q0625	21-Feb-1992	1-Jan-1991	GEOFF MORAWETZ	SWISS INSTRUMENTS LIMITED	9-Dec-1993	\$ -	\$ 1,932	\$ -	\$ -	\$ -	\$ 1,932	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-094	Q0484	27-Feb-1992	1-Apr-1990	MAUREEN HOUSTON	MARY-ANNE TAYLOR	1-Mar-1993	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-101	Q0794	17-Mar-1992	28-Jul-1987	Lorne H. Saltman	JON HUSSMAN	1-Jan-1993	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-122	Q8182	14-May-1992	2-May-1990	GARTH MANNING	MONTREAL TRUST/Mr. & Mrs. WEDLOCK	1-Dec-1992	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-172	Q1819	30-Jun-1992	1-Jun-1992	G.B. MORAWETZ	NICHOLAS PRESS	1-Dec-1992	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-173	Q4069	29-Jun-1992	1-Feb-1990	Martin Sclisizzi	HALIFAX INSURANCE COMPANY	2-Sep-1994	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-174	Q1817	29-Jun-1992	1-Jan-1990	BARBARA CAPPEL	WHITBY LANDMARK DEVELOPMENT INC.	13-Dec-1993	\$ -	\$ 1,162	\$ -	\$ -	\$ -	\$ 1,162	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-183	Q0199	28-Feb-1992	31-Jul-1991	ILSA J. SHORE	ZURICH LIFE	4-Oct-1994	\$ -	\$ 1,293	\$ -	\$ -	\$ -	\$ 1,293	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-005	Q4096	18-Aug-1992	1-Jan-1990	N.C. SAXE	THOMAS PAYETTE	28-Jan-1994	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-029	Q3264	30-Nov-1992	31-Jul-1992	William T. Pashby	X-L COIN-OP/THOMAS McEWEN	8-Feb-1999	\$ -	\$ -	\$ 3,544	\$ -	\$ -	\$ 3,544	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-039	Q3410	1-Dec-1992	1-Dec-1992	Murray B. Shopiro	Barclays Bank of Canada	6-Jul-1994	\$ -	\$ -	\$ 2,660	\$ -	\$ -	\$ 2,660	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-077	R2309	12-Mar-1993	1-Aug-1986	J.F.L. McARDLE	ADAPTIVE MICROELECTRONICS LTD.	8-Oct-1993	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-078	R2308	11-Mar-1993	30-Sep-1990	M.J. DERMER	DAVID LONGARINI	11-Mar-1994	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-090	R0418	4-Feb-1993	15-May-1991	DORIS L. BAUGHAN	National Bank of Canada	31-May-1993	\$ -	\$ -	\$ 1,184	\$ -	\$ -	\$ 1,184	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-105	R1373	29-Apr-1993	31-Jan-1991	M.J. DERMER	Stanley P. Fienberg	17-Aug-1993	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-118	R1804	31-May-1993	31-Dec-1992	CHRISTINE FOTOPOULOS	Oleh Bych	22-Oct-1993	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-141	R2225	30-Jun-1993	28-Feb-1993	Craig J. Hill	National Trust/GHALI	17-May-1995	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-142	R2250	23-Jun-1993	12-Dec-1990	W. Paul McCarten	Bradley & Associates Insurance Agencies Ltd.	9-Nov-1993	\$ 2,500	\$ -	\$ -	\$ -	\$ -	\$ 2,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-164	R-0806	30-Mar-1993	31-Aug-1991	BARBARA CAPPEL	WHITBY LANDMARK DEVELOPMENT INC.	31-Jan-2003	\$ 947,630	\$ -	\$ 52,370	\$ -	\$ -	\$ 1,000,000	\$ 302,370	\$ 24,229	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 326,599	

Open and Closed Claims Report
Borden Ladner Gervais LLP
As at: December 31, 2021

[illegible]

As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2002-109	02E0043	18-Jan-2002		Christine Zablocki	Trempe/John and Donna	12-Feb-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-111	02-0045	24-Jan-2002		Vincent R.K. Orchard	Margot Wilderdijk	31-May-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-112	02-0064	31-Jan-2002		Roger/Holly Howay/Pomier	B.C. Rail Ltd.	12-Dec-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-113	02-0060	31-Jan-2002		Ian A. Webb	Xenon Genetics Inc. (Michael Hayden)	31-May-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-118	02E0151	19-Feb-2002		Gordon Raman	Insured creditors of TCT Logistics Inc.	4-Mar-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-119	LBQ	6-Feb-2002		Rosaire Houde	Michel Arsenault	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-120	LBQ	6-Feb-2002		Johanne Thomas	HSBC Investment Funds	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-121	LBQ	6-Feb-2002		Jacques Darche	Maureen Walden	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-122	LBQ	6-Feb-2002		Richard Comptois	Avel-Tech Inc.	10-Mar-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-123	LBQ	6-Feb-2002		Francois Rioux	McAuley Resettlement Trust	30-Jun-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-135	02-0467	4-Mar-2002		Jean Blacklock	King/Estate of Joyce Kathleen Carlyle	31-Jul-2004	\$ -	\$ -	\$ 2,570	\$ -	\$ -	\$ 2,570	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-139	02E0281	20-Mar-2002	14-Jun-2001	Bruce Garrow	Lloyds of London & Altach Adjusters Ltd.	14-Jun-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-140	02E0274	19-Mar-2002	18-Jan-2002	John D. Holding, Q.C	Babcock & Brown Aircraft Mgmt et al	12-Aug-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-154	2E-0410	25-Apr-2002	1-Jun-2001	Aaron A. Blumenfeld	Administrator of the Estate of Jose Sarabando	31-Dec-2004	\$ -	\$ -	\$ 8,168	\$ -	\$ -	\$ 8,168	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-160	02-0326	15-Apr-2002		Gary Jacob Wilson	Christian Breukelman	31-Mar-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-164	02E0484	9-May-2002	31-Jul-1990	Marguerite P Mooney	Successor Corp. to Wandel & Goltermann Inc.	26-Sep-2003	\$ -	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-169	02-0368	17-Jan-2002	6-Apr-2000	Nancy/Derek Golding/Kurr	Dutchik/Gilmore/Sibbald/Villeneuve	31-Mar-2004	\$ -	\$ -	\$ 6,272	\$ -	\$ -	\$ 6,272	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-178	LBQ	23-May-2002		Marc Laperriere	9072-4121 Quebec Inc.	31-Mar-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-179	LBQ	30-May-2002		Sean Harrington	Sunoco Inc.	17-Jun-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-181	02-0707	6-Jun-2002		John Poetker	High Point Energy Corp.	29-Jan-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-190	02E0689	20-Jun-2002	18-Apr-2002	James Caylor	Linda Ward	31-Dec-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-191	02E0687	20-Jun-2002	12-Jul-2002	Timothy Buckley	Pyrene Corporation-United Sales & Marketing	8-Aug-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-200	duplic	25-Jun-2002	10-Aug-2001	Stephen Antle	Geib, Peter	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-200b	02E0705	24-Jun-2002	10-Aug-2001	Alfred L.J. Page	Geib, Peter	30-Apr-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-201	02E0702	25-Jun-2002	6-Apr-2000	James Mathers	Gordon Smith & Company - Agency	18-Mar-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-215	02-0419	27-Jun-2002		Max Weder	Pope & Talbot Ltd.	7-Sep-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-226	02-0417	27-Jun-2002		Kareen A. Zimmer	Oxford Properties Group Inc.	12-Dec-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-227	02-0778	28-Jun-2002		Maria Doerksen	Century Services Inc.	21-Oct-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-248	02-0418	28-Jun-2002		Barry D. Chase	Ridley Terminals	31-Dec-2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-252	02E0804	30-Jun-2002	6-May-2002	Garri Benjam Hendell	Otis Canada	26-Jul-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2002-257	02-0424	28-Jun-2002		Barbara E. Smith	Peter Geib	6-Mar-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-004	02-0474	18-Jul-2002		Gary Jacob Wilson	Viviane L. Nitting/Alex Mordo	31-Dec-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-006	02E0811	16-Jul-2002	1-Jun-1997	Trevor Whiffen	Estate of Donald J. Evans	20-Oct-2005	\$ -	\$ -	\$ 40,155	\$ -	\$ -	\$ 40,155	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-012	02E0879	1-Aug-2002	10-Jun-2002	Melany Franklin	Daco Manufacturing Ltd.	12-Aug-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-019	02E1008	27-Aug-2002	8-Sep-1999	Terrance A. Sweeney	Edwin Hyde	26-Sep-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-037	02E1219	15-Oct-2002	30-May-2002	Julie K. Hannafor	Ed Wenger	8-Nov-2002	\$ 590	\$ -	\$ -	\$ -	\$ -	\$ 590	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-040	02-0486	2-Jul-2002		Timothy Sehmer	445162 B.C. Limited	31-Mar-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-041	duplic	18-Jul-2002		Gary Wilson	Viviane Lolita Nitting	31-Dec-2002	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-044	02E1226	16-Oct-2002	11-Mar-2000	Benjamin Glustein	Purchasers of Condos at Kings Landing and Bayview	27-Feb-2004	\$ -	\$ -	\$ 272	\$ -	\$ -	\$ 272	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-061	03-0228	24-Oct-2002		John P. Petch	Phillip A. Peterson	20-Aug-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-073	02-0910	2-Dec-2002		Dirk H. Laudan	Insurance Corp. of British Columbia	31-Dec-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-074	02-0844	6-Dec-2002	17-Jul-2002	Nigel P. Cave	Boston Pizza Int'l	30-Jan-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-081	03-0343	12-Dec-2002	9-Dec-2002	M. Scott Wilson	Rider Resources Inc.	11-Mar-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-097	02-0934	31-Dec-2002		John Sampson	SUN LIFE ASSURANCE COMPANY OF CANADA	21-Jul-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-103	02-0934	31-Dec-2002		John L. Sampson	TBA	20-Feb-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-108	02-0831	5-Dec-2002		Dirk H. Laudan	Micromill Systems Inc.	30-Nov-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-112	03-0392	16-Jan-2003		William C. Guinan	Business Development Bank of Canada	30-Jun-2005	\$ -	\$ -	\$ 4,171	\$ -	\$ -	\$ 4,171	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-115	03E0054	24-Jan-2003	20-Jan-2003	Susan van der Hout	Technessen Ltd./Richter & Partners Inc.	31-Dec-2004	\$ -	\$ -	\$ 289	\$ -	\$ -	\$ 289	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-116	03-0287	15-Nov-2002		Michael R. Whitt	Redfern Enterprises Ltd.	30-Jun-2005	\$ 10,000	\$ -	\$ 7,470	\$ -	\$ -	\$ 17,470	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-123	02E1792	3-Mar-2003	7-Aug-2001	Christine Collard	Quality Steel Foundries Limited	17-Mar-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Open and Closed Claims Report
Borden Ladner Gervais LLP
As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2003-124	03-0519	3-Mar-2003		Ross D. Freeman	Attila Dogan Design & Construction Ltd.	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-125	03E0207	3-Mar-2003		Christine J. Collard	25924 Stichting Dienst Landbouwkundig Onderzoek	17-Mar-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-126	02E1798	3-Mar-2003		Christine Collard	Exxon Mobil Reserach & Engineering Company	17-Mar-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-127	03-0503	3-Mar-2003		Michael Marion	Dan Wood	25-Aug-2003	\$ -	\$ -	\$ 1,985	\$ -	\$ -	\$ 1,985	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-128	03-0352	7-Mar-2003		Fred W. T. Somerville	Jeffrey Brookman/James C. Crawford	31-Mar-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-132	03E0282	2-Mar-2003	1-Feb-2001	Noella Milne	New Abbey Investments Inc - 11 Axis St. Condo Proj	4-Apr-2006	\$ -	\$ -	\$ 35,405	\$ -	\$ -	\$ 35,405	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-135	03E0291	14-Mar-2003	28-Feb-2003	L. Anne Kinsman	Nortel Networks Limited	4-Feb-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-136	03E0274	19-Mar-2003	1-Nov-2002	Douglas O. Smith	City of Toronto	28-Apr-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-156	03-0495	19-Feb-2003		John L. Ircandia	PLM Consultants Ltd	31-Mar-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-160	03E0303	21-Mar-2003	25-Jul-2000	Douglas O. Smith	The Guarantee Company of North America	30-Sep-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-162	03E0431	23-Feb-2003		Brian C. Keith	Guarantee Company of North America	30-Sep-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-171	03E0538	13-May-2003	15-May-2001	Gabriela Ramo	Nischal N. Rajey	31-Mar-2005	\$ 3,000	\$ -	\$ -	\$ -	\$ -	\$ 3,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-178	03E0489	1-May-2003	25-Nov-1998	Lou Kozak	Richtree Inc. et al	11-Apr-2005	\$ -	\$ -	\$ 14,934	\$ -	\$ -	\$ 14,934	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-183	03E0618	3-Jun-2003	1-Jan-2003	James W. MacLellan	Kennedy Electric Limited	11-Jun-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-184	03E0616	2-Jun-2003	31-Aug-2001	Victoria Prince	Sam Roth	25-Jun-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-193	LBQ	9-Jun-2003		Francois Morin	Family of the Duke of Roxburghe	6-Feb-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-194	LBQ	2-Jun-2003		Johanne Thomas	Fonds Scotia	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-196	LBQ	4-Feb-2003		Pierre Cote	Revenue du Quebec	1-Jun-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-203	03E0708	20-Jun-2003	2-Apr-2003	John S. Koh	Maxtech Manufacturing Inc.	30-Jul-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-217	03-0436	30-Jun-2003		Robert D. Shaw	Canadian National Railway Company	6-May-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2003-223	duplica	21-Mar-2003		Noella Milne	New Abbey Investments Inc - 11 Axis St. Condo Proj	31-Mar-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-005	03-0519	31-Jul-2003		D. Ross McGowan	Chubb Insurance Company of Canada	31-Dec-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-006	04-0029	22-Jul-2003		Karen A. McHugh	Attorney General of Canada/Human Resources Dev.	24-Feb-2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-011	03E0916	12-Aug-2003	2-Jul-2003	W.Douglas R. Beamish	Mark Casse	25-Nov-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-021	03-0579	8-Sep-2003		Shannon M. Barber	Michael Brown and 587946 B.C. Ltd et al	30-Dec-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-022	LBQ	10-Sep-2003		Tass Grivakes	Mr/Mrs Thompson (client -RJR Macdonald)	30-Jun-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-024	03-0625	24-Sep-2003	18-Dec-2002	Stephen Antle	Michael Jason Evans	26-Oct-2005	\$ -	\$ -	\$ 100,000	\$ -	\$ -	\$ 100,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-028	03E1135	7-Oct-2003	11-Sep-2002	Jeffrey P. Mitchell	Anderson Tung	29-Dec-2003	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-030	03E1173	10-Oct-2003	8-Jun-2002	Jim/Lincoln Patterson/Ca	USA and US Federal Trade Commission	31-Dec-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-032	03E1185	20-Oct-2003	1-Aug-2003	W.D.T.(Bill) Carter	Dr. Nancy Olivieri	30-Jan-2004	\$ -	\$ -	\$ 3,237	\$ -	\$ -	\$ 3,237	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-062	03E1468	16-Dec-2003	30-Sep-2001	Chris Toal	Ernest Balmer/Hallmark Hotels/Monarch Mgt	10-Feb-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-063	03E1471	18-Dec-2003	1-Jan-2003	David Huctwith	Hubbell Canada Investments Inc.	8-Jan-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-079	03E1512	24-Dec-2003	7-Jun-2002	Denise L. Bambrough	Steve Zathy	31-Dec-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-080	03-0893	23-Dec-2003		Max Weder	Loong Enterprises/Mrs. Shu Mei Yang	28-May-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-081	03E1507	24-Dec-2003	1-Jul-1992	Daphne G. Jarvis	Carlo Otar	10-Mar-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-082	03-0879	24-Dec-2003	3-Apr-2003	Vincent R.K. Orchard	Shaun Hayes/Insurance Co of B. C. (ICBC)	30-Jul-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-083	03-0880	29-Dec-2003	20-Apr-2001	Oren Samuel	Kenneth Sander	22-Jul-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-085	03-1007	31-Dec-2003	3-Mar-2003	Mark V. Lewis	Firstar Investment & Financial Co.	30-Jun-2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-086	03-0910	29-Dec-2003	1-Mar-1998	Tim Sehmer	Marin Investments Limited	30-Mar-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-088	03-0898	29-Dec-2003		Diane Batts	561875 BC Ltd/Prime Projects	31-Mar-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-089	03-1005	31-Dec-2003		David C. Longcroft	AJB Investments Inc.	31-Mar-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-090	03-0999	31-Dec-2003		David C. Longcroft	Marin Developments Limited	23-Jun-2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-093	03-0652	1-Oct-2003		Shannon M. Barber	Nokia Mobile Phones	8-Sep-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-095	03-0707	3-Oct-2003		Shannon M. Barber	Juan Lopez	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-096	03-0708	17-Oct-2003		Shannon M. Barber	David Sturgeon	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-097	03-0709	17-Oct-2003		Shannon M. Barber	Philip Grosvenor	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-098	03-0717	3-Oct-2003		Shannon M. Barber	Oi Yi Yeung	31-Mar-2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-099	03-0721	5-Nov-2003		Shannon M. Barber	Russell Harrison	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2004-100	03-0722	5-Nov-2003		Shannon M. Barber	Keith Banner	30-Mar-2004	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Open and Closed Claims Report
Borden Ladner Gervais LLP
As at: December 31, 2021

[illegible]

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

Borden Ladner Gervais LLP

As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2005-174	05E0388	31-Mar-2005	27-Jan-2005	Kathleen Lemieux	Nanda Kumar, Reed Smith (Philadelphia)	31-Dec-2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2005-175	Y1359	16-Nov-2000		Tom W. Ouchterlony	Martin High Estate (deceased)	28-Feb-2007	\$ 275,000	\$ -	\$ 46,423	\$ -	\$ -	\$ 321,423	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2005-186	05E-0519	9-May-2005	28-Apr-2005	William A. McClelland	Martin Wunder (lawyer)	31-Dec-2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2005-189	TBA	18-May-2005		Lou Kozak	Rosko Investment & Development Limited	23-Sep-2005	\$ -	\$ -	\$ 2,173	\$ -	\$ -	\$ 2,173	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2005-199	05-0430	30-Jun-2005		Timothy Sehmer	CMN International Inc.	31-Jul-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2005-200	05-0426	30-Jun-2005		Timothy Sehmer	David Bassendale	31-Jan-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2005-201	05-0424	30-Jun-2005		Deborah H. Overholt	International Forest Product (Ocean and Sky Proper	6-Mar-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2005-202	05-0423	30-Jun-2005		David C. Longcroft	DLJ Capital Partners (Bank of Nova Scotia)	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2005-209	05-0211	18-Apr-2005		David C. Longcroft	Marjorie MacIver	31-Dec-2005	\$ 4,877	\$ -	\$ -	\$ -	\$ -	\$ 4,877	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2005-214	04E0037	6-Jun-2005		Winnie Tse	R.M. Maromi Investments Limited	26-Apr-2006	\$ -	\$ -	\$ 33,955	\$ -	\$ -	\$ 33,955	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2005-217	05E0690	14-Jun-2005	1-Sep-2004	Bruce Garrow	Emirates Airlines	18-Aug-2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-002	No CST	11-Jul-2005	5-Nov-1993	Paul Knudse	Shareholders of 690885 Ontario inc.	4-Jan-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-021	05-0514	5-Aug-2005		Robert C. Piasentin	3925200 Canada Inc.	31-Mar-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-025	LBQ	28-Sep-2005		Marilyn Piccini-Roy	J. Ritchie Bell	30-Sep-2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-042	06-0244	7-Nov-2005		Michael Perkins	Browning Crocker Inc. vs. Vlahovic et al	30-Sep-2009	\$ -	\$ -	\$ 3,013	\$ -	\$ -	\$ 3,013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-045	05-0679	28-Oct-2005		Max Weder	Praveen Vohora	31-Jul-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-047	05-0786	2-Dec-2005		Bill Sirett	TGS Financing LLC and TGS (U.S.) Realty Inc	31-Aug-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-047b	No File	2-Dec-2005		Dan Kolibar	TGS Financing	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-049	05E1605	22-Dec-2005	2-Aug-2005	Murray Shopiro	Bank of America	31-Mar-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-051	05-0851	28-Dec-2005		Deborah H. Overholt	Donald Cooper et al	31-May-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-053	05E1537	13-Dec-2005	15-Oct-2005	Gordon Zimmerman	Multimatic Inc.	24-Mar-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-053b	05E1538	14-Dec-2005	20-Oct-2005	Gordon Zimmerman	Thinking Technology Inc.	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-054	No CST	12-Dec-2005	27-Sep-2005	Susan Beaubien	Con Lor Spa	22-Oct-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-055	05E1499	6-Dec-2005		Kate Wilson	Kitchener-Waterloo Community Care et al	28-Dec-2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-077	05E-1528	6-Jan-2006		Kevin P. Nearing	P.H. Tech	12-May-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-078	05E1657	6-Jan-2006	8-Dec-2005	Kevin P. Nearing	Dorsey & Whitney	25-Jul-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-079	05E1608	6-Jan-2006	1-Aug-1998	Anne Kinsman	Select Molecular Technologies Corp	10-Jan-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-082	LBQ	18-Jan-2006		Andre Barette	Succession Marcelle LeSage-Pelletier	13-Mar-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-095	06E0128	23-Feb-2006		John G. Aylen	Lewis Bordoff	25-Jul-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-101	06E0191	24-Feb-2006	1-Jun-1995	Marc Andrew Babinski	Derek Blackburn/Crystal Clear	22-Dec-2009	\$ -	\$ -	\$ 83,329	\$ -	\$ -	\$ 83,329	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-103	06E0201	1-Mar-2006	10-Aug-2005	Stephen Longo	Kruger Inc.	15-Mar-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-105	06E0182	26-Feb-2006	5-May-2005	Christine Collard	Theodore L. Brann	14-Nov-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-124	06-0262	13-Apr-2006		Martin D. Donner	Advanced Book Exchange (Abebooks Inc).	30-May-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-132	06E0466	25-Apr-2006	12-Dec-2005	William R. MacLean	EPCOR	25-Jul-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-139	06-0275	24-Apr-2006		Mary Jo Campbell	Finning International Inc.	29-Dec-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-149	06-0380	24-May-2006		Nigel Cave	Bridges Transitions	30-Jul-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-161	06E0638	1-Jun-2006	4-Oct-2005	Gordon J. Zimmerman	Simon James Neville	18-Jul-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2006-178	06E0759	27-Jun-2006	15-Jun-2006	Jennifer Fantini	Maystar General Contractors Inc.	27-Aug-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-001	06-0546	13-Jul-2006		Magus C. Verbrugge	Century Services Inc and Claivest Group	1-Apr-2014	\$ -	\$ -	\$ 1,000,000	\$ -	\$ -	\$ 1,000,000	\$ 3,804,000	\$ 1,106,541	\$ 3,536	\$ 33,283	\$ -	\$ -	\$ -	\$ -	\$ 4,880,794	\$ -
CLLAS2007-005	06-0564	15-Aug-2006	27-Jul-2006	Bradley J. Freedman	kevin_98020@yahoo.com	6-Mar-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-006	06-0380-5	20-Jul-2006		Christine Duchaine	Annette Aquilino	14-Mar-2007											\$ -				\$ -	
CLLAS2007-010	TBA	18-Jul-2006		Peter Pamel	Global Marine Systems Ltd.	11-Dec-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-023	06E1127	12-Sep-2006	13-Nov-2003	Lou Kozak	Ukrainian Catholic Episcopal Corp of Eastern CDN	22-Sep-2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-034	06-0544	29-Jul-2006		Otto H.K. Nowak	Cybele Family Trust	16-Dec-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-038	07-0226	31-Oct-2006		John D. Blair	Arnold Faber	24-Jul-2007	\$ -	\$ -	\$ 8,000	\$ -	\$ -	\$ 8,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-043	07-0250	25-Oct-2006	31-May-2006	Nicole Woodward	Masood Taremi	8-Jun-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-045	06E1512	15-Nov-2006	25-Aug-2006	Curtis Beham	Gerald Van Decker	24-Nov-2006												\$ -				
CLLAS2007-050	06E1621	6-Dec-2006	1-Jan-2006	Barry H. Bresner	Minority Shareholders of Asbestos Corporation	29-Mar-2007	\$ -	\$ -	\$ 1,633	\$ -	\$ -	\$ 1,633	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-052	06-0690	3-Oct-2006		Max Weder	Infinite Enterprises Ltd. et al	30-Jan-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-054	TBA	4-Dec-2006		Mark Phillips	Century Mining Corporation	28-Dec-2006											\$ -					
CLLAS2007-061	TBA	20-Dec-2006		Daniel/Timothy/Robert Dochylo/Bates/Bell	Pol-Can Bank Trust Beneficiaries	30-Jan-2007											\$ -					

Open and Closed Claims Report
Borden Ladner Gervais LLP
As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2007-066	06-0939	27-Dec-2006		Ian Webb	ID Biomedical Corporation	31-Mar-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-067	06-0930	26-Dec-2006		David Miachika	School District 71 (Comox Valley)	3-Feb-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-082	LBQ	25-Jan-2007		Danielle Ferron	Groupe Jean Coutu	30-Sep-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-084	07E0105	5-Feb-2007	29-Jun-2005	Paul Findlay	Sentry Select Capital Corp	24-Jun-2009	\$ -	\$ -	\$ 5,196	\$ -	\$ -	\$ 5,196	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-086	07-0452	6-Feb-2007	4-Jan-2007	Michael Rempel	Reserverlogix Corp.	30-Aug-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-089	06E1847	23-Feb-2007	30-Jul-2006	Gordon Zimmerman	Multimatic Inc. - "Automatic Door Hinge"	21-Mar-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-092	07E0241	6-Mar-2007	15-Jan-2002	George Cihra	BCCI SA	26-Jun-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-095	07-0164	15-Mar-2007		Vincent R. Orchard	Jann Winters	29-May-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-105	07E0407	5-Apr-2007	15-Jan-2007	Ira Nishisato	Canada Bearings Ltd.	16-Apr-2007													\$ -			\$ -
CLLAS2007-112	LBQ	19-Apr-2007		Paul R. Marchand	Diana Mary Bell and David Peter Hodgson	27-Aug-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-114	LBQ	20-Apr-2007		Valerie Dufour	SNC-Lavalin Profac Inc.	4-May-2007													\$ -			\$ -
CLLAS2007-115	07E0531	4-May-2007	21-Dec-2006	Oliver B. Guillaume	Oxshott Enterprises Inc	22-Aug-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-116	TBA	30-Apr-2007		Andre Barrette	G. Hodgson, M. Adams, R. Craig, and P. Marchand	9-May-2007													\$ -			\$ -
CLLAS2007-117	07-0641	1-May-2007		Michael G. Massicote	Douglas Misfeldt	30-Aug-2007	\$ -	\$ -	\$ 1,270	\$ -	\$ -	\$ 1,270	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-118	07E0590	15-May-2007	2-Oct-2006	Doug Worndl	Shannon Rewinds Canada Inc.	23-May-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-120	07E0403	10-Apr-2007	31-Jan-2007	Fay K. Brunning	Canadian Council of the Blind	29-Jul-2010	\$ -	\$ -	\$ 27,432	\$ -	\$ -	\$ 27,432	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-124	LBQ	28-May-2007		Jacques S. Darche	Angelopoulos Kiriaziz s.e.n.c. and Basile Angelop	15-Jun-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-131	07E0734	12-Jun-2007	12-Jul-2006	Kristin Taylor	Cheryl McCutcheon	31-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-134	07E0620	23-May-2007	18-Dec-2003	Craig Armstrong	Research In Motion Limited	30-Jun-2009	\$ -	\$ -	\$ 4,075	\$ -	\$ -	\$ 4,075	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-137	07E0771	21-Jun-2007	30-Jun-2001	Morton G. Gross	1210558 Ontario Inc.	24-Aug-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-160	07-0434	28-Jun-2007		Amy J. Davison	Penlea Investments Ltd.	30-Jun-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-161	07-0435	29-Jun-2007		Jo-Anne B. Chia	6581862 Canada Inc.	30-Nov-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-168	07E0664	31-May-2007	1-Jan-2007	Jeffery M. Measures	WH Energy	15-Nov-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2007-169	TBA	30-Jun-2007		Jacques S. Darche	Rosa Cordi	24-Jul-2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-001	07-0455	4-Jul-2007		Warren Learmonth	FinancialCAD Corporation	30-Sep-2019	\$ 904,025	\$ -	\$ 95,975	\$ -	\$ -	\$ 699	\$ 2,165,617	\$ 19,401	\$ -	\$ 2,217,149	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 32,130
CLLAS2008-020	LSBC	30-Aug-2007		Benjamin Trister	Canadian Society of Immigrations Practitioners et	9-May-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-027	08-0155	19-Sep-2007		Ruth Spetz	4207688 Canada Inc. (Legendyk Holdings Ltd.)	29-Apr-2008	\$ -	\$ -	\$ 213	\$ -	\$ -	\$ 213	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-027b	08-0156	24-Sep-2007		James Williams	4207688 Canada Inc	29-Apr-2008	\$ -	\$ -	\$ 213	\$ -	\$ -	\$ 213	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-029	07E-1386	11-Oct-2007	31-Dec-2006	J. Pitman Patterson	Summit Golf and Country Club	8-Jul-2009	\$ -	\$ -	\$ 3,210	\$ -	\$ -	\$ 3,210	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-030	No CST	11-Oct-2007		Sean Weir	Anthony Mascia	10-Aug-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-034	07E1560	30-Oct-2007		Danny Dochylo	Sharon Hollows Estate	28-Jan-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-036	No CST	31-Oct-2007		Mary M. Fox	Canadian Pharmacists Assoc. Levroy Fevang	10-Aug-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-037	08-0238	29-Oct-2007		Stephen C. Lee	Northwest Territories Power Corp	2-Jan-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-038	07-0763	1-Nov-2007		Douglas G. Copland	Go2 Tourism HR Society	30-Apr-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-049	07-0804	27-Nov-2007	1-Oct-2007	Robert B. Dawkins	Voltech International Inc.	30-Apr-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-055	No CST	3-Dec-2007		Frank Newbould	Celluland Canada Inc.	10-Aug-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-056	08-0301	4-Dec-2007	15-Nov-2007	Michael Whitt	DynaGen Technologies Inc.	30-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-062	LBQ	6-Dec-2007		Alexander DeZordo	Walter Ball	11-Mar-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-064	No CST	12-Dec-2007		Brent Mescall	Numbered Company/Dr. Lorenzo Diana	15-Oct-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-068	07-0902	20-Dec-2007		Richard L. Williams	MTU Maintenance Canada Ltd.	22-Jul-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-076	07-0921	20-Dec-2007		Graham Walker	BCR Properties Ltd.	30-Apr-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-080	07-0922	28-Dec-2007		Debra L. Sing	Insurance Corporation of British Columbia (ICBC)	25-Feb-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-082	07-0923	28-Dec-2007		Melanie Bradley	Dwane Brosseau President and CFO Orient Venture	30-Sep-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-099	08-0077	29-Jan-2008		David C. Longcroft	Pope & Talbot Limited (507566)	28-May-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-106	No CST	21-Feb-2008		John Paxton	H. Sugawara & Company	24-Jun-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-120	08-0537	27-Mar-2008	14-Mar-2008	Sharon E. Borgland	Navroz (Rosie) Karim/Tansah Holdings Ltd.	29-Apr-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-129	08E0526	28-Apr-2008		Barbara J. Walker-Rensh	Galina Milova	1-May-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-143	08-0351	27-May-2008		Josephine M. Nadel	Elizabeth L. Diamond (Rose Franks Trust)	30-Dec-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Open and Closed Claims Report
Borden Ladner Gervais LLP
As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2008-144	08-0358	27-May-2008		Felicia Chen	Windmill West Properties LLP et al	29-Feb-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-150	08-0675	5-Jun-2008		M. Scott Wilson	High Plains	23-Dec-2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-183	08-0446	30-Jun-2008		Graham B. Walker	Island-Sea Marine Ltd./Ken Higgs	31-May-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-184	08-0439	30-Jun-2008		Stephen Antle	American Bullion Minerals Ltd.	30-Nov-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2008-192	08-0292	28-Nov-2007		Bruce A. Lawrence	Estate of Gerald David Hunt	30-Jun-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-009	08E1058	7-Aug-2008	20-Oct-1999	Victoria Prince	Anand Bahl	31-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-026	08E1389	7-Oct-2008	12-Sep-2009	MICHAEL J. MacNAUGHTON	HSBC Bank re: Jager Blding Systems and Temlam Inc.	30-Jun-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-029	09-0207	8-Oct-2008	2-May-2008	Patrick McCarthy	HSBC Bank Canada	16-Oct-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-046	08E1550	3-Nov-2008	30-Jun-2006	Adam Chamberlain	Kalanda	2-Nov-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-067	08E1805	10-Dec-2008	4-Jun-2007	Michael McKelvey	Lynne Golbourn (non-client)	27-Nov-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-068	08E1724	25-Nov-2008		Gordon Zimmerman	Multimatic Inc.-'Structural I-Beam Auto Susp. Arm'	30-Jun-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-069	08-0871	15-Dec-2008		Mark Lewis	Canada Line Construction Company	30-Dec-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-079	08-0917	29-Dec-2008		Richard J. Bennett	CSI Holdings Ltd.	30-Nov-2010	\$ -	\$ -	\$ 5,891	\$ -	\$ -	\$ 5,891	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-088	08E1983	8-Jan-2009		M. Jeffrey Dermer	Holdemar Limited	30-Jun-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-090	No CST	7-Jan-2009		Lisa De Piante	Anthony Coote	17-Aug-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-091	08-0931	23-Dec-2008		Matin David Donner	Bruce D. Wendel	31-Mar-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-103	09-0117	19-Feb-2009		D. Ross McGowan	Harold Cecil Gaffney	30-Jul-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-104	09E0212	24-Feb-2009	5-May-2008	Markus F. Kremer	Ted Workman/Whittacat Consulting et al	29-Oct-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-105	09-0486	12-Feb-2009	2-Jan-2009	Jennifer Lamb	George MacIvor and Grizzcon Inc.	15-Dec-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-106	09-0537	5-Mar-2009		Josef G.A. Kruger	979899 Alberta Ltd.	29-May-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-119	09-0573	9-Mar-2009		M. Neil McCrank	Jessica Ernst	23-Jun-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-127	NFO	14-Apr-2009		Warran T./Sean A. Wilson/Muggah	Gilles Lagarde	16-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-128	09-0168	10-Mar-2009		Leon Beukman	Janet Woolard	29-Jul-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-155	09-0856	17-Jun-2009	13-Jul-2007	Frank Cahill	Larry and Jacqueline Martini	31-Mar-2016	\$ 24,968	\$ -	\$ 11,966	\$ -	\$ -	\$ 36,934	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-156	09-0443	12-Jun-2009		Josephine Nadel	Cattermole Timber Partnership	17-Dec-2012	\$ 71,794	\$ -	\$ -	\$ -	\$ -	\$ 55,423	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-158	09-0475	19-Jun-2009		Gary J. Wilson	Robert D.C. Malcolm	30-Apr-2012	\$ -	\$ -	\$ 23,631	\$ -	\$ -	\$ 23,631	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-163	09-0494	29-Jun-2009		Mark V. Lewis	Trez Capital Corp.	31-May-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-164	09-0492	29-Jun-2009		Ian A. Webb	Sun Gro Horticulture Income Fund	22-Jul-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-165	09-0485	29-Jun-2009		Hans Nowak	Soleil Trust/Dovepool Investments Ltd.	13-Dec-2013	\$ -	\$ -	\$ 3,325	\$ -	\$ -	\$ 3,325	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-190	09-0501	30-Jun-2009		Ronnie Louis Bozzer	Manna Humanitarian Foundation	31-May-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-192	09-0256	9-Apr-2009		Warren Taylor Wilson	Gilles Lagarde	31-Oct-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2009-200	09E1011	21-Jul-2009	6-Jun-2007	Rick Coburn	TODA Advanced Materials Inc.	31-Jul-2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-002	09-0580	20-Jul-2009		Stephen Antle	Turner Distribution Systems Ltd.	31-Jul-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-004	09-0584	24-Jul-2009		Chul-Hee (Jay) Shin	Jason Hyunwoo Hong (Argo Mezzanine Financing No 5	30-Apr-2014	\$ 145,000	\$ -	\$ 246,397	\$ -	\$ -	\$ 391,397	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-005	09-0572	23-Jul-2009		Max Weder	Best Buy	30-Sep-2009	\$ 25,190	\$ -	\$ -	\$ -	\$ -	\$ 25,190	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-008	10-0058	29-Jul-2009	14-May-2009	Terry Lidster	Terrapin Mortgage Investment Corporation	20-Sep-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-027	LBQ	11-Aug-2009		Suzanne Courchesne	Lloyd's of London (Cargair)	30-Jun-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-034	LBQ	5-Oct-2009		Sam Coppola	NOVA PB Inc.	28-Nov-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-038	10-0223	8-Oct-2009		Allan D. Nielson	Unknown (Milo Brost client)	26-Jun-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-049	No CST	27-Oct-2009	24-Mar-1999	Barry Corbin	Francis Wigle, Paul Milne and Simpson Wigle Law Fi	13-Jul-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-051	09-0851	5-Nov-2009		Doug G. Copland	C-Free Power Corp	30-Apr-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-055	09-0865	12-Nov-2009		David C. Longcroft	Aurora Holdings Ltd. and International Horizon Lan	31-May-2010	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-064	09E1799	4-Dec-2009	8-Mar-2007	Christopher D. Bredt	Pierre Paul Blake et al.	19-Apr-2011	\$ -	\$ -	\$ 173	\$ -	\$ -	\$ 173	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-089	09E2024	8-Jan-2010	1-Oct-1994	Dolores Di Felice	Carmela Battista	30-Sep-2012	\$ -	\$ -	\$ 33,072	\$ -	\$ -	\$ 33,072	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-126	10-0606	22-Mar-2010		Frank R. Foran	Daniel W. Hill	19-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-135	10-0634	25-Mar-2010	26-Mar-2008	Ryan Kipp	Servus Credit Union	16-Apr-2014	\$ -	\$ -	\$ 6,991	\$ -	\$ -	\$ 6,991	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-137	10-0648	6-Apr-2010		Brad Pierce	Quest Energy Services (Canada) Limited	31-Dec-2011	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2010-140	10-0135	23-Feb-2010		Carolyn Katherine MacDonald	Andre Bidaud	29-Apr-2011	\$ -	\$ -	\$ 7,963	\$ -	\$ -	\$ 7,963	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Open and Closed Claims Report
Borden Ladner Gervais LLP
As at: December 31, 2021

[illegible]

As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2012-108	LBQ	23-Feb-2012		Joseph Takhmizdjian,Charles Marquette	AVRO Risk Management Services Inc.	29-Jan-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-110	12E0310	2-Mar-2012	31-Jan-2012	Robert S. Russell	Melvyn C. Himel	15-Mar-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-119	12-0552	15-Mar-2012	17-Sep-2011	Jennifer R. Lamb	Canada Post Corporation	29-Jun-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-128	12E0327	16-Mar-2012	26-May-2009	William A. (Retired) Johnson	Cassels, Brock & Blackwell LLP et al	27-Aug-2018	\$ -	\$ -	\$ 1,664	\$ -	\$ -	\$ -	\$ 1,664	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-135	12-0231	23-Mar-2012		Peter A. Wong	Golden Properties Ltd.	30-Jun-2015	\$ 134,749	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 134,749	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-146	12E0602	26-Apr-2012	11-Oct-2011	Victoria Prince	Sun Pac Foods	11-Jul-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-158	12E0811	1-Jun-2012	26-Apr-2012	Douglas O. Smith	Bell Canada	13-Aug-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-165	12-0456	19-Jun-2012		Donald Ross McGowan	Coast Capital Savings Credit Union	30-Sep-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-003	No CST	18-Jul-2012	30-Jun-2008	Catherine Moreau	Abe Beebejian	12-Jun-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-006	12E2583 / 12E1179	7-Aug-2012	26-Apr-2006	John D. Marshall,Robert Love	Nadir Massih,Kemal Moniem		\$ -	\$ -	\$ 21,081	\$ 300,000	\$ 200,000	\$ 521,081	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-026	12-0601	15-Aug-2012		Noel Z. Golden	Nancy Leung	30-Jun-2016	\$ 112,351	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 112,351	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-028	12E1564	11-Oct-2012	1-Apr-2010	Graham King	Kemacast Ltd., Kenneth Benson	31-Dec-2013	\$ -	\$ -	\$ 933	\$ -	\$ -	\$ -	\$ 933	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-032	12E1599	18-Oct-2012	14-Oct-2008	Stephen F. Waqué	Robert Barlow	22-Oct-2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-044	12E1880	19-Nov-2012	13-Jul-2012	Daniel A. Boan	Monir Precision Monitoring Inc.	31-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-062	12-0970	18-Dec-2012		Douglas G. Copland	Josh Goldstein , Intrawest ULC et al	27-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-062b	12E2262	31-Dec-2012	12-Dec-2012	Bruce Garrow	Josh Goldstein , Intrawest ULC et al	1-Apr-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-073	13E0207	12-Feb-2013	30-Jan-2013	James C. Sidlofsky	E.L.K. Energy	31-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-080	13E0169	13-Feb-2013	26-Jan-2013	Heather K. Pessione	Algonquin Power	20-Mar-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-081	13E0168	13-Feb-2013	26-Jan-2013	Heather Pessione	Northland Power	30-Mar-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-083	13E0198	21-Feb-2013	31-May-2013	Stephanie Campanaro	Paul Cantley	10-Jun-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-088	13-0393	26-Feb-2013	26-Oct-2012	Robert C. Stemp	Servus Credit Union Ltd.	11-Jul-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-095	No CST	4-Jan-2013	17-Oct-2007	Douglas C. Jack	Alan Norris	13-Mar-2015	\$ -	\$ -	\$ 2,847	\$ -	\$ -	\$ -	\$ 2,847	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-100	13-0224	26-Mar-2013		Vincent R.K. / S. Luke Orchard / Dineley	Insurance Corp. of BC / Moise & Florica Cordos	30-Apr-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-101	13-0222	22-Mar-2013		Timothy R. Sehmer	Genex Developments Ltd.	27-May-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-105	13-0180	4-Mar-2013		Peter A. Wong	Gail Thompson	30-Apr-2015	\$ -	\$ -	\$ 2,354	\$ -	\$ -	\$ -	\$ 2,354	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-111	13-0324	2-May-2013		Angus M. Gunn	Robert Harrison	31-Jan-2018	\$ -	\$ -	\$ 38,712	\$ -	\$ -	\$ -	\$ 38,712	\$ -	\$ 203,270	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 203,270
CLLAS2013-115	13E0671	9-May-2013	4-Jan-2013	Robert Love	ACE INA Insurance	11-Feb-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-117	10E2151	16-May-2013	1-Sep-2007	Aaron Blumenfeld	Alberino Tomasone et al	21-Aug-2014	\$ -	\$ -	\$ 50,047	\$ -	\$ -	\$ -	\$ 50,047	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-118	LBQ	15-May-2013		Patrice Morin	Boone Plbg and Htg Supply Inc.		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-141	13E1132	25-Jun-2013	11-Jan-2013	Lynn Marie McGrade	Sprott Asset Management LP and related entities	17-Nov-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-144	13E0934	13-Jun-2013	1-Dec-2008	Gordon Zimmerman	Stephen Barber	20-Jul-2018	\$ -	\$ -	\$ 22,034	\$ -	\$ -	\$ -	\$ 22,034	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-147	13E0994	19-Jun-2013	21-Sep-2000	Aaron Blumenfeld	Jay Chiang	31-Dec-2016	\$ 400,000	\$ -	\$ 278,164	\$ -	\$ -	\$ -	\$ 678,164	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-149	13-0482	3-Jul-2013		Gerry Ghikas	Dr. Jennifer Mickelson	29-Oct-2018	\$ -	\$ -	\$ 325,157	\$ -	\$ -	\$ -	\$ 230,157	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-151	13-0376	10-May-2013		Noel Z. Golden	Skeena River Trust	30-Apr-2019	\$ -	\$ -	\$ 38,197	\$ -	\$ -	\$ -	\$ 38,197	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-155	13-0503	5-Jul-2013		Christopher J. O'Connor	Peter Schober	30-Jan-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-007	LSUC	8-Aug-2013	1-Dec-2009	Larissa Tkachenko	John Brown Family Trust, Its Settlor and Beneficia	3-Dec-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-020	No CST	22-Jul-2013	6-Dec-2002	RICHARD LEE WOODS	Canestal AG	1-Nov-2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-022	11E2417	19-Jul-2013	29-Nov-2005	Salvatore Mirandola	Fraser Ford Sales/Robert Fraser	5-Feb-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-025	13-0606	15-Aug-2013		Gordon Robert Johnson	Panah Davood	27-Jul-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-033	13-0793	29-Oct-2013		Tamara Wong	Dr. Stephen A. Blythe	29-May-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-040	13-0725	3-Oct-2013		Mark/Eric Chartrand/Doherty	WCSB Oil and Gas Royalty Income 2010 Ltd. Part.	26-Jun-2014	\$ 43,279	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 43,279	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-047	13E1891	22-Nov-2013	8-Jan-2009	Paul Mingay	Manheim, Inc	11-Aug-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-049	13E2000	29-Nov-2013	30-Mar-2007	Gordon Zimmerman	Synovis Life Technologies	31-Jul-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-054	13E2100	9-Dec-2013	1-Sep-2011	Evelyn Goldfarb	MILO-FAIS	11-Jun-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-071	13-0750	9-Oct-2013		Gordon Robert Johnson	Turner Distribution Systems Ltd.	2-Jun-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-078	LBQ	6-Dec-2013		Pierre Cote	BMO Financial Group	21-Aug-2014	\$ 635,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 635,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-081	14-0080	4-Feb-2014	31-Jan-2014	Dirk Laudan	Jacob Bros. Construction	27-Feb-2015	\$ -	\$ -	\$ 82	\$ -	\$ -	\$ -	\$ 82	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-083	14E0181	4-Feb-2014	1-Sep-2009	Damian Hornich	City of Toronto	8-Jun-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-089	14-0172	5-Mar-2014		Blair Rebane	Budget Brake & Muffler Franchising Ltd.	22-Jun-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2014-090	14-0024	13-Jan-2014		Mark Lewis	Monaliza Bedi	30-Sep-2016	\$ -	\$ -	\$ 70,299	\$ -	\$ -	\$ 70,299	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-091	14-0180	5-Mar-2014	1-Jun-2012	Mark Lewis	City of Vernon (Predator Ridge Ltd Partnership)	30-Apr-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-095	14E0349	13-Mar-2014	1-Apr-2013	Richard Applebaum	Farideh G. A. Tabrizi	29-May-2015	\$ -	\$ -	\$ 21,478	\$ -	\$ -	\$ 21,478	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-113	14E0659	24-Apr-2014	6-Oct-2013	Michael C. Smith	Hapag-Lloyd Canada	25-May-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-115	14E0648	1-May-2014	2-Nov-2012	Bernadette Corpuz	Algonquin Power	14-Apr-2015	\$ -	\$ -	\$ 3,550	\$ -	\$ -	\$ 3,550	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-116	14-0288	17-Apr-2014		David/Martha Crear/Martindale	Xcalibur Bowling and Entertainment et al	30-Nov-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-118	14-0566	1-May-2014		Melanie McDonald	Sandra J. Burkett	29-Dec-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-119	No CST	5-May-2014	3-Nov-2011	Susan Sorenson	Manheim, Inc.	25-Sep-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-132	14-0411	30-May-2014		Vincent R. K. Orchard	Richard & Jordan Wilkins	29-Aug-2014	\$ -	\$ -	\$ 5,027	\$ -	\$ -	\$ 5,027	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-140	14E0811	5-Jun-2014	12-Aug-2013	Brennan Carroll	Metrolinx	10-Nov-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-141	14E0578	3-Apr-2014	1-May-2012	Manoj Pundit	Harvinder Bhoi - ADL Oilfields	3-Jul-2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-153	14-0275	11-Apr-2014		Stephen Warnett	Whitcap Resorts Ltd.	29-Dec-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-156	14-0209	7-Nov-2013		Patrick Heinsen	Jatinder Dhillon	6-Dec-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-002	14-0522	10-Jul-2014		Alakananda Chatterjee	The University of British Columbia	31-Jul-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-005	14-0541	18-Jul-2014		Peter Donald (retired) MacDonald	Ethel Mary Racz	30-Sep-2015	\$ -	\$ -	\$ 13,346	\$ -	\$ -	\$ 13,346	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-010	LBQ	25-Jul-2014	30-Apr-2014	Gabriel Lefebvre	Domra Construction		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-014	14-0615	5-Aug-2014	5-Apr-2012	Callum Kelly	Robin Bachman	28-Oct-2021	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-020	14E1433	11-Sep-2014	21-Oct-2013	Darren G. Lund	Estate of Alicia Celis-Alzamora	6-Jan-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-021	14-0467	10-Sep-2014	1-Jun-2007	JOHN SULLIVAN	Jaques Znaty	29-Jan-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-023	14E1472	25-Sep-2014	3-Sep-2014	Daniel Girlando	Alain Pacquette	27-Apr-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-030	14E1710	23-Oct-2014	14-May-2009	Stephen J. Fyfe	Sentry Select Capital Corp.	19-Sep-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-038	14E1887	19-Nov-2014	28-Feb-2010	John J. Morris	University Health Network	20-Jun-2016	\$ -	\$ -	\$ 41,807	\$ -	\$ -	\$ 41,807	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-039	14E1882	19-Nov-2014	1-Aug-2013	Paul Sharp	City of Toronto	28-Apr-2015	\$ -	\$ -	\$ 416	\$ -	\$ -	\$ 416	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-064	14-1034	31-Dec-2014		William Flowers Sirett	Taiga Building Products Ltd.		\$ -	\$ -	\$ -	\$ 500,000	\$ 500,000	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-070	15-0330	13-Jan-2015	10-Dec-2010	John Kevin Scott	Island City Investments et al	4-Aug-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-072	15E0037	20-Jan-2015	1-Jan-2015	John J. Morris	Abdul Razaq Raji / Sherley Leandre	18-Aug-2015	\$ -	\$ -	\$ 2,700	\$ -	\$ -	\$ 2,700	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-079	15E0151	12-Feb-2015	31-Jan-2015	David / Kristen Di Paolo / Riess	Investia Financial Services Inc. et al	10-Jun-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-089	14E1081	16-Jul-2014	11-Jan-2011	Robert Love	TRAVELERS CANADA	27-May-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-090	15E0091	2-Feb-2015	19-Jan-2015	Morton Mitchnick	Parrish & Heimbecker, Limited	28-Oct-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-098	P&T	30-Mar-2015	6-Mar-2015	David Nauman	Imperial Innovations	27-Jun-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 15,019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 15,019	\$ -
CLLAS2015-107	15-0479	20-Apr-2015	22-Jan-2015	Robyn Gurofsky	Western Sureky Company	22-Dec-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-111	15-0216	29-Apr-2015		Ed Kroft	Kalawsky Group	30-Apr-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-118	LBQ	13-May-2015	15-Dec-2009	Vincent Frenette	JTI MacDonald Corp.		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-128	15E0963	19-Jun-2015	13-Apr-2015	Damian Hornich	City of Toronto	22-Feb-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-129	No CST	19-Jun-2015	5-Jun-2015	John Marshall	Aaron & Rachel Dadouch, Zachary & Jeremy White	28-Mar-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-130	15-0617	15-May-2015	15-Nov-2014	John Ircandia	HSBC	30-Jun-2016	\$ 30,000	\$ -	\$ -	\$ -	\$ -	\$ 30,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2015-142	15-0425	27-May-2015		Max Weder	Teresa McKinley	30-Sep-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-004	16-0021	15-Jul-2015	27-Jun-2013	Lenard Sali	Sandy Rizopoulos,Michael Hokanson	18-Oct-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-015	16-0084	24-Aug-2015	17-Jul-2015	Locklyn Price	All Season Weeping Tile Ltd.	25-Jan-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-023	16-0111	9-Sep-2015		David Madsen	CareVest Capital Inc.		\$ -	\$ -	\$ 47,204	\$ 850,000	\$ 102,796	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,650,000	\$ -	\$ -	\$ 2,650,000	\$ -
CLLAS2016-024	No CST / 15E1416	14-Sep-2015	27-Aug-2015	Isabelle Massimi	Heli-Transport Inc	29-Jan-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-025	15-0792	24-Sep-2015		Steve M. Winder	Houshang Rassaf	16-May-2018	\$ -	\$ -	\$ 6,844	\$ -	\$ -	\$ 6,844	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-027	15-0556	2-Jul-2015		Stephen Peter Robertson	ILJIN Life Sciences	28-Feb-2017	\$ 30,000	\$ -	\$ -	\$ -	\$ -	\$ 30,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-042B	No CST	17-Nov-2015	12-Dec-1990	Kelly Morris	R.J. McCarthy Limited,Martin McCarthy		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-048	15E1729	29-Oct-2015	16-May-1994	George (retired) Cihra	Slovak Greek Catholic Church Foundation	8-Jul-2019	\$ -	\$ -	\$ 17,033	\$ -	\$ -	\$ 17,033	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-049	15E1847	12-Nov-2015	15-Dec-2015	Steven Iczkovitz	Desjardins Financial Security Life Assurance	1-Dec-2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-053	16-0273	30-Nov-2015	1-Feb-2014	Scott Cedergren	Darby Kreitz	29-Jan-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-058	16-0290	1-Dec-2015	2-Nov-2015	Jennifer Lamb	David and Roxanne Dunlop	24-Apr-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-088	16-0396	11-Feb-2016	16-Feb-2011	Patricia Morrison	The Guarantee Company of North America (GNCA)	24-Apr-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Open and Closed Claims Report

Borden Ladner Gervais LLP

As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2016-093	16-0157	25-Feb-2016		Allison Foord	Robert Wright	30-Apr-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-096	16E0385	3-Mar-2016	17-Jun-2015	Natasha Miklaucic	Medical Futures Inc.	30-Nov-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-100	16-0470	8-Mar-2016		Clay Jacobson	Timothy Hamilton,Hamilton Hall Soles,Ray Berndtson Inc.	30-Sep-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-123	16-0301	11-Apr-2016		Steve Warnett	Fair Waves Coffee Inc.	30-Sep-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-124	16E0555	12-Apr-2016	30-Jun-1988	Wendy Tattle	Val Noges	9-May-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-137	16-0400	9-May-2016	1-Oct-2014	David Miachika,Krista Johanson	Loblaw Properties West Inc.	30-Mar-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-143	16-0597	17-May-2016	24-Mar-2015	Duncan Marsden	Momentive Specialty Chemical Canada	14-Aug-2017												\$ -				
CLLAS2016-152	16E0980	16-Jun-2016	1-Apr-2016	Daniel V. Lang	Jennifer Lukas	7-Jul-2016												\$ -				
CLLAS2016-153	16E0987	16-Jun-2016	3-May-2016	Brennan Carroll	JA Holdings Limited	7-Oct-2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-154	16E1051	20-Jun-2016	26-May-2016	Henry Ngan	Dr. Talwar et al.	24-Oct-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-158	16E0904	2-Jun-2016	3-May-2010	Jane Margaret Bachynski	Canadian Professional Soccer League	5-Feb-2019	\$ -	\$ -	\$ 14,456	\$ -	\$ -	\$ 14,456	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-159	16-0514	15-Jun-2016		Leon Beukman	Insurance Corporation of BC,Paul Ritsco	25-Nov-2016	\$ 13,643	\$ -	\$ -	\$ -	\$ -	\$ 13,643	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2016-167	16E1090	30-Jun-2016	31-Jul-1995	Rosalind Morrow	Canadian Broadcasting Company (CBC)	26-Jun-2018	\$ -	\$ -	\$ 35,294	\$ -	\$ -	\$ 35,294	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-004	17-0028	14-Jul-2016	14-Jun-2016	Francesco Tosto	Wael Maged Badawy	19-Dec-2019												\$ -				
CLLAS2017-009	16E1232	26-Jul-2016	31-Dec-2014	Nick Pasquino	Fine Family	1-Dec-2016												\$ -				
CLLAS2017-012	No CST	27-Jul-2016		Richard Yehia	The Guarantee Company of North America	29-Jan-2018												\$ -				
CLLAS2017-018	15E2364	16-Aug-2016	22-Oct-2004	Timothy Buckley	Health Insurance Reciprocal of Canada	24-Oct-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-019	16E1377/No CST	22-Aug-2016		Richard Yehia	Kim Strangeby,Paul Zalewski	20-Mar-2017												\$ -				
CLLAS2017-021	16-0714	29-Aug-2016	7-Apr-2016	Steve M. Winder	Alissa Marie Wright	30-May-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-028	16-0753	8-Sep-2016		S. Luke Dineley	HSBC Bank Canada	31-Oct-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-029	17-0129	6-Sep-2016	17-Mar-2016	Barrie F. Pomerance	Estate of James Blair Colborne (deceased)	14-Jun-2017												\$ -				
CLLAS2017-031	No CST	16-Sep-2016	14-May-2016	Court Peterson	City of Toronto	29-Jan-2018												\$ -				
CLLAS2017-032	16-0783	19-Sep-2016		Angus M. Gunn	Tony Liu Notary Corporation		\$ -	\$ -	\$ 34,509	\$ -	\$ 15,491	\$ 50,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-034	17-0163	26-Sep-2016	25-Aug-2016	Daniel Johnson	Preferred Credit Resources Ltd.	25-Jan-2017												\$ -				
CLLAS2017-047		21-Oct-2016		Matthew Swanson	Art Unruh,Gabriella Unruh	14-Aug-2017												\$ -				
CLLAS2017-048	15E2108	21-Dec-2015	1-Feb-2015	Kevin P. Nearing	Interhealth Canada Limited	29-Jan-2018	\$ -	\$ -	\$ 18,031	\$ -	\$ -	\$ 18,031	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-054	16-0892	28-Oct-2016		Allison Foord	Interior Savings Credit Union,Central 1 Credit Union	25-May-2018												\$ -				
CLLAS2017-055	16E1986	15-Nov-2016	2-Jan-2016	Michael Ian Mathany	Shawn Somerville Milne	9-Aug-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-069	16-1071	19-Dec-2016		Graeme Martindale,Kent Kufeldt	Kalytera Therapeutics Inc	31-Oct-2017												\$ -				
CLLAS2017-070	16E2252	20-Dec-2016	28-Nov-1999	R.LEE WOODS	Whitby Golf & Country Club Limited,Papazian I Heisey I Myers	30-Jun-2018												\$ -				
CLLAS2017-072	16-1086	21-Dec-2016		Richard J. Bennett	Scott McRae,Pamela McRae	31-Jul-2017												\$ -				
CLLAS2017-077	16E2270	23-Dec-2016	22-Nov-2016	Alessandra Nosko	FCA Canada Inc.	20-Mar-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-088	17E0011	6-Jan-2017	2-Jan-2017	Douglas C. Jack	Victor Da Silva	28-Feb-2017												\$ -				
CLLAS2017-089	17E0043	12-Jan-2017	21-Dec-2016	Suzanne Kittell	Elavon Canada	24-Oct-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-092	17-0045	18-Jan-2017		Julie A. Bogle	Synex International Inc.	30-May-2018												\$ -				
CLLAS2017-095	TBA	26-Jan-2017	1-Aug-2013	Maria Doerksen	Brian and Barbara Clarke													\$ -				
CLLAS2017-100	16E2422	3-Feb-2017	13-May-2013	Patrick Joseph Hawkins	Southlake Regional Health Centre	21-Aug-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-103	17-0101	6-Feb-2017	2-Feb-2017	Todd Keeler	Blackberry Limited	30-Aug-2018												\$ -				
CLLAS2017-110	TBA	14-Mar-2017		Matt Noel-Bentley	Alberta Treasury Branches	12-May-2017												\$ -				
CLLAS2017-114	17-0259	24-Mar-2017		Robert J. C. Deane	Northwest Organics, Limited Partnership	31-May-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-115	No CST/122798	24-Mar-2017		Jay Lefton	Sino-Forest	29-Oct-2019												\$ -				
CLLAS2017-118	17E0393	23-Mar-2017	15-Jun-2016	Piper Morley	Foremost Fitness Corp,2065610 Ontario Limited	28-Aug-2019	\$ 175,000	\$ -	\$ 53,434	\$ -	\$ -	\$ 228,434	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-132	17E0608	19-Apr-2017	17-Feb-2017	Evelyn Goldfarb	Halton Catholic District School Board	29-Jan-2018												\$ -				
CLLAS2017-135	14E2464 *	21-Apr-2017	31-Jan-2012	Michael David Di Paolo	Zabel and Klaus Family Trust	29-Mar-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-137	17E0635	24-Apr-2017	10-Nov-2013	Aaron Blumenfeld	Decode Entertainment Inc.	24-Oct-2017	\$ -	\$ -	\$ 14,477	\$ -	\$ -	\$ 14,477	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-142	17E0865	5-May-2017	15-Apr-2017	Douglas O. Smith	Region of Halton	6-Jun-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2017-146	17E0805	2-Feb-2017		Victoria Prince	St. Hilda's Towers,Garnsworthy Residences	24-Oct-2017												\$ -				
CLLAS2017-147	17E0833	19-May-2017	4-May-2017	Michael David Di Paolo	Raiffeisen Privatbank Liechtenstein AG	29-Jan-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

Borden Ladner Gervais LLP

As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS									
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred	
CLLAS2017-161	17-0587	29-Jun-2017		Stephen Antle	Dalko Ventures Ltd.,Colleen Kloeble	17-Dec-2019												\$ -				
CLLAS2017-175	LBQ	13-Apr-2017		Emilie Jutras	Roland Dussault	30-Jun-2018												\$ -				
CLLAS2018-012	17E1574	31-Aug-2017	27-Jul-2017	Barry H. Bresner	Fiat Chrysler	27-Feb-2018	\$ -	\$ -	\$ 14,129	\$ -	\$ -	\$ 14,129	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-018	17E1528	11-Sep-2017	31-Mar-2016	Kathryn Kirkpatrick	City of Toronto	29-Jan-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-024	17-0790	18-Sep-2017		Stephen D. Holmes	Mr. Payday Easy Loans Inc.	30-Oct-2018	\$ -	\$ -	\$ 190	\$ -	\$ -	\$ 190	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-030	N/A	31-Oct-2017	29-Sep-2017	Jessica Leung	Great Canadian Dollar Store	12-Jun-2019												\$ -				
CLLAS2018-032	17-0928	3-Nov-2017		D. Ross McGowan	Ahmed Amr	30-May-2019												\$ -				
CLLAS2018-035	17E1992	8-Nov-2017	1-Apr-2015	Natasha Miklaucic	David Rogers,Martin Day,Stephen Dowd,Jean Potter,Assif Hussain,Justin Kusinski	26-Mar-2018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-037	17E2175	30-Nov-2017	9-Jul-2017	Martin Sclisizzi	Bank of Nova Scotia	29-Jan-2018												\$ -				
CLLAS2018-046	17-0942	7-Nov-2017		Graham B. Walker	Larry Sawchuk	18-Dec-2019												\$ -				
CLLAS2018-054	17E2312	20-Dec-2017	23-Aug-2017	Gordon Laird Sonny Ingram	The Guarantee Company of North America	30-Apr-2018	\$ -	\$ -	\$ 1,690	\$ -	\$ -	\$ 1,690	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-058	LBQ	8-Jan-2018		Tommy Tremblay	Martin Turgeon,ADNM International													\$ -				
CLLAS2018-059	No CST / 17E2465	31-Jan-2018	1-Jun-2017	Morton G. Gross	Toronto Parking Authority													\$ -				
CLLAS2018-060	17E2422	29-Dec-2017	2-Jan-2010	Gordon Zimmerman	Daniel Jones	21-Feb-2020	\$ 110,000	\$ -	\$ 11,708	\$ -	\$ -	\$ 121,708	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-062	17E2447	24-Jan-2018	13-Sep-2002	Arthur Fish	Estate of Donald Irwin MacIver	18-Mar-2019	\$ -	\$ -	\$ 13,221	\$ -	\$ -	\$ 13,221	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-063	No CST / 18E0091	26-Jan-2018	19-Jun-2007	Morton G. Gross	Sheldon Godfrey													\$ -				
CLLAS2018-067	18-0398	14-Feb-2018		Dennis Pelkie	Susan Smith,Mary Jo Leslie	25-Oct-2019												\$ -				
CLLAS2018-078	17E0877	19-May-2017	12-Apr-2017	Keith Batten	Lalani Properties International Inc.,2160943 Ontario Limited	25-Oct-2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-079	TBA	26-Mar-2018	4-Jan-2018	Richard E. Eisenbraun	Music Seeds International Ltd.													\$ -				
CLLAS2018-097	17E1612	22-Sep-2017	21-Jul-2017	Ewa Krajewska	Typhon Group Ltd.,Typhon Group Vaughan Limited	17-May-2019	\$ -	\$ -	\$ 2,497	\$ -	\$ -	\$ 2,497	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-098	17E0877	19-May-2017	12-Apr-2017	Robert Love	Lalani Properties International Inc.,2160943 Ontario Limited	30-Jul-2018												\$ -				
CLLAS2018-099	17E1539	15-Sep-2017	1-Jun-2017	Jonathon Lee Thoburn	Derek Dunlop	6-Nov-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-104	18E0864	18-May-2018	20-Jul-2017	Barry H. Bresner	Nexia Health Technologies Inc.	13-Jun-2019	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2018-107	18-0450	25-May-2018		Vincent R.K. Orchard	Jonathon MacWillie	28-Jun-2018												\$ -				
CLLAS2018-122	LSA	27-Jun-2018		Patrick Lindsay	The Lorne Charles Webster Spousal Trust, by Trustee Royal Trust Corporation of Canada													\$ -				
CLLAS2019-001	TBA	13-Jul-2018		Robb McNaughton	Prairie Green Renewable Energy Inc.	25-Oct-2019												\$ -				
CLLAS2019-002	19-0002	16-Jul-2018		Colin Poon	Deventa Energy Inc.,Front Range Resources Ltd.													\$ -				
CLLAS2019-008	No CST / TBA	25-Jul-2018	1-Jan-2016	Ian J. Houston	Ontario College of Art and Design University													\$ -				
CLLAS2019-009	18-0643	2-Aug-2018		Robert B. Dawkins	Glenn Bogue	28-Jul-2019												\$ -				
CLLAS2019-022	TBA	5-Sep-2018	15-Aug-2018	Michelle Pilz	Victor Benesch	9-May-2019												\$ -				
CLLAS2019-026	18E1638	14-Sep-2018	6-Mar-2018	Douglas C. Jack	On Track Door Systems Canada Inc.,Sheldon McPherson	22-Jul-2019	\$ -	\$ -	\$ 6,444	\$ -	\$ -	\$ 6,444	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2019-034	TBA	9-Oct-2018	25-Aug-2017	Travis MacArthur	Ceana Development Sunridge Inc.,Bahadur (Bob) Gaidhar,Yasmin Gaidhar													\$ -				
CLLAS2019-036	18-0872	12-Oct-2018		David C. Longcroft	A.J.B. Investments Ltd.		\$ -	\$ -	\$ 1,426	\$ 200,000	\$ 198,574	\$ 400,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2019-049	18E2261	23-Nov-2018	23-Jun-2017	Susan Margot Blight	Ontario College of Art and Design University		\$ -	\$ -	\$ 20,227	\$ 330,000	\$ 87,732	\$ 437,959	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2019-053	TBA	26-Nov-2018		Daniel Johnson,Sharagim Habibi,Johnathan Doll	Rethink and Diversity Securities Inc.													\$ -				
CLLAS2019-069	P&T	30-Jan-2019		David Nauman	Xogen Technologies Inc.													\$ -				
CLLAS2019-071	18E2145	12-Oct-2018	1-Jun-2018	Henry Ngan	William Rallis		\$ -	\$ -	\$ 1,548	\$ -	\$ 16,952	\$ 18,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2019-073	19E0161	4-Feb-2019	10-Jan-2019	Erin VanderVeer	Empire Communities (The Modern) Ltd.,Empire (By the Sea) Ltd.	23-Jan-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
CLLAS2019-080	19-0166	28-Feb-2019		Kim Maguire	Ralph McRae	30-May-2019												\$ -				

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

Borden Ladner Gervais LLP

As at: December 31, 2021

Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date	Law Society						CLLAS								
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred
CLLAS2019-084	TBA	13-Mar-2019	15-Jul-2019	Patrick Mah	Skilled Networks Inc.													\$ -			
CLLAS2019-086	No CST / 18E2585	11-Feb-2019	1-Dec-2017	Martin Sclisizzi	Humberplex Developments Inc.													\$ -			
CLLAS2019-102	19E0641	24-Apr-2019		Maria Gergin	Bruce Latimer,Ajit Arora	28-May-2019												\$ -			
CLLAS2019-104	TBA	29-Apr-2019	12-Apr-2019	Beverly Gilbert	Trillium Health Foundation,1962835 Ontario Inc.													\$ -			
CLLAS2019-114	19E0943	28-May-2019	11-Nov-2018	Sarah Gardiner	Ewing Morris & Co. Investment Partners Ltd.	31-Mar-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-131	19E0906	22-May-2019	14-Mar-2018	Maureen Doherty	Arrow Tech Manufacturing Inc.	14-Jan-2020	\$ -	\$ -	\$ 2,571	\$ -	\$ -	\$ 2,571	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2019-132	19E0972	8-Apr-2019	22-Jun-2018	Andrew Powers	Clarus Securities Inc.,Canaccord Genuity Corp,Haywood Securities Inc.,Cormark Securities Inc.,Infor Financial Inc.		\$ -	\$ -	\$ -	\$ 200,000	\$ 50,000	\$ 250,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-001	19-0603	8-Jul-2019		Andrew Hennigar	Greenstar Plant Products Inc.		\$ -	\$ -	\$ 18,413	\$ 800,000	\$ 181,587	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,500,000	\$ -	\$ -	\$ 1,500,000
CLLAS2020-014	19E1488	21-Aug-2019	23-Feb-2012	David Shortt	The Toronto Dominion Bank	5-Mar-2020	\$ -	\$ -	\$ 679	\$ -	\$ -	\$ 679	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-019	TBA	22-Aug-2019	25-Sep-2017	Churyl Elgart	854769 Alberta Ltd.													\$ -			
CLLAS2020-025	No CST / 19E1791	18-Sep-2019		Janet Joanne Macneil	University Health Network													\$ -			
CLLAS2020-026	19-0820	19-Sep-2019		Kendall Andersen	Pacific Mercantile Bank		\$ -	\$ -	\$ 9,289	\$ 500,000	\$ 490,711	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-030	19-0810	25-Sep-2019		Alakananda Chatterjee	Qing Bile Therapeutics Inc.		\$ -	\$ -	\$ -	\$ 100,000	\$ 25,000	\$ 125,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-038	15E2469 *	4-Oct-2019	1-Sep-2014	Maureen Doherty	La Capitale Financial Group Inc.	8-Apr-2020	\$ 739	\$ -	\$ 5,910	\$ -	\$ -	\$ 6,649	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-043	No CST / 19E2183	17-Oct-2019	12-Apr-2017	Scott Pundsack	Hydrogenics Corp.	18-Dec-2020												\$ -			
CLLAS2020-047	19E2159	31-Oct-2019	24-Oct-2016	Robert S. Russell	British Airways PLC		\$ -	\$ -	\$ 77,052	\$ 50,000	\$ 22,948	\$ 150,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-050	19-0991	6-Nov-2019		Todd Keeler	Vape-X Inc.	16-Nov-2021												\$ -			
CLLAS2020-057	19-1036	21-Nov-2019		Matthew Tolan	Rick Young,Sandra Young	16-Dec-2021	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-065	19E2191	7-Nov-2019	19-Aug-2015	Robert Love	USAIG	23-Dec-2019												\$ -			
CLLAS2020-085		24-Feb-2020		Borden Ladner Gervais LLP	9151-5726 Québec inc.													\$ -			
CLLAS2020-086	LBQ	25-Feb-2020		Francois Morin	XO Marine Limited Partnership													\$ -			
CLLAS2020-092	20-0263	16-Mar-2020		Edward Wang,Sean Muggah	LBTM-BC Holdings Ltd.		\$ -	\$ -	\$ 4,382	\$ -	\$ 95,618	\$ 100,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-093	TBA	13-Mar-2020	5-Mar-2020	Miles Frederick Pittman	Scott Badcock	23-Apr-2020												\$ -			
CLLAS2020-097	20E0375	11-Mar-2020	1-Dec-2019	Daniel Girlando	Tahar Amrane		\$ -	\$ -	\$ 7,420	\$ -	\$ 17,580	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-102	TBA	8-Apr-2020	1-Apr-2020	Richard E. Eisenbraun	Kramer Ltd.,Timothy Kramer													\$ -			
CLLAS2020-104	No CST	4-Feb-2020		Shin Hung	Michelle Don Paul Adept IP Pty Ltd													\$ -			
CLLAS2020-105	No CST	20-Dec-2018		Beverley Moore	Novartis													\$ -			
CLLAS2020-106	No CST	1-May-2018		Jeffrey Coghlan	Jeffrey Wong													\$ -			
CLLAS2020-107	18E0740	26-Apr-2018		Kevin Lee LaRoche	M-I L.L.C.	22-Aug-2018												\$ -			
CLLAS2020-108	17E1435	1-Jul-2017		David Sherriff-Scott	Francois Jacques	4-Apr-2018												\$ -			
CLLAS2020-113	20E0642	29-Apr-2020	7-Mar-2018	Maureen Doherty	Bank of Montreal (BMO)	7-Dec-2021	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2020-119	No CST / 20E0924	9-Jun-2020		John J. Morris	HIROC (Healthcare Insurance Reciprocal of Canada)													\$ -			
CLLAS2021-009	TBA	2-Sep-2020		Johnathan Doll	UrbanStar Glendale Manor Inc.,Dean Gorenc													\$ -			
CLLAS2021-010	20E1357	28-Aug-2020	15-Oct-2017	Maureen Doherty	Bank of Montreal (BMO)	1-Dec-2020	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2021-023	TBA	14-Oct-2020		Robb McNaughton	Scott Van Rooijen													\$ -			
CLLAS2021-024	20-0830	13-Oct-2020		Serge Lakatos	The Boathouse Restaurants of Canada Inc.	30-Apr-2021	\$ 2,236	\$ -	\$ -	\$ -	\$ -	\$ 2,236	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2021-025	TBA	16-Oct-2020		Evan Nuttall	Double Diamond Distribution Ltd.,Tom Stepper													\$ -			
CLLAS2021-027	TBA	16-Oct-2020		Robb McNaughton	Decibel Cannabis Company Inc.													\$ -			
CLLAS2021-037	20E1840	2-Nov-2020	2-Aug-2019	Robert Love	C.V. Starr		\$ -	\$ -	\$ -	\$ 5,000	\$ 5,000	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2021-047	20-0976	27-Nov-2020		Hunter Parsons	Munesh Muttucomaroe													\$ -			
CLLAS2021-051	TBA	15-Dec-2020		David Wood	650438 Alberta Ltd.													\$ -			
CLLAS2021-054	TBA	16-Dec-2020		Maria Doerksen	Canadian BDx Inc.													\$ -			
CLLAS2021-059	TBA	4-Jan-2021		Patrick Mah	Hillsboro Ventures Inc.													\$ -			
CLLAS2021-064	21E0026	22-Jan-2021		Hugh Meighen	TOWER-EBC G.P./s.e.n.c. (Canada)		\$ -	\$ -	\$ 5,300	\$ 465,995	\$ 28,700	\$ 499,995	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2021-066	LBQ	31-Jan-2021		Jacques S. Darche	Glenn J. Feldman													\$ -			

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)																							
Open and Closed Claims Report																							
Borden Ladner Gervais LLP																							
As at: December 31, 2021																							
Claim No	LS File #	Report Date	Error Date	Insured	Claimant	Close Date			Law Society								CLLAS						
							LS Indemnity Paid	LS Other Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Total Incurred	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Adjusting Paid	CLLAS Recov Other Paid	CLLAS Adjusting Reserve	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Recov Other Reserve	CLLAS Total Incurred		
CLLAS2021-067	LBQ	31-Jan-21		Catherine Beauvais	Nathan Zylbersztejn																		
CLLAS2021-071	LBQ	6-Feb-21		Patrick Trent,Robert Bonhomme	Compagnie Mutuelle d'Assurance en Eglise																		
CLLAS2021-079	20E1988	24-Nov-20		John Hunter		2-Mar-21																	
CLLAS2021-094	TBA	23-Mar-21	10-Jan-21	Shannon James	SMCP Canada Inc.																		
CLLAS2021-098	LBQ	3-Mar-21		Nadir Andre	Conseil de la Nation Atikamekw																		
CLLAS2021-103	TBA	1-Apr-21		Robb McNaughton	PesoRama Inc.																		
CLLAS2021-105	21-0348	15-Apr-21		S. Luke Dineley,Vincent R. K. Orchard	Ravjinder Singh Sidhu,Balwinder Kaur Sidhu,Insurance Corporation of British Columbia (ICBC)		-	\$ -	\$ 33,882	\$ 500,000	\$ 466,118	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2021-117	21E0829	27-Apr-21	1-Apr-21	Michael David Di Paulo	Stephen Mikus		-	\$ -	\$ -	\$ 5,000	\$ 5,000	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2021-129	TBA	23-Jun-21	10-Jul-20	Grace Jiyeon Shory	Condominium Corporation No. 0510453 (Citium) c/o FirstService Residential Alberta Ltd.	28-Jun-21													\$ -				
CLLAS2022-005	20E2514	7-Jun-21	30-Apr-20	Sonny Ingram	The Guarantee Company of North America (GNCA),Can Doo Excavating Services Inc.														\$ -				
CLLAS2022-008	21E1448	22-Jul-21	14-Jul-21	Arthur Fish	The Beatrice-Watson Acheson Foundation	23-Nov-21	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2022-009	TBA	16-Aug-21	23-Nov-20	Francesco Tosto	Newsco Directional Support Services Inc., Newsco International Energy Services Inc.,Telemetrix Technologies International Inc.														\$ -				
CLLAS2022-013	21E1639	23-Aug-21		Keegan Boyd	HIROC	24-Sep-21													\$ -				
CLLAS2022-017	21E1836	19-Sep-21	10-Aug-21	Christopher D. Bredt	Donnugguan CIMC Vehicle Co. LTD	8-Oct-21													\$ -				
CLLAS2022-022	21E1977	12-Oct-21	18-May-21	Cynthia Clarke	HIROC														\$ -				
CLLAS2022-024	21-0868	15-Oct-21		David Longcroft	Tejwinder Sekhon		\$ -	\$ -	\$ 8,141	\$ -	\$ 66,859	\$ 75,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2022-028	21E-2503	29-Oct-21	31-Jul-21	Milos Barutciski	LoyaltyOne, Co.														\$ -				
CLLAS2022-031	TBA	13-Nov-21	1-Jan-12	Doug Smith	Omar Kalair,Yusuf Panchbhaya,Multicultural Consultancy Canada Inc.,UM Group Inc.														\$ -				
CLLAS2022-043	21E-2561	10-Dec-21	28-Jul-21	Logan Cromwell	Health Insurance Reciprocal of Canada,Sunnybrook Health Sciences Centre														\$ -				
CLLAS2022-044	21E-2541	9-Dec-21	28-May-21	Anna Marrison	HIROC (Healthcare Insurance Reciprocal of Canada),North York General Hospital														\$ -				
CLLAS2022-045	21E-2563	9-Dec-21	27-Aug-21	Logan Cromwell	Health Insurance Reciprocal of Canada, Toronto Central LHIN (Home and Community Care Support Services, Toronto Central)														\$ -				
CLLAS2022-049	21-0939	9-Nov-21		Edward Wang	Debt Fund Fiera Private														\$ -				
Total							\$8,932,025	\$ 277,333	\$7,533,868	\$4,845,995	\$2,636,968	\$23,115,517	\$14,405,262	\$1,557,180	\$ 3,536	\$2,250,432	\$ -	\$ 4,150,000	\$ -	\$ -	\$ -	\$ 17,840,546	
Add to list																							
CLLAS2022-070	22E-0193			Kristyn Annis	Dr. Senthilmohan, Dr. Kundu																		
CLLAS2022-066	22E-0199			Doug Smith	Scotiabank, CDLSI																		
Note: Changes are highlighted yellow																							

Appendix G – Risk Management Policies & Procedures

1. Acting as Agent for Service Policy
2. Acting as an Escrow Agent Policy
3. Anti-Bribery and Anti-Corruption Policy
4. Book Writing Policy
5. Client Intimate Relationships Policy
6. Confidential Information and Securities Trading Policy
7. Errors and Omissions Reporting Policy
8. Opinions Policy
9. Confidential Information Policy
10. Confidential Screens Policy
11. Conflicts Clearing and Matter Opening Policy
12. Director Appointments and Remuneration Policy
13. Dis-engagement and Non Engagement Letters
14. Electronic Mail Policy
15. Enhancing Diversity and Inclusion
16. Malicious Software Policy
17. National Expense Policy
18. Password Policy
19. Personal Interest Policy
20. Personal Website and Blog Guidelines
21. Privacy Policy
22. Reference Policy
23. Responding to Search Warrants
24. Security Awareness and Training Policy
25. Social Media Policy and Guidelines
26. Speaking Engagements and Publications Policy
27. Various Information Security Policies
28. Workplace Harassment Policy
29. Workplace Violence Policy
30. Acting as Expert Witness Policy
31. Acting for Individual Employees on Employment Matters
32. Errors and Omission Reporting Policy
33. No Cash Policy
34. Various Occupational Health and Safety Policies

APPENDIX G

Risk Management Policies and Procedures

Name of Firm

Borden Ladner Gervais LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

Please attach separately with the email

APPENDIX H

Cyber Liability

Name of Firm

Borden Ladner Gervais LLP

1	Personnel	Enter Yes or No
a)	Do you have a Chief Security Officer or Chief Information Security Officer or equivalent?	Yes
	If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?	
b)	Do you have a Chief Privacy Officer or equivalent?	Yes
	If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?	
2	Protection	Enter Yes or No
a)	Do you use encryption tools to enhance the integrity and confidentiality of confidential information?	Yes
	If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)	
	- Data at rest	Yes
	- Data in transit	Yes
	- Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)	Yes
	- None of the above	
b)	Do you use and regularly update industry-standard antivirus software?	Yes
c)	Do you install the latest software updates to reduce security vulnerabilities?	Yes
d)	Do you require that passwords be a minimum length and contain alpha and numeric characters?	Yes
e)	Do you require that passwords be regularly updated?	Yes
f)	Do you check to make sure that no spyware or adware resides on your computers?	Yes
g)	Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems?	Yes
h)	Is the data on your servers encrypted?	Yes
i)	Is the data on your desktop and laptop computers encrypted?	Yes
j)	Is the data on your mobile devices encrypted?	Yes
k)	Have predesignated computer system/application access rights and privileges been set for all authorized users?	Yes
l)	Is there hourly or daily automatic backup of documents and emails?	Yes
m)	Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems?	Yes
n)	Are backups stored off-site at a secure location?	Yes
o)	Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen?	Yes
p)	Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files?	Yes
q)	Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel?	Yes

APPENDIX H

Cyber Liability

Name of Firm

Borden Ladner Gervais LLP

3	Incident Report	Enter Yes or No
	Do you have a written network security incident response plan?	Yes
	If "yes":	
a)	Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated?	Yes
b)	Does it include procedures to alert your clients that their data may have been compromised?	Yes
4	Policies	Enter Yes or No
a)	Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis?	Yes
b)	Do you advise your lawyers of the risks of using unencrypted email?	Yes
c)	Does your firm advise your lawyers of the dangers of metadata?	Yes
d)	Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches?	No
e)	Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks?	No

APPENDIX I

2022 Professional Liability Insurance Application and
Exemption Form Submitted to LAWPRO

Name of Firm

Borden Ladner Gervais LLP

Please attach separately with the email

Appendix I - Feb 28, 2022 LawPro member list



My LAWPRO > File Online **My LAWPRO**

FIRM Account#: A032850

Name of LAW FIRM: BORDEN LADNER GERVAIS LLP

Transaction Levy Filings

Primary Policy Documents

Excess Policy Documents

File online

Member list

Update Payment Info

E-Filing History

Below is a summary of lawyers currently listed with your firm. If you have questions regarding this summary, please call LAWPRO Customer Service at 416-598-5899 or 1-800-410-1013. Please note any changes to your firm listing must be submitted in writing to LAWPRO Customer Service (service@lawpro.ca), including the effective date of the change.

LSO#	Last Name	First Name
53275J	ABADI	PEDRO MARTIN
75327L	ABAKI	JOSHUA ODHIAMBO
63531D	ABLAZA III	GERARDO MA.
82103K	ALANI	AVESTA
82109J	AMODEO	NICOLAS DANIEL
51620U	ANNIS	KRISTYN SARAH
43215P	ANTENORE	ROBERTO ALBINO
82116P	ARANHA	ZOE
17288H	ARBOUR	LOUISE
74027H	ARCHIBALD	KATHLEEN ELIZABETH
45876G	ARNONE	FRANK SAVERIO ALFRED
59721C	ARRUDA ELDRIDGE	MELISSA LORIE
76499I	ASHBY	SIMON CHRISTOPHER ESSON
29075F	ATLAS	KENNETH SAMUEL
53916R	AULT	DUNCAN ARTHUR WELLINGTON
68005S	AXELROD	MADLYN SARAH
56698B	AYRE	KATHERINE LOUISE
33572A	BACHYNSKI	JANE MARGARET
73660J	BACOLIAS	TASY TOMMY
68342W	BAKER	ANDREW MICHAEL
33144E	BAMBROUGH	DENISE LOUISE
27578N	BARUTCISKI	MILOS
31387S	BATTEN	KEITH NIGEL
67674B	BELANGER	RACHAEL BEATRICE
70820D	BELOZOROVICH	ALEXEY I.

36998D	BHATTACHARJEE	SUBRATA DIBAKAR
82406O	BIJELIC	MILICA
52190Q	BLACKSTEIN	ROBERT SETH
53359S	BLANCHARD	EMMA RUTH BRADLEY
36626O	BLUMENFELD	AARON ARTHUR
43021W	BOAN	DANIEL ALEXANDER
69028F	BOBAN	JAAN MARIA
40309I	BOEHM	ERIC MATTHEW
57029S	BOGLER	JACQUELINE Yael ACKERMAN
71875K	BOLAHOOD	JAMILA ARIEL
72140O	BONANNO	SAMANTHA VIENNA
80414R	BORO	TAMARA LEAH
57349W	BOYD	KEEGAN AINSWORTH
67432H	BOYD	MATTHEW WILLIAM
41441R	BOYD	THOMAS KIRK
48242S	BRANT	CHERIE LYNN
23627Q	BREDT	CHRISTOPHER DUFF
56717U	BROOKSBANK	ROBERT BEVAN
74479V	BROWN	HARRISON CHRISTOPHER
77582U	BURSHTEIN	NOAH DAVID
46815L	BUSH	REBECCA LOUISE
72825F	BUTLER	KATELIN ELIZABETH
41323I	BYRICK	KATHARINE LOUISE
66851R	CAKU VILA	EDONA
21965R	CALLAGHAN	FRANCIS STEPHEN
75397P	CALVANO	VALERIE NATHALIE
66217I	CAMERON-VENDRIG	COLIN GORDON
68031Q	CAMPAGNOLA	KELLY ANNE
82411F	CAMPBELL	SAMUEL BENJAMIN
54222Q	CANANAU	LIVIU OCTAVIAN
77585I	CARNADIN	AMITHA THYANARA GEMENIA
62389T	CARRE	KATHERINE ELAINE
44512I	CARROLL	BRENNAN MATTHEW
82168A	CASTELLI	MARCO ROBERTO
40793H	CERQUEIRA	CARLOS ALBERTO FERREIRA
37032D	CERTOSIMO	MATTHEW LOUIS OFELEIN
76537T	CHAPMAN	DAVID STANIFORTH
76206C	CHARLESTON	ERIC STEWART
77934C	CHEN	WALTER HENGYI
79340C	CHIEN	CHARLOTTE CHING

74497R	CHOWDHURY	MANNU
71121L	CHUNG	HILARY KATHERINE
74499J	CISTRONE	VICTORIA NANCY
41645E	CLARKE	CYNTHIA DAWN
30604O	COBURN	FREDERICK FOULDS
71903E	COBURN	KENTT DARYL FRANCIS
40805U	COCKER	JONATHAN DAVID
56506E	COGHLAN	JEFFREY JAMES
39400L	COOK	LAURIE JEAN
35174I	COOKE	PETER CLINTON
31567K	COOLIGAN	KATHERINE ANNE
63351L	COREY	EVAN NICHOLAS
26141T	COWDERY	REBECCA ALICE
44529U	CRAIN	KIRSTEN TANYA
71907L	CRANER	KATHRYN ELAINE
50927U	CRAWFORD	KATHERINE ANNE
76963A	CREELMAN	ELIZABETH BARTON
18725Q	CROMWELL	THOMAS ALBERT
37566L	CROSS	PAMELA LOUISE PATRICIA
64363I	CROWELL	LOGAN BRIAN COLIN
79365Q	CUPERFAIN	JUSTIN JOSEPH HERZIG
26473J	D'ANGELO	ROCCO
80581A	DANIEL	LAUREN THERESA
58246J	DAVIS BEDEAU	KERI EDRICA
71175L	DAWE	HEATHER ELIZABETH
58868V	DAY	LAURA MELISSA
41457K	DE PELLEGRIN	CARINA ANN
73170H	DEAKON	KATHERINE JANE
70285H	DELEMERE	LAURA MARGARET
74980B	DELUCA	ANTHONY MICHAEL
81658O	DENNIS-BIRNBAUM	SYDNEY JJ
65856J	DENOMME	KYLE NORMAN
26154B	DERK	CAROL ELIZABETH
69511M	DESAI	VINAY NIKHIL
77955J	DI IULIO	ARIELLE ANNELISE
40817G	DI PAOLO	MICHAEL DAVID
30626R	DI TRANI	EMILIA ADDOLORATA
51263B	DICKINSON	ANDREA GAIL
45390W	DINGLE	BRIAN DOUGLAS
34239O	DOCHYLO	DANIEL JOHN
63621W	DOHERTY	MAUREEN CATHERINE

57397A	DOLAN	PATRICK MATTHEW ROBERT
66612L	DOODY	MICHELLE MORGAN
20569H	DOUGLAS	JAMES DAVID GEORGE
56522I	DULLET	RAJKAMAL
65874F	DYBWAD	SCOTT CHRISTOPHER
49004T	EFFENDI	NADIA
78174A	EGBERTS	ELIZABETH ASHLEY
37579Q	ELLIOT	LAWRENCE ANDREW
53983K	ELMAN	DAVID HOWARD
76132K	ENGLISH	LEE ALLAN
74540T	EVANS	JESSICA GREEY
60058D	EVENSON	BRANDON LEIGH
77970R	FAROVITCH	JONAS BENJAMIN
74282R	FAUTEUX	GENEVIEVE MARIE ELIZABETH
29467J	FECENKO	MARK JOHN
77972J	FENECH	BENJAMIN ARTHUR JOSEPH HENSCHER
77306D	FISHMAN	AIDAN HILLEL
79442H	FLAHERTY	GALEN BENEDICT TOWN
82220A	FLYNN	REBECCA ISABEL
27292J	FOERSTER	RONALD
81698N	FOROUGHIAN	NEDA
28026U	FOWLER	BRUCE ELDON
32245C	FREEDMAN	BONNIE ANN
47370K	FREITAG	SHANE
38228W	FRIEDMAN	KELLY LYNN
59798B	FU	JAMES DUEN-NAN
44134F	FULLER	KATHRYN MARY
70294F	FUNG	SIMON
25785A	FYFE	STEPHEN JAMES
80823V	GAGNE	STEPHANIE MARIE MADELEINE
46872K	GARDINER	SARAH KIMIKO
59299B	GEMSON	PIERRE NICHOLAS
62186F	GERGIN	MARIA
79463O	GHAEMI	PEYMAN
58041D	GHIGNONE	ROBERTO DOMENICO CARLO
83106J	GIBBS	DYLAN JAMES
59805L	GIRLANDO	DANIEL
27784D	GLEASON	MARY LYNN
37591N	GOLDMAN	JESSE IRWIN
41684H	GOLDSILVER	ERIK DANIEL
56542T	GORDON	JEFFREY KEITH

81723T	GOUDARZIMALAYERI	PARMIS
43483H	GRANT	ROBYN AUDREY
69992M	GROCHALOVA	BARBORA
68427E	GUERRISI	ANDREW ANNUNZIATO
73850S	GULATI	NATASHA
60455V	GUY	ADAM JAMES
74579L	HALLOWELL	BRADLEY WILLIAM
56790A	HAMILTON	GRAEME ALEXANDER
71943D	HANCOCK	CALVIN DARRELL BATEMAN
18309G	HARRINGTON	SEAN JOSEPH
29892R	HARRISON	RICHARD ANDREW GRAHAM
31882L	HART	CLIFFORD JONATHAN
67783M	HASSAN	NAVEEN
75043W	HASSAN	TAHA
33285M	HAWKINS	PATRICK JOSEPH
58057T	HENRY	JAMES DAVID
47631A	HENRY	MICHELLE SHELLY
46940D	HESSE	JULIE
72898U	HODGES	MEGAN ELIZABETH
66314K	HODHOD	ANDREW GEORGE
83170O	HOLLARD	NICHOLAS JACOB
77332B	HONG	JUNHO
26504O	HOULE	YVAN GERMAIN
58059L	HOWARD	ADRIAN JOHN
79535O	HUBERMANN	LARA SONIA
70321D	HUNTER	JOHN THOMAS
64100H	IVANOV	TAMILA
73867H	IVKOVIC	EVAN IVAR
25392A	JACK	DOUGLAS CAMPBELL
43275C	JAIPARGAS	ROGER M.
46203Q	JANES	DYANA ELAINE
25836Q	JARVIS	DAPHNE GRACE
81768V	JIANG	YUE
42041W	JOEL	DANIELLE ROSEMARY
70020O	KABOUCHI	JOELLE
65942V	KAKKAR	MANI
45685B	KARANTZOULIS	GUS
70631N	KARIMIAN	ANNA VICTORIA
63710W	KEEN	BENJAMIN EDWARD
77692E	KILRAVEY	LUCAS DANIEL

81798D	KIM	ALEX JI-HAN
77037L	KIM	HYOSEON SUNNY
46653P	KING	CHARLES GRAHAM WALLIS
76645L	KLOMBIES	ALEXIA JOY
60466L	KOLOS	NATALIE DARIA
28506F	KOSONIC	RONALD MORRIS
57704D	KRAJEWSKA	EWA ANNA
32928B	KRAMER	GABRIELLE KIMBERLY
41893I	KREMER	MARKUS FREDERICK
30728W	KRONBY	MATTHEW STEPHEN
76650C	KUCEY	CHRISTINE MARIE
75855U	LACOSTE	WILLIAM ALFRED RUDY
44777B	LALONDE	SYLVIE CHRISTIANE
82768J	LAMBERT	MEGAN
79603H	LAMBIE	KEVIN JAMES
33340A	LANG	DANIEL VICTOR
74317G	LAQUERRE	MAXIME JOSEPH YANNICK
37177S	LAUGHLIN	LEANNE ELIZABETH
64476D	LAVIOLETTE	CHRISTINE LINDA
38890V	LEMIEUX	KATHLEEN ELIZABETH
66673R	LEMIEUX	SARA CHLOE
74663I	LESAGE	JULIE MARIANNE
27394O	LEWYCKY	MARTA OLENA MARIA
82785J	LI	KUN
81832H	LO	SAMANTHA KWOK-YEE
67839I	LOTAY	RAMANDEEP KAUR
35979A	LOVE	ROBERT LYLE
65981B	LOZINSKI	ANDRIAN LORNE
79637T	LYN KEW	NEVA ELIZABETH RUTH
57489L	MACDONALD	CAMERON ALEXANDER
28133Q	MACFARLANE	ALEXANDER LYNCH
37197G	MACLELLAN	JAMES WAYNE
68172B	MAJOR	DAVID MICHAEL
33370F	MAK	TAI-SUEN SONIA NG
67552I	MAKSIMOVIC	ASHLEY MARIE
79647N	MALATESTA	LAUREN LOUISE
57710N	MAMAY	ELENA
56862A	MANGAN	MICHAEL JAMES
78263A	MANGANO	LEAH FRANCES
55596I	MANIAS	RICHARD ANDREW
72299H	MANTLE	THOMAS JACOB

80089S	MARAGOS	KATERINA
79656L	MARGOLIS	SIMON AVINOAM
74337R	MARKIN	TEAGAN KATIE
48110I	MARRISON	ANNA LOUISE
57713B	MASON	CHRISTINE SUSAN
55306N	MATTHEWS	IAN CHARLES
78065M	MAU	EMILY JANE
46367A	MCDORMAND	KATHLEEN PATRICIA
39573Q	MCEVOY	HERBERT SCOTT
32370R	MCGIVNEY	KEVIN ANDREW
30774I	MCGRADE	LYNN MARIE
33383K	MCGRATH	KEVIN ANDREW
75841T	MCGREGOR	SARA ALEXANDRA
68507G	MCINTYRE	JOHN MURRAY
66010K	MCLEAN	ANDREW MICHAEL
81878F	MEDHEKAR	TANVI ATUL
59350F	MEIGHEN	HUGH ARTHUR KENNEDY
44189B	MELIA	GIOVANNI ILARIO GIUSEPPE
27686F	MENARD	JACQUES NOEL YVES
46039R	MENEAR	KATHERINE JANE
67856I	MERTIRI	DENISA
44965S	MICHALUK	DANIEL JOHN
41212F	MIKLAUCIC	NATASHA ANNE
39198B	MILAZZO	ANTHONY
28567L	MILNE	NOELLA MARIA PATRICIA
82521M	MILTENBURG	PAIGE MARIE
79699V	MILTON	DANIEL JOSEPH
73568K	MIN	DEUL RAE
21051O	MINGAY	PAUL ANTHONY DONALD
77078G	MINICHINI	GIAN PAOLO
40577A	MITCHELL	JEFFERY PHILLIP
78081Q	MONDOR MCNAUGHTON	TYLER DONALD CAVEN
47673O	MOORE	BEVERLEY STIRLING
82290E	MORGANSTEIN	BRADLEY SHANE
24793O	MORIN	JOSEPH GERARD YVAN
61946H	MORLEY	PIPER NICOLE
50506H	MUELLER-NEUHAUS	JASON RUDY
59016M	MUIR	CHRISTINE PATRICIA
78087P	MURRAY	PAUL ELLIOTT WOO
55621O	MURRAY	TIFFANY LEE
60803T	NASRALLAH	RYMA

25476J	NEARING	KEVIN PATRICK
64944C	NGAN	HENRY HEI-LONG
77427A	NGUYEN	DARREN THANG
68206R	NGUYEN	STEPHEN VINH LOC
36839W	NISHISATO	IRA
10421U	O'CONNOR	DENNIS RORY
71759Q	O'DELL	ODESSA MARIE
28848M	OZERE	THOMAS VINCENT
21069W	PAGE	ALFRED LAMBERT JONATHON
37255F	PALAYEW	DANIEL GOODMAN
72693O	PANG	JEFFREY ROGER
70233W	PAPADATOS	CHELSEA FRANZISKA BRADBURN
57539I	PASQUINO	NICOLA GIUSEPPE
38391V	PATTERSON	JAMES PITMAN
61658A	PAYNE	MEGHAN ELIZABETH
47897M	PEARLMAN	SHANE BRADLEY
80161G	PEL	ADRIAN JAMES VAN DER BURGHT
41929Q	PEREIRA	GRACA DE FATIMA
72033S	PERRON	DAVID RICHARD
49100C	PERRON	KAREN CHRISTINE
43340H	PERZOW	ADAM LORNE
52351Q	PESSIONE	HEATHER KELLY
73308U	PETERS	ERIN MELINDA
65675V	PITTS	ANDREA LAUREN
70720N	POLLOCK	SCOTT RAE BROMLEY
66522P	PONTON	JENNIFER PAULA
51124I	POWERS	ANDREW DAVID
23846L	PRATTE	GUY JACQUES
27465S	PRINCE	VICTORIA ELIZABETH
30833D	PUNDIT	MANOJ GAJANAN
39623N	PUNDSACK	SCOTT RAYMOND
64569K	PUNZO	ANDREW SALVATORE
79749S	RAGUSA	ADAM
73320R	RAHIM	AARIA SAHAAR
38415U	REDICAN	STEPHEN JEROME
67589I	REID WORKMAN	ANDREW FREDERIC
74386O	ROBERT	CHARLES LAURENT
68244B	ROBINSON	LAURA ELIZABETH
31269J	RODGER	JONATHAN MARK
27074K	ROHER	ERIC MICHAEL

68889M	ROSEN	MICHAEL EPHRAIM
56640R	ROTHSCHILD	DENES AGAY
82902D	ROUSSAKIS	CHRISTINA
72049L	ROY	EMILIE
25529R	RUSSELL	ROBERT SAMUEL
66459C	RUSTON	BRENT KENNETH JAMES
79769G	RYAN	HOLLY MEGHAN
54122D	SAINSBURY	CAITLIN ROBINSON
77460E	SAKAMOTO	MADORI GRACE
67916W	SALAFIA	NATALIE THERESA
47704T	SALIM	KASIM
43569I	SALTZMAN	JASON MICHAEL
80235V	SANDERSON	ERICA CAMPBELL
59595K	SARAGOSTI	YANIV FELIX
46410C	SAUNDERS	CHANTAL JENNIFER
75690N	SCHNITTKER	DAVID LEE KRASZEWSKI
82922O	SETHI	MOHIT
25539L	SHABAN	HASSAN RICHARD
80262P	SHARIATI	MAHNAZ
80463O	SHARMA	KANIKA
76736D	SHEHAB	HAYA IBRAHIM
70455F	SHERIDAN	JESSICA MAIRE
74398A	SHERMAN	MATTHEW RYAN
31682I	SHERRIFF-SCOTT	DAVID DONALD
70135B	SHOREY	CHRISTOPHER THOMAS
32459G	SILVERMAN	HOWARD STEPHEN
73352O	SJOLIN	VERONICA ELIN
56942C	SKOCIC	KRSTINA
23248R	SLESS	ALAN MARTIN
36915R	SMITH	DOUGLAS OWEN
57581K	SOLHI	BOBBY BEHZAD
40674C	SPAGNOLO	DOMENICA
40677N	SPERDUTI	FRANK JOSEPH
68589T	SPLAWSKI	GRAHAM ROBERT
52400R	SQUIRES	ROBIN ANTHONY FRED
42963E	ST-LOUIS	NICOLE MARIE
78149V	STANLEY	JOHN PATRICK
30885L	SUAREZ	STEPHEN JOHN
70160D	SWEET	SARAH HAZEL ELLEN
73988E	SZABO	JESSICA RAGAN
72079Q	TAKAGI	KARA KIMIKO

74409R	TAM	VERN RICKSEN
60631G	TANG	ISAAC KWOK LAM
27130R	TARDIF	JOSEPH ROGER PHILIPPE
82028L	TAYLOR	EMILIE BRIANNE
61716W	TAYLOR	MICHAEL STEWART PETER
55018G	TAYLOR	PAUL WILLIAM
33447I	THIELE	PREMA KRIS RAO
82035R	THISTLE	LAURA CHRISTEN JOSEPHINE
65738A	THOBURN	JONATHAN LEE
73361M	THOMASSEN	ASHLEY LAUREN
52986K	TIMMS	STEFAN SKJEI
77184G	TING	JACQUELINE SHANNON
80471Q	TOLTON-MORLEY	JACOB PAUL
43603M	TOMOMITSU	TAMARA DAWN
25164H	TRAVES	ROBERT WARREN
59986S	TYNAN	TREVOR JOSEPH
69770G	URQUHART	BRAEK RICHARD JOSEPH
79828B	VANDENBERGHE	MICHAEL JAMES
68300I	VANDERVEER	ERIN REBECCA
56005M	VELLONE	JOHN ADRIAN DOOLEY
60319Q	WAGNER	LAURA MICHELLE
37371W	WAKULOWSKY	LYDIA
44465W	WALKER-RENSHAW	BARBARA JOAN
19026B	WAQUE	STEPHEN FRANCIS
34511F	WEBSTER	CRAIG JOHN
79845B	WEBSTER	HEATHER JOYCE GODDEN
66142U	WEBSTER	JULIA ZOE
40708S	WEIR	ROBERT WILLIAM ALEXANDER
52718S	WHELAN	WENDY CATHLEEN
78534H	WHITTON	JESSICA TAYLOR
35131V	WIEBE	JUSTINA
50925F	WILLIAMS	MATTHEW EVAN PORTER
76153R	WILSON	CHRISTINE SARAH
51464A	WONG	BRENDAN YIU BONG
58798N	WOOD	ROBERT CRIST
69786W	WRAY	BENEDICT SEMPLE
52069I	WRAY	GEORGE RUSSELL
71671A	WRIGHT	PATRICK ALLAN
74435P	YAEGER	BRADLEY JOHN
57616W	YAN	XUE
82581W	YASANI	AYSAN

62442P	YEHIA	RICHARD AJWAD
65774P	YEHIA	ZIAD
82582S	YEO	DAEHYUN
56023I	YOUNG	JAMISON ALEXANDER SERUATIUS
63919M	YOUNG	STEPHANIE JANE
23320F	ZAKAIB	GLENN MICHAEL
38541I	ZETTLE	RANDY MICHAEL
75323E	ZHANG	YUN

Number of LAWYERS in the firm: 399

For more information about this form, please contact LAWPRO Customer Service at: 1-800-410-1013, or (416) 598-5899 in Toronto or via e-mail at service@lawpro.ca.

© 2022 Lawyers' Professional Indemnity Company (LAWPRO). All Rights Reserved.

[Privacy](#) | [Legal](#) | [Terms & Conditions](#) | [Refunds & Returns](#) | [Feedback](#) | [Accessibility](#) | [Anti-Spam](#)